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(54) Title: DEVICE AND METHODS FOR MONITORING THE STATUS OF AT LEAST ONE CELL

(57) Abstract: A device and methods for monitoring status of at least one cell, wherein the cell has a membrane forming a substan-  
tially enclosed structure and defining an intracellular space therein. In one embodiment of the present invention, the device includes  
a first substrate having a first surface and an opposite second surface, a second substrate supported by the first substrate, the second  
substrate having a first surface, an opposite second surface, a body portion between the first surface and the second surface, a first  
side surface and an opposite second side surface, wherein the body portion defines a first passage between the first side surface and  
the second side surface and an opening on the first surface of the second substrate and in fluid communication with the first passage,  
and sidewalls positioned above the first surface of the second substrate. In one operation mode, when a first medium is introduced  
into the first passage, the intracellular space of the cell is in fluid communication with the first passage with the first medium, a  
sensor measures the response of the cell to the first medium.



**WO 03/052375 A2**

## **DEVICE AND METHODS FOR MONITORING THE STATUS OF AT LEAST ONE CELL**

This application is being filed as a PCT international patent application in the  
5 name of Vanderbilt University, a U.S. institution (applicant for all designations  
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The present invention was made with Government support under Grant No.  
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10 Administration. The United States Government may have certain rights to this  
invention pursuant to these grants.

Some references, which may include patents, patent applications and various  
publications, are cited and discussed in the description of this invention. The  
citation and/or discussion of such references is provided merely to clarify the  
15 description of the present invention and is not an admission that any such reference  
is "prior art" to the invention described herein. All references cited and discussed in  
this specification are incorporated herein by reference in their entirety and to the  
same extent as if each reference was individually incorporated by reference.

### **FIELD OF THE INVENTION**

The present invention generally relates to an apparatus and methods for using  
biological material to discriminate an agent. More particularly, the present invention  
relates to an apparatus and methods that utilize a matrix of biological signatures. In  
one embodiment, the matrix has a plurality of elements and a dimension of  $N \times M$ ,  
25 where  $N$  is the total number of the plurality of cells and  $M$  is the total number of the  
plurality of measurable quantities. Thus, the matrix has in total  $N \times M$  elements,  
where each element represents a biological signature of one of a plurality of cells in  
response to an agent, and each biological signature is one of a plurality of  
measurable quantities. The present invention comprises a method that includes the  
30 steps of constructing such a matrix of biological signatures, exposing at least one of  
the plurality of cells to an agent, measuring the measurable quantities of the at least  
one of the plurality of cells responsive to the agent, comparing the measured

measurable quantities of the at least one of the plurality of cells responsive to the agent with the corresponding biological signatures of the matrix of biological signatures, and identifying the agent from the comparison. The measured measurable quantities can be stored for further processing, analyzing, feed-backing, or the like.

The invention also relates to an apparatus for using biological material to discriminate an agent. In one embodiment, the apparatus includes means for constructing a matrix of biological signatures having a plurality of elements, wherein each element represents a biological signature of one of a plurality of cells in response to an agent, each biological signature being one of a plurality of measurable quantities, and wherein the matrix has a dimension of  $N \times M$ ,  $N$  being the total number of the plurality of cells and  $M$  being the total number of the plurality of measurable quantities; means for exposing at least one of the plurality of cells to an agent. The apparatus further includes means for measuring the measurable quantities of the at least one of the plurality of cells responsive to the agent, means for comparing the measured measurable quantities of the at least one of the plurality of cells responsive to the agent with the corresponding biological signatures of the matrix of biological signatures, and means for identifying the agent from the comparison.

Certain embodiments of the present invention comprise apparatus and methods for monitoring the status of a cell that is metabolically active, wherein each metabolic activity of the cell is characterized by a characterization time. More particularly, the apparatus and methods comprise means and the step for measuring at least one metabolic activity of the cell at a time period shorter than a characterization time corresponding to the measured metabolic activity of the cell, respectively.

Certain other embodiments of the present invention comprise devices and methods for detecting the response of a plurality of cells to at least one analyte of interest. More particularly, the devices and methods comprise means and the steps for contacting the plurality of cells with a plurality of analytes of interest and simultaneously detecting the response of the plurality of cells to the plurality of analytes of interest, respectively.

Certain further embodiments of the present invention comprise devices and methods for device for monitoring status of at least one cell, wherein the cell has a membrane forming a substantially enclosed structure and defining an intracellular space therein. More particularly, the devices and methods comprise means and the  
5 steps for providing a medium into the intracellular space of the cell and measuring the response of the cell to the medium, respectively.

Certain other embodiments of the present invention comprise devices and methods for measuring response of at least one cell to a medium, the response of at least one cell to a medium being characterized by a reaction time. More particularly,  
10 a device of the present invention comprises a sensor that measures the response of the cell to the medium at a time period shorter than the reaction time.

Certain additional embodiments of the present invention comprise devices and methods for discriminating an agent. More particularly, the devices and methods comprise means and the steps for constructing a decision tree having a  
15 plurality of branches, each branch corresponding to at least one defined action, wherein each branch comprises a plurality of successive branches, each successive branch corresponding to at least one defined action, providing a conditioned environment sensitive to the agent, obtaining data from response of the agent to the conditioned environment, extracting features from the obtained data, selecting a  
20 branch from the decision tree corresponding to the features, performing on the features at least one defined action corresponding to the branch, producing a classification of the agent, and iteratively repeating any or all steps until the agent is discriminated, respectively.

Certain further embodiments of the present invention comprise devices and  
25 methods for discriminating an agent. More particularly, the devices and methods comprise means and the steps for providing a plurality of  $L$  parameters,  $L$  being an integer, each parameter being related to the status of the agent, fitting the plurality of  $L$  parameters into a set of  $i$ th order differential equations,  $i = 1, \dots, N$ ,  $N$  being an integer, obtaining a plurality of  $L$  features corresponding to  $L$  parameters,  
30 respectively, from the set of  $i$ th order differential equations, separating the  $L$  features into a plurality of classes with a corresponding confidence level, providing a plurality of  $L+1$  parameters, each parameter being related to the status of the agent,

fitting the plurality of  $L+1$  parameters into a set of  $i+1$  order differential equations, obtaining a plurality of  $L+1$  features corresponding to  $L+1$  parameters, respectively, from the set of  $i+1$  order differential equations, separating the  $L+1$  features into a plurality of classes with a corresponding confidence level, and iteratively repeating  
 5 any or all steps until a plurality of classes for the agent is separated with a desired corresponding confidence level, respectively.

Certain other embodiments of the present invention comprise devices and methods for discriminating an agent. More particularly, the devices and methods comprise means and the steps for providing a broad spectrum assay having a  
 10 plurality of  $L$  cell lines,  $L$  being an integer, each cell line being able to respond to the agent, measuring responses of cell line  $i$ ,  $i = 1, \dots, L$ , to the agent, separating the responses into class  $m$ ,  $m = 1, \dots, O$ ,  $O$  being an integer and the total number of available classes, with a corresponding robustness factor, selecting cell line  $j$ ,  $j = 1, \dots, L$  but  $\neq i$ , from the knowledge of class  $m$ , measuring responses of cell line  $j$ ,  $j = 1, \dots, L$  but  $\neq i$ , to the agent, defining a set of feature extraction algorithms from the  
 15 measured response of cell line  $j$ ,  $j = 1, \dots, L$  but  $\neq i$ , selecting cell line  $k$ ,  $k = 1, \dots, L$  but  $\neq i$  and  $\neq j$ , measuring responses of cell line  $k$ ,  $k = 1, \dots, L$  but  $\neq i$  and  $\neq j$ , to the agent, separating the responses into class  $n$ ,  $n = 1, \dots, O$ ,  $O$  being an integer and the total number of available classes, with a corresponding robustness factor, and  
 20 iteratively repeating any or all steps until a class for the agent with a desired robustness factor is obtained, respectively.

## BACKGROUND OF THE INVENTION

The biological cell may act as a parallel processing, non-linear, multistate,  
 25 analog computer. This analog computer can occupy a volume of less than  $10^{-16} \text{ m}^3$  and is primarily powered only by sugars, fats, and oxygen. The complexity of these computers is evidenced by the attempts to model ongoing biochemical processes based on *Mycoplasma genitalium*, a microbe with the smallest known gene set of any self-replicating organism (<http://www.e-cell.org>). However, even this simplest  
 30 model requires hundreds of variables and reaction rules, and a complete model even

for a mammalian cell would be much more complex, requiring in excess of  $10^5$  variables and equations.

Because the cell behaves as an analog computer, it can be programmed. Historically, a limited set of interventions has allowed physiologists and engineers to study living cells and characterize the feedback control systems that govern cell function. With the advent of genetic engineering, it is now possible to reprogram the genetic machinery of a cell, for example to turn a particular gene on or off, or to produce large quantities of a particular biochemical. However, there has been little efforts and progress for inserting man-made devices into the control system of a single living cell so as to convert the cell into a programmable computational engine.

Therefore, among other things, there is a need to merge cellular biophysics, microcircuits and microfluidics, and information technology to create, among other things, programmable microsystems that can be used for sensing, feedback, control and analysis of a single cell and/or an array of interconnected and instrumented living cells.

Additionally, current bio-sensors use biological molecules for specific agent detection via specific binding reactions. However, wide-spectrum detection is expensive, requiring a priori threat knowledge and a large quantity of specific cells. Assays are susceptible to overload from multiple threats and false detection and from non-pathogenic "spoof" organisms. Furthermore, addressing new threats involves a lengthy, costly design process. In addition, conventional assays lack cellular machinery to increase sensitivity.

Therefore, among other things, there is also a need to develop new systems and methods that are capable of providing a complete bio-functional signature of a CBW agent, environmental contaminant, unknown drug, or other threats for better, fast, sensitive accurate and efficient detection.

## SUMMARY OF THE INVENTION

In one aspect, the present invention relates to a device for monitoring status of at least one cell, wherein the cell has a membrane forming a substantially enclosed structure and defining an intracellular space therein. In one embodiment, the device includes a first substrate having a first surface and an opposite second

surface, a second substrate supported by the first substrate, the second substrate having a first surface, an opposite second surface, a body portion between the first surface and the second surface, a first side surface and an opposite second side surface, wherein the body portion defines a first passage between the first side surface and the second side surface and an opening on the first surface of the second substrate and in fluid communication with the first passage, and sidewalls positioned above the first surface of the second substrate.

The device also includes a third substrate having a first surface and an opposite second surface. The third substrate, the sidewalls and the second substrate define a chamber that is in fluid communication with a second passage defined by portions of the sidewalls and the third substrate. The device further includes at least one sensor positioned in the first passage proximate to the opening, wherein the cell is positioned in the chamber and the intracellular space of the cell is in fluid communication with the first passage through the opening of the second substrate.

The membrane of the cell defines an opening through which the intracellular space of the cell is in fluid communication with the first passage through the opening of the second substrate. The device further includes a punching element positioned underneath the opening of the second substrate for making the opening defined by the membrane of the cell. The punching element can be a mechanical device such as a pressure-based suction device or an electroporation device such as an electric potential sucking device.

In one operation mode, when a first medium is introduced into the first passage, the intracellular space of the cell is in fluid communication with the first passage with the first medium, the sensor measures the response of the cell to the first medium.

In another operation mode, when a second medium is introduced into the chamber through the second passage, at least part of the membrane of the cell is in contact with the second medium in the chamber, the sensor measures the response of the cell to the second medium.

In yet another operation mode, when a first medium is introduced into the first passage and a second medium is introduced into the chamber through the second passage, respectively, the intracellular space of the cell is in fluid

communication with the first passage with the first medium and at least part of the membrane of the cell is in contact with the second medium in the chamber, the sensor measures the responses of the cell to the first medium and the second medium.

5           The first passage is in fluid communication with a reservoir of a medium. The device further includes a pair of first controls positioned inside the first passage for controlling the flow of a medium through the first passage.

          The device second passage is in fluid communication with a reservoir of a medium. The device also includes a second control positioned inside the second  
10          passage for controlling the flow of a medium through the second passage.

          In another aspect, the present invention relates to a device for monitoring status of a plurality of cells, wherein each cell has a membrane forming a substantially enclosed structure and defining an intracellular space therein. In one embodiment, the device includes a first substrate having a first surface and an  
15          opposite second surface, a second substrate supported by the first substrate, the second substrate having a first surface, an opposite second surface, a body portion between the first surface and the second surface, a first side surface and an opposite second side surface, wherein the body portion defines a first passage between the first side surface and the second side surface and a plurality of openings distributed  
20          on and over the first surface, each opening being in fluid communication with the first passage, and a third substrate having a first surface and an opposite second surface and spaced apart from the second substrate thereby defining a space between the second surface of the third substrate and the first surface of the second substrate.

          The device also includes a plurality of sidewalls positioned between the  
25          second substrate and the third substrate thereby partitioning the space between the second substrate and the third substrate into a plurality of chambers above the first surface of the second substrate such that only one of openings distributed on and over the first surface is located between the sidewalls of a corresponding chamber, wherein each chamber is in fluid communication with at least one neighboring  
30          chamber through a second passage defined by portions of the corresponding sidewalls and the third substrate. The device further includes a plurality of sensors positioned in the first passage, each sensor being proximate to a corresponding one



of openings distributed on and over the first surface of the second substrate. The plurality of sensors can be substantially the same. Or, alternatively, at least two of the plurality of sensors can be different from each other.

Each cell is positioned in a corresponding one of the chambers and the  
5 intracellular space of each cell is in fluid communication with the first passage through the opening located between the sidewalls of a corresponding chamber. The membrane of each cell defines an opening through which the intracellular space of the cell is in fluid communication with the first passage through the opening located between the sidewalls of a corresponding chamber. The device further includes a  
10 plurality of punching elements, each positioned underneath an opening located between the sidewalls of a corresponding chamber for making the opening defined by the membrane of a corresponding cell. Each punching element can be a mechanical device such as a pressure-based suction device or an electroporation device such as an electric potential sucking device. Punching elements can be same  
15 or different.

In one operation mode, when a first medium is introduced into some portion of the first passage, the intracellular space of a cell that is in a chamber corresponding to that portion of the first passage is in fluid communication with the first passage with the first medium, a corresponding sensor measures the response of  
20 the cell to the first medium.

In another operation mode, when a second medium is introduced into a chamber, at least part of the membrane of a corresponding cell in the chamber is in contact with the second medium, a corresponding sensor measures the response of the cell to the second medium.

25 In yet another operation mode, when a first medium is introduced into some portion of the first passage and a second medium is introduced into a chamber corresponding to that portion of the first passage, respectively, the intracellular space of a corresponding cell in the chamber is in fluid communication with the first passage with the first medium and at least part of the membrane of the  
30 corresponding cell is in contact with the second medium, a corresponding sensor measures the responses of the cell to the first medium and the second medium.

The first passage is in fluid communication with a reservoir of a medium. The device further includes a plurality of first controls positioned inside the first passage for controlling the flow of a medium through the first passage, wherein for each chamber, a corresponding pair of the first controls controls the flow of the  
5 medium through portions of the first passage under a corresponding chamber.

At least one chamber is in fluid communication with a reservoir of a medium through a second passage. The device also includes a plurality of second controls, each positioned inside a corresponding second passage for controlling the flow of a medium through that second passage.

10 In a further aspect, the present invention relates to a method for monitoring the status of at least one cell, wherein the cell has a membrane forming a substantially enclosed structure and defining an intracellular space therein. In one embodiment, the method includes the steps of confining the cell in a chamber, making an opening in the membrane of the cell, providing a first medium into the  
15 intracellular space of the cell through the opening in the membrane, and measuring the response of the cell to the first medium. The method further includes the steps of providing a second medium into the chamber such that at least part of the membrane of the cell is in contact with the second medium and measuring the response of the cell to the second medium.

20 In yet another aspect, the present invention relates to a device for monitoring the status of at least one cell, wherein the cell has a membrane forming a substantially enclosed structure and defining an intracellular space therein. In one embodiment, the device includes means for confining the cell in a chamber, means for making an opening in the membrane of the cell, means for providing a first  
25 medium into the intracellular space of the cell through the opening in the membrane, and means for measuring the response of the cell to the first medium. The device further includes means for providing a second medium into the chamber such that at least part of the membrane of the cell is in contact with the second medium and means for measuring the response of the cell to the second medium.

30 In another aspect, the present invention relates to a method for monitoring the status of at least one cell, wherein the cell has a membrane forming a substantially enclosed structure and defining an intracellular space therein. In one

embodiment, the method includes the steps of confining the cell in a chamber, making an opening in the membrane of the cell, providing a first medium into the intracellular space of the cell through the opening in the membrane, providing a second medium into the chamber such that at least part of the membrane of the cell is in contact with the second medium and measuring the response of the cell to the second medium. The method further includes the step of measuring the response of the cell to the first medium.

In yet another aspect, the present invention relates to a device for monitoring the status of at least one cell, wherein the cell has a membrane forming a substantially enclosed structure and defining an intracellular space therein. In one embodiment, the device includes means for confining the cell in a chamber, means for making an opening in the membrane of the cell, means for providing a first medium into the intracellular space of the cell through the opening in the membrane, means for providing a second medium into the chamber such that at least part of the membrane of the cell is in contact with the second medium and means for measuring the response of the cell to the second medium. The device further includes means for measuring the response of the cell to the first medium.

In a further aspect, the present invention relates to a method for controlling the physiological status of at least one cell, wherein the cell has a membrane forming a substantially enclosed structure and defining an intracellular space therein and controls its physiological status through an internal cellular control mechanism. In one embodiment, the method includes the step of providing at least one medium to the cell such that at least part of the membrane of the cell is in contact with the medium to override the internal cellular control mechanism. The medium may have an agent.

In one operation mode, the method further includes the steps of confining the cell in a chamber and making an opening in the membrane of the cell. The providing step further comprises the steps of supplying a first medium into the intracellular space of the cell through the opening in the membrane, and supplying a second medium into the chamber such that at least part of the membrane of the cell is in contact with the second medium. The method further includes the steps of measuring the response of the cell to the second medium, and adjusting the

composition of the second medium from the response to affect the overriding of the internal cellular control mechanism. Moreover, the method further includes the steps of measuring the response of the cell to the first medium, and adjusting the composition of the first medium from the response to affect the overriding of the internal cellular control mechanism.

In another operation mode, the method further includes the steps of monitoring the concentration of at least one selected component of the medium and adjusting the composition of the medium from the monitored concentration of at least one selected component of the medium to affect the overriding of the internal cellular control mechanism. Additionally, the method further includes the steps of measuring the response of the cell to the medium, and adjusting the composition of the medium from the response to affect the overriding of the internal cellular control mechanism.

In yet another aspect, the present invention relates to a device for controlling the physiological status of at least one cell, wherein the cell has a membrane forming a substantially enclosed structure and defining an intracellular space therein and controls its physiological status through an internal cellular control mechanism. In one embodiment, the device includes means for providing at least one medium to the cell such that at least part of the membrane of the cell is in contact with the medium to override the internal cellular control mechanism.

The device further includes means for confining the cell in a chamber and means for making an opening in the membrane of the cell. In one embodiment, the providing means includes means for supplying a first medium into the intracellular space of the cell through the opening in the membrane and means for supplying a second medium into the chamber such that at least part of the membrane of the cell is in contact with the second medium. The device further includes means for measuring the response of the cell to the second medium and means for adjusting the composition of the second medium from the response to affect the overriding of the internal cellular control mechanism. Moreover, the device further includes means for measuring the response of the cell to the first medium and means for adjusting the composition of the first medium from the response to affect the overriding of the internal cellular control mechanism.

Additionally, the device includes means for monitoring the concentration of at least one selected component of the medium and means for adjusting the composition of the medium from the monitored concentration of at least one selected component of the medium to affect the overriding of the internal cellular control mechanism. The device further includes means for measuring the response of the cell to the medium and means for adjusting the composition of the medium from the response to affect the overriding of the internal cellular control mechanism.

In a further aspect, the present invention relates to a method for controlling the physiological status of at least one cell, wherein the cell has a membrane forming a substantially enclosed structure and defining an intracellular space therein and controls its physiological status through an internal cellular control mechanism. In one embodiment, the method includes the steps of providing at least one medium to the cell such that at least part of the membrane of the cell is in contact with the medium, monitoring at least one selected component of the medium, and adjusting the composition of the medium from the monitored concentration of at least one selected component of the medium to deliver or remove analytes to the intracellular space through the membrane to affect the internal cellular control mechanism.

In another aspect, the present invention relates to a device for controlling the physiological status of at least one cell, wherein the cell has a membrane forming a substantially enclosed structure and defining an intracellular space therein and controls its physiological status through an internal cellular control mechanism. In one embodiment, the device includes means for providing at least one medium to the cell such that at least part of the membrane of the cell is in contact with the medium, means for monitoring at least one selected component of the medium, means for adjusting the composition of the medium from the monitored concentration of at least one selected component of the medium to deliver or remove analytes to the intracellular space through the membrane to affect the internal cellular control mechanism.

These and other aspects will become apparent from the following description of the preferred embodiment taken in conjunction with the following drawings, although variations and modifications therein may be affected without departing

from the spirit and scope of the novel concepts of the disclosure.

### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 schematically shows a multicellular bio-silicon hybrid microsystem  
5 according to one embodiment of the present invention.

Figs. 2A-2B show a PicoCalorimeter or a device according to one  
embodiment of the present invention: A. side view and B. top view.

Figs. 3A-3C show a Microbottle or a device according to one embodiment of  
the present invention: A. side view; B. top view; and C. sectional view along line A-  
10 A in Fig. 3A.

Figs. 4A-4C show a Microbottle or a device according to another  
embodiment of the present invention: A. side view; B. top view (with lid removed);  
and C. sectional view along line A-A in Fig. 4A.

Figs. 5A-5C show a Microbottle or a device according to yet another  
15 embodiment of the present invention: A. side view; B. top view; and C. sectional  
view along line A-A in Fig. 5A.

Figs. 6A-6D show a Picocalorimeter or a device according to one  
embodiment of the present invention: A. side cross-sectional view along line D-D in  
Fig. 6C; B. side cross-sectional view along line C-C in Fig. 6C; C. cross-sectional  
20 view along line A-A in Figs. 6A and 6B; and D. cross-sectional view along line B-B  
in Figs. 6A and 6B.

Figs. 7A-7C show a physiometer or a device according to one embodiment  
of the present invention: A. side sectional view; B. cross-sectional view along line  
A-A in Fig. 7A; and C. cross-sectional view along line B-B in Fig. 7B.

25 Fig. 8 illustrates an integrated bio-silicon-hybrid system design environment  
according to one embodiment of the invention.

Fig. 9 shows a bio-functional signature matrix according to one embodiment  
of the present invention.

Fig. 9A schematically shows a bio-functional signature matrix of Fig. 9 in  
30 another form according to one embodiment of the present invention.

Fig. 10 shows data of parathion (open symbols) and paraoxon (filled symbols) on metabolic activity of human hepatocyte and neuroblastoma cells according to one embodiment of the present invention.

5 Figs. 11A-11C schematically show a sensor head for multispectral readout according to one embodiment of the present invention: A. side sectional view; B. bottom view; and C. perspective view.

Figs. 12A-12C schematically show a Nanophysiometer or a device according to one embodiment of the present invention: A. side cross-sectional view along line A-A in Fig. 12B; and B. top view; and C. exploded view of part B in Fig. 12A.

10 Figs. 13A-13C schematically show a Nanophysiometer or a device according to another embodiment of the present invention: A. side view; and B. cross-sectional view along line A-A in Fig. 13A; and C. enlargement view of part B in Fig. 13B.

Fig. 14 schematically shows an optical setup for fluorescence measurements associated with a Nanophysiometer according to one embodiment of the present invention.

Fig. 15 schematically shows response of optical beacons to a binding event as a means to identify the expression of particular mRNA in response to toxins and agents according to one embodiment of the present invention.

20 Fig. 16 illustrates an example of cellular pathways that can be monitored according to one embodiment of the invention.

Figs. 17A-17B illustrate an example of toxin discrimination by simultaneous monitoring of multiple metabolic signals following the exposure of cells to some toxins according to one embodiment of the invention: A. to DNP; and B. to Cyanide.

25 Figs. 18A-18B show discrimination of toxins/agents by monitoring characteristic temporal response of cellular phenotypes to toxins according to one embodiment of the present invention: A. for Macrophage; and B. for Hepatocyte.

Figs. 19A-19B schematically show discrimination by characteristic responses in a conditioned environment according to one embodiment of the present invention: A. no phenobarbital preexposure; and B. with phenobarbital preexposure.

30 Fig. 20 shows discrimination by characteristic reaction kinetics of metabolic pathways according to one embodiment of the present invention.

Fig. 21 shows the effect of soman on an action potential of a neuron according to one embodiment of the present invention.

Fig. 22 is a flowchart illustrating a Process to define a differential discrimination process according to one embodiment of the invention.

5        Fig. 23 illustrates two signal classification algorithms s according to one embodiment of the invention.

Fig. 24 schematically shows a diagnostics path or process according to one embodiment of the present invention.

10        Figs. 25A-25B show a Picocalorimeter or a device according to another embodiment of the present invention: A. side cross-sectional view along line A-A in Fig. 25B; and B. tilted view from the bottom.

15        Figs. 26A-26B show an iridium oxide pH electrode forming on a platinum interdigitated microelectrode array according to one embodiment of the present invention: A. a photomicrograph of the electrode array with platinum, iridium oxide, and platinum microstrips on a glass substrate; B. a pH calibration of the sensor.

Figs. 27A-27B show a Nanophysiometer or a device according to one embodiment of the present invention: A. side cross-sectional view; and B. cross-sectional view along line A-A in Fig. 27A.

20        Figs. 28A-28C show a Nanophysiometer or a device according to another embodiment of the present invention: A. top view; and B. exploded of part A in Fig. 28A; and C. cross-sectional view along line B-B in Fig. 28B.

Figs. 29A-29C shows a Nanophysiometer or a device according to yet another embodiment of the present invention: A. top view; and B. exploded of part A in Fig. 29A; and C. cross-sectional view along line B-B in Fig. 29B.

25        Fig. 30 shows a Nanophysiometer or a device according to a further embodiment of the present invention in a top view.

30        Figs. 31A-31E illustrate the utilization of NanoPhysiometer electrochemical sensors and their temporal response to changes in pH and oxygen according to one embodiment of the present invention: A. the average pH as a function of time in a 100 pL well containing a single cell with no flow; B. same as Fig. 31A, except plotted as a function of logarithmic time to show that the response is constant until the protons have time to diffuse from the cell to the electrode; C. the time taking for



the pH to drop by a certain amount; D. the results of the test of the Nanophysiometer with a platinum interdigitated array that senses oxygen; and E. an individually addressable interdigitated microelectrode array.

5

## DETAILED DESCRIPTION OF THE INVENTION

Various embodiments of the invention are now described in detail. Referring to the drawings, like numbers indicate like parts throughout the views. As used in the description herein and throughout the claims that follow, the meaning of “a,” “an,” and “the” includes plural reference unless the context clearly dictates

10 otherwise. Also, as used in the description herein and throughout the claims that follow, the meaning of “in” includes “in” and “on” unless the context clearly dictates otherwise. Additionally, some terms used in this specification are more specifically defined below.

### Definitions

15 The terms used in this specification generally have their ordinary meanings in the art, within the context of the invention, and in the specific context where each term is used. For example, conventional techniques of molecular biology, microbiology and recombinant DNA techniques may be employed in accordance with the present invention. Such techniques and the meanings of terms associated

20 therewith are explained fully in the literature. See, for example, Sambrook, Fritsch & Maniatis. *Molecular Cloning: A Laboratory Manual*, Second Edition (1989) Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York (referred to herein as "Sambrook et al., 1989"); *DNA Cloning: A Practical Approach*, Volumes I and II (D. N. Glover ed. 1985); *Oligonucleotide Synthesis* (M. J. Gait ed. 1984); *Nucleic*

25 *Acid Hybridization* (B. D. Hames & S. J. Higgins, eds. 1984); *Animal Cell Culture* (R. I. Freshney, ed. 1986); *Immobilized Cells and Enzymes* (IRL Press, 1986); B. E. Perbal, *A Practical Guide to Molecular Cloning* (1984); F. M. Ausubel et al. (eds.), *Current Protocols in Molecular Biology*, John Wiley & Sons, Inc. (1994). See also, *PCR Protocols: A Guide to Methods and Applications*, Innis et al., eds., Academic

30 Press, Inc., New York (1990); Saiki et al., *Science* 1988, 239:487; and *PCR Technology: Principles and Applications for DNA Amplification*, H. Erlich, Ed., Stockton Press.

Certain terms that are used to describe the invention are discussed below, or elsewhere in the specification, to provide additional guidance to the practitioner in describing the devices and methods of the invention and how to make and use them. For convenience, certain terms are highlighted, for example using italics and/or quotation marks. The use of highlighting has no influence on the scope and meaning of a term; the scope and meaning of a term is the same, in the same context, whether or not it is highlighted. It will be appreciated that the same thing can be said in more than one way. Consequently, alternative language and synonyms may be used for any one or more of the terms discussed herein, nor is any special significance to be placed upon whether or not a term is elaborated or discussed herein. Synonyms for certain terms are provided. A recital of one or more synonyms does not exclude the use of other synonyms. The use of examples anywhere in this specification, including examples of any terms discussed herein, is illustrative only, and in no way limits the scope and meaning of the invention or of any exemplified term. Likewise, the invention is not limited to various embodiments given in this specification.

As used herein, "about" or "approximately" shall generally mean within 20 percent, preferably within 10 percent, and more preferably within 5 percent of a given value or range. Numerical quantities given herein are approximate, meaning that the term "about" or "approximately" can be inferred if not expressly stated.

The term "agent" is broadly defined as anything that may have an impact on any living system such as a cell. For examples, the agent can be a chemical agent. The chemical agent may comprise a toxin. The agent can also be a biological agent. Moreover, the agent may comprise at least one unknown component, which may be identified by practicing the present invention. Additionally, the agent may comprise at least one known component, whose interaction with cells or other components of an environment may be detected by practicing the present invention. The agent can also be a physical agent. Other examples of agent include biological warfare agents, chemical warfare agents, bacterial agents, viral agents, other pathogenic microorganisms, emerging or engineered threat agents, acutely toxic industrial chemicals ("TICS"), toxic industrial materials ("TIMS") and the like. Examples of chemical agents that may be related to practicing the present invention include Mustard (that may be simulated with chloroethyl ethyl sulphide (endothelia cells in

PC)), GB-Sarin (that may be simulated with Diisopropylfluorophosphate (DFP)), VX (that may be simulated with Malathion) or the like. Examples of viral agents (and their simulants) that may be related to practicing the present invention include MS2, Hepatitis or simulant or attenuated virus, Retroviruses alphaviruses or the like.

- 5 Examples of bacterial agents (and their simulants) that may be related to practicing the present invention include *Bacillus globigii* or *Bacillus subtilis* as spore formers similar to anthrax, *Erwinia herbicola* as a simulant for vegetative bacteria (not sporagenic), *E. coli* or the like. Additional examples of agents can also be found in the following exemplary list of agents:

10

Botulinum Toxin (seven immunological types: A, B, C1, C2, D, E, F, G)

Staphylococcus enterotoxin B

Saxitoxin

Ricin (*Ricinus communis*)

15

Epsilon toxin of *Clostridium perfringens*

Mycotoxins

Aflatoxins that inhibit DNA and RNA synthesis

Anatoxin A

Microcystins

20

Cholera Toxin

Tetrodotoxin

Substance P

*Bacillus anthracis* (Anthrax)

*Yersinia Pestis*, (gram-negative coccobacillus causing the zoonotic infection

25

Plague)

*Clostridium botulinum*

*Francisella tularensis* (a gram-negative, facultative intracellular bacterium that causes the zoonosis Tularemia)

*Brucella* spp (spp=several different species?)

30

*Burkholderia mallei* (Glanders)

*Burkholderia pseudomallei*

*Chlamydia psittaci*

- Shigella dysenteriae
- Salmonella spp
- Vibrio cholerae
- Cryptosporidium parvum
- 5 Clostridium perfringens
- Hepatitis C
- Variola major (smallpox)
- Filoviruses/Arenaviruses
- Alphaviruses
- 10 Cephalomyelitis Viruses
- Nipah Virus (a new paramyxovirus)
- Hantavirus
- Tick-borne hemorrhagic fevers
- Dengue (Breakbone or Dandy Fever) fever virus
- 15 Enteric Viruses
- Hepatocytes and Hepatitis A
- Lymphocytes
- Erythrocytes
- Endothelial cells
- 20 HL1 (Cardiac)
- Secretory cell (depolarize and it secretes things) Beta=insulin
- PC12 neural cells
- HELA (Helen Lane)
- HEK293 Human Epithelial Kidney cells
- 25 Coxiella burnetti
- Rickettsia prowazekii
- VX, V-gas
- G-series (GF-cyclohexyl sarin, GD-Soman, GB-Sarin, GA-Tabun)
- Mustard Agents
- 30 HN-1-Nitrogen Mustard
- HN-2 - Nitrogen Mustard (N-Oxide Hydrochloride)
- Sulfur Mustard

Adamsite  
Arsines  
Lewisite  
Hydrogen Cyanide  
5 Cyanogen Chloride  
BZ (Benzphetamine)  
LSD (Lysergic Acid Diethylamide) (enable comment for this)  
Chlorine  
Phosgene  
10 CN (2-Chloroacetophenone)  
Fuel & Combustion Products (Jet Fuels)  
JP-4  
JP-8  
TMPP  
15 Herbicides/ Pesticides  
Methyl Parathion (an organophosphorus insecticide)  
Volatile Organic Carbons (VOC)  
Benzene  
Toluene (methylbenzene)  
20 Xylene  
Heavy Metals  
Lead  
Chromium  
Mercury  
25 Halogens  
Fluorine  
Bromine  
Cyanides  
Isocyanates  
30 cyanides (as CN)  
Hydrogen Chloride  
Sulfur Dioxide

- 5 Oxides of Nitrogen (NO<sub>x</sub>)  
 Vinyl Chloride  
 Barium Nitrate  
 Hydrazine  
 DBNP-di-tris-butyl-nitrophenol.

The term "toxin" is broadly defined as any agent that may have a harmful effect or harmful effects on any living system such as a cell. Examples of toxins that may be related to practicing the present invention include cyanide, endotoxin, okadaic acid, Phorbol Myristate Acetate ("PMA"), microcystin, Dinitrophenol ("DNP"), Botulinum toxin (a common threat agent; inhibit transmitter release, whole cell MB), Staphylococcus enterotoxin B, ricin (inhibits protein synthesis and ribosome, OT), mycotoxins, aflatoxins, cholera toxin (activates Cl pump, vesicle MB, NBR), Saxatoxin or tetrodotoxin (Na channel blocker, vesicle MB), Microcystins (hepatocyte metabolism in PC) and organophosphates. Other examples of toxins may be also discussed somewhere else in the specification. Additional examples of toxins can also be found in the market. For example, the following is an exemplary list of toxins with their corresponding product number that are readily available from a commercial source at [gotnet.com](http://gotnet.com):

PRODUCT DESCRIPTION	PRODUCT NUMBER
Adenylate Cyclase Toxin from <i>Bordetella pertussis</i>	188
Alpha Toxin from <i>Staphylococcus aureus</i>	120
Anthrax Lethal Factor (LF), Recombinant from <i>Bacillus anthracis</i>	171
Anthrax Protective Antigen (PA), Recombinant from <i>Bacillus anthracis</i>	172
Anti-Cholera toxin, Goat	
Antibody for Cholera Toxin B Subunit	703

Anti-Exotoxin A, Goat	
Antibody for Exotoxin A from <i>Pseudomonas aeruginosa</i>	760
Anti-Toxin A, Goat	
Antibody for Toxin A from <i>Clostridium difficile</i>	752
Anti-VAC <sub>H</sub> Transporter Saporin Conjugate	770
Biotin, Cholera Toxin B Subunit Conjugated	112
<i>Bordetella pertussis</i> , Adenylate Cyclase Toxin	188
<i>Bordetella pertussis</i> , Filamentous Hemagglutinin	170
<i>Bordetella pertussis</i> , Pertussis Toxin, Liquid in Glycerol Buffer	179A
<i>Bordetella pertussis</i> , Pertussis Toxin, Lyophilized in Buffer	180
<i>Bordetella pertussis</i> , Pertussis Toxin, Lyophilized, Salt Free	181
<i>Bordetella pertussis</i> , Pertussis Toxin A Protomer	182
<i>Bordetella pertussis</i> , Pertussis Toxin B Oligomer	183
Botulinum Neurotoxin Type A from <i>Clostridium botulinum</i>	130A
Botulinum Neurotoxin Type A Heavy Chain	132
Botulinum Neurotoxin Type A Light Chain	131
Botulinum Neurotoxin Type A Toxoid	133
Botulinum Neurotoxin Type B from <i>Clostridium botulinum</i>	136A
Botulinum Neurotoxin Type B Heavy Chain	138
Botulinum Neurotoxin Type B Light Chain	137
Botulinum Neurotoxin Type B Toxoid	139
Cholera Toxin, Azide Free	100
Cholera Toxin from <i>Vibrio cholerae</i>	101
Cholera Toxin A Subunit	102
Cholera Toxin B Subunit	103
Cholera Toxin B Subunit, Low Salt	104

Cholera Toxin B Subunit Conjugated to Fluorescein Isothiocyanate	106
Cholera Toxin B Subunit Conjugated to Horseradish Peroxidase	105
Cholera Toxin B Subunit Conjugated to Tetramethylrhodamine B Isothiocyanate	107
Cholera Toxin B Subunit Conjugated to Phycoerythrin	109
Cholera Toxin B Subunit Conjugated to Biotin	112
Cholera Toxin B Subunit, Recombinant	114
<i>Clostridium botulinum</i> , Botulinum Neurotoxin Type A	130A
<i>Clostridium botulinum</i> , Botulinum Neurotoxin Type A Heavy Chain	132
<i>Clostridium botulinum</i> , Botulinum Neurotoxin Type A Light Chain	131
<i>Clostridium botulinum</i> , Botulinum Neurotoxin Type A Toxoid	133
<i>Clostridium botulinum</i> , Botulinum Neurotoxin Type B	136A
<i>Clostridium botulinum</i> , Botulinum Neurotoxin Type B Heavy Chain	138
<i>Clostridium botulinum</i> , Botulinum Neurotoxin Type B Light Chain	137
<i>Clostridium botulinum</i> , Botulinum Neurotoxin Type B Toxoid	139
<i>Clostridium botulinum</i> , Exoenzyme C3	143
<i>Clostridium difficile</i> , Anti-Toxin A, Goat	
Antibody for Toxin A from <i>Clostridium difficile</i>	752
<i>Clostridium difficile</i> , Toxin A	152
<i>Clostridium difficile</i> , Toxin A Toxoid	153
<i>Clostridium difficile</i> , Toxin B	155
<i>Clostridium tetani</i> , Tetanolysin	199
<i>Clostridium tetani</i> , Tetanus Toxin	190
<i>Clostridium tetani</i> , Tetanus Toxin C-Fragment	193
<i>Clostridium tetani</i> , Tetanus Toxoid	191
<i>Corynebacterium diphtheriae</i> , Diphtheria Toxin CRM Mutant	149



<i>Corynebacterium diphtheriae</i> , Diphtheria Toxin, Unnicked	150
<i>Corynebacterium diphtheriae</i> , Diphtheria Toxoid	151
Diphtheria Toxin CRM Mutant	149
Diphtheria Toxin, Unnicked, from <i>Corynebacterium diphtheriae</i>	150
Diphtheria Toxoid	151
Enterotoxin Type B from <i>Staphylococcus aureus</i>	122
<i>Escherichia coli</i> J5 (Rc), Lipopolysaccharide	301
<i>Escherichia coli</i> K12, D31m4, Primarily Diphosphoryl Lipid A	402
<i>Escherichia coli</i> K12, D31m4 (Re), Lipopolysaccharide	302
<i>Escherichia coli</i> K12 strain LCD25, [ <sup>3</sup> H]Lipopolysaccharide	510
<i>Escherichia coli</i> K12 strain LCD25, Lipopolysaccharide	314
<i>Escherichia coli</i> O111:B4, Lipopolysaccharide	201
<i>Escherichia coli</i> O55:B5, Lipopolysaccharide	203
<i>Escherichia coli</i> , Stable Toxin	118
Exoenzyme C3 from <i>Clostridium botulinum</i>	143
Exotoxin A from <i>Pseudomonas aeruginosa</i>	160
Filamentous Hemagglutinin from <i>Bordetella pertussis</i>	170
Fluorescein Isothiocyanate, Cholera Toxin B Subunit Conjugated	106
Fluorescein Isothiocyanate, Tetanus Toxin C-Fragment Conjugated	196
Horseradish Peroxidase, Cholera Toxin B Subunit Conjugated	105
Horseradish Peroxidase, Tetanus Toxin C-Fragment Conjugated	195
Lipid A from <i>Escherichia coli</i> K12, D31m4, Primarily Diphosphoryl	402
Lipid A from <i>Salmonella minnesota</i> R595, Primarily Monophosphoryl	401
[ <sup>3</sup> H]Lipopolysaccharide from <i>Escherichia coli</i> K12 strain LCD25	510
Lipopolysaccharide from <i>Escherichia coli</i> J5 (Rc)	301
Lipopolysaccharide from <i>Escherichia coli</i> K12, D31m4 (Re)	302

Lipopolysaccharide from <i>Escherichia coli</i> K12 strain LCD25	314
Lipopolysaccharide from <i>Escherichia coli</i> O111:B4	201
Lipopolysaccharide from <i>Escherichia coli</i> O55:B5	203
Lipopolysaccharide from <i>Salmonella minnesota</i> R595 (Re)	304
Lipopolysaccharide from <i>Salmonella typhimurium</i>	225
Lipopolysaccharide, Ultra Pure from <i>Salmonella minnesota</i> R595 (Re)	434
Neuraminidase from <i>V. cholerae</i>	600
Pasteurella Multocida Toxin	156
Pertussis Toxin, Liquid in Glycerol Buffer from <i>Bordetella pertussis</i>	179A
Pertussis Toxin, Lyophilized in Buffer	180
Pertussis Toxin, Lyophilized, Salt Free	181
Pertussis Toxin A Protomer	182
Pertussis Toxin B Oligomer	183
<i>Pseudomonas aeruginosa</i> , Anti-Exotoxin A, Goat	
Antibody for Exotoxin A from <i>Pseudomonas aeruginosa</i>	760
<i>Pseudomonas aeruginosa</i> , Exotoxin A	160
Recombinant Adenylate Cyclase Toxin from <i>Bordetella pertussis</i>	188
Recombinant Cholera Toxin B Subunit	114
Recombinant protective antigen (PA) from <i>Bacillus anthracis</i>	171
Recombinant lethal factor (LF) from <i>Bacillus anthracis</i>	172
<i>Salmonella minnesota</i> R595, Primarily Monophosphoryl, Lipid A	401
<i>Salmonella minnesota</i> R595 (Re), Lipopolysaccharide	304
<i>Salmonella typhimurium</i> , Lipopolysaccharide	225
Shiga Like Toxin 1 (Verotoxin 1)	163
Shiga Like Toxin 2 (Verotoxin 2)	164
SNAPtide™ Peptide Substrate for <i>C. botulinum</i>	134

Stable Toxin from <i>Escherichia coli</i>	118
<i>Staphylococcus aureus</i> , Alpha Toxin	120
<i>Staphylococcus aureus</i> , Enterotoxin Type B	122
Tetanolysin from <i>Clostridium tetani</i>	199
Tetanus Toxin from <i>Clostridium tetani</i>	190
Tetanus Toxin C-Fragment	193
Tetanus Toxin C-Fragment Conjugated to Fluorescein	196
Tetanus Toxin C-Fragment Conjugated to Horseradish Peroxidase	195
Tetanus Toxoid from <i>Clostridium tetani</i>	191
Tetramethylrhodamine B Isothiocyanate, Cholera Toxin B Subunit Conjugated	107
Toxin A from <i>Clostridium difficile</i>	152
Toxin A Toxoid from <i>Clostridium difficile</i>	153
Toxin B from <i>Clostridium difficile</i>	155
Tritiated Lipopolysaccharide from <i>Escherichia coli</i> K12 strain LCD25	510
Verotoxin 1 (Shiga Like Toxin 1)	163
Verotoxin 2 (Shiga Like Toxin 2)	164
<i>Vibrio cholerae</i> , Anti-Cholera toxin, Goat	
Antibody for Cholera Toxin B Subunit	703
<i>Vibrio cholerae</i> , Cholera Toxin	101
<i>Vibrio cholerae</i> , Cholera Toxin, Azide Free	100
<i>Vibrio cholerae</i> , Cholera Toxin A Subunit	102
<i>Vibrio cholerae</i> , Cholera Toxin B Subunit	103
<i>Vibrio cholerae</i> , Cholera Toxin B Subunit, Low Salt	
Recommended for Tract Tracing	104

It will be appreciated that all these toxins, in addition to other toxins given in the

specification, are given as specific examples of toxins that may be related to practicing the present invention. Other known or unknown toxins can also be related to or used and may be preferred for certain, particular applications.

5       The term "molecule" means any distinct or distinguishable structural unit of matter comprising one or more atoms, and includes for example polypeptides and polynucleotides.

      "DNA" (deoxyribonucleic acid) means any chain or sequence of the chemical building blocks adenine (A), guanine (G), cytosine (C) and thymine (T), called nucleotide bases, that are linked together on a deoxyribose sugar backbone. DNA  
10       can have one strand of nucleotide bases, or two complimentary strands which may form a double helix structure. "RNA" (ribonucleic acid) means any chain or sequence of the chemical building blocks adenine (A), guanine (G), cytosine (C) and uracil (U), called nucleotide bases, that are linked together on a ribose sugar backbone. RNA typically has one strand of nucleotide bases.

15       As used herein, "cell" means any cell or cells, as well as viruses or any other particles having a microscopic size, e.g. a size that is similar to that of a biological cell, and includes any prokaryotic or eukaryotic cell, e.g., bacteria, fungi, plant and animal cells. Cells are typically spherical, but can also be elongated, flattened, deformable and asymmetrical, i. e., non-spherical. The size or diameter of a cell  
20       typically ranges from about 0.1 to 120 microns, and typically is from about 1 to 50 microns. A cell may be living or dead. As used herein, a cell is generally living unless otherwise indicated. As used herein, a cell may be charged or uncharged. For example, charged beads may be used to facilitate flow or detection, or as a reporter. Biological cells, living or dead, may be charged for example by using a  
25       surfactant, such as SDS (sodium dodecyl sulfate). Cell or a plurality of cells can also comprise cell lines. Example of cell lines include liver cell, macrophage cell, neuroblastoma cell, endothelial cell, intestine cell, hybridoma, CHO, fibroblast cell lines, red blood cells, electrically excitable cells, e.g. Cardiac cell, myocytes (AT1 cells), cells grown in co-culture, NG108-15 cells (a widely used neuroblastoma X  
30       glioma hybrid cell line, ATCC# HB-12317), primary neurons, a primary cardiac myocyte isolated from either the ventricles or atria of an animal neonate, an AT-1 atrial tumor cardiac cell, Liver cells are also known as Hepatocytes, Secretory cell

(depolarize and it secretes things) pancreatic beta cells secrete insulin, HELA cells (Helen Lane), HEK293 Human Epithelial Kidney c, Erythrocytes (primary red blood cells), Lymphocytes and the like. Each cell line may include one or more cells, same or different. For examples, the liver cell comprises at least one of Human  
5 hepatocellular carcinoma ("HEPG2") cell, CCL-13 cell, and H4IIE cell, the macrophage cells comprises at least one of peripheral blood mononuclear cells ("PBMC"), and skin fibroblast cells, the neuroblastoma cell comprises a U937 cell, the endothelial cell comprises a human umbilical vein- endothelial cell ("Huv-ec-c"), and the intestine cell comprises a CCL-6 cell.

10 A "reporter" is any molecule, or a portion thereof, that is detectable, or measurable, for example, by optical detection. In addition, the reporter associates with a molecule or cell or with a particular marker or characteristic of the molecule or cell, or is itself detectable, to permit identification of the molecule or cell, or the presence or absence of a characteristic of the molecule or cell. In the case of  
15 molecules such as polynucleotides such characteristics include size, molecular weight, the presence or absence of particular constituents or moieties (such as particular nucleotide sequences or restrictions sites). The term "label" can be used interchangeably with "reporter". The reporter is typically a dye, fluorescent, ultraviolet, or chemiluminescent agent, chromophore, or radio-label, any of which  
20 may be detected with or without some kind of stimulatory event, e.g., fluoresce with or without a reagent. Typical reporters for molecular fingerprinting include without limitation fluorescently-labeled single nucleotides such as fluorescein-dNTP, rhodamine-dNTP, Cy3-dNTP, Cy5-dNTP, where dNTP represents dATP, dTTP, dUTP or dCTP. The reporter can also be chemically-modified single nucleotides,  
25 such as biotin-dNTP. Alternatively, chemicals can be used that react with an attached functional group such as biotin.

A "marker" is a characteristic of a molecule or cell that is detectable or is made detectable by a reporter, or which may be coexpressed with a reporter. For molecules, a marker can be particular constituents or moieties, such as restrictions  
30 sites or particular nucleic acid sequences in the case of polynucleotides. The marker may be directly or indirectly associated with the reporter or can itself be a reporter. Thus, a marker is generally a distinguishing feature of a molecule, and a reporter is

generally an agent which directly or indirectly identifies or permits measurement of a marker. These terms may, however, be used interchangeably.

A "measurable quantity" is a physical quantity that is measurable by a device, or obtainable by simulations. For examples, a measurable quantity can  
5 comprise a physical quantity related to cellular physiological activities of a cell exposed to an agent. Because cellular physiological activities of a cell involve a lot of activities across a wide spectrum, the plurality of physical quantities related to the impact of the agent on the cell physiology of the cell exposed to the agent are numerous such as heat production, oxygen consumption, uncoupling ratio between  
10 heat production and oxygen consumption, free radical synthesis, fraction of oxygen diverted to free radical synthesis, reduced nicotinamide adenine dinucleotide phosphate ("NAD(P)H"), acid production, glucose uptake, lactate release, gluconeogenesis, transmembrane potential, intracellular messengers, membrane conductance, transmembrane pump and transporter rates, messenger RNA  
15 expression, neurotransmitter secretion, intracellular glycolytic stores, transmembrane action potential amplitude and firing rate, heat-shock protein expression, intracellular calcium, calcium spark rate and the like.

The term "channel" is broadly defined as any ionic pathway that is associated with cellular physiological activities of a cell. There are various types of channels.  
20 For examples, a channel can be a Voltage-gated channel, a Ligand-gated channel, Resting K<sup>+</sup> channels (that are inwardly rectifying K, leak channels), Stretch activated channels, Volume-regulated channels and the like. Examples of Voltage-gated channel include K, Na, Ca and Cl. Examples of Ligand-gated channel include Neurotransmitter (glutamate {NMDA, AMPA, KAINATE}, GABA, ACH  
25 (nicotinic), 5HT, glycine, histamine, Cyclic nucleotide-gated (cAMP, cGMP from inside of cell), some K-selective, some non-specific cation channels, G-protein activated (mostly potassium; pertussis toxin-inhibited), Calcium-activated (K channels activated by voltage and Ca) and the like.

A "sensor" is broadly defined as any device that can measure a measurable  
30 quantity. For examples, a sensor can be a thermal detector, an electrical detector, a chemical detector, an optical detector, an ion detector, a biological detector, a radioisotope detector, an electrochemical detector, a radiation detector, an acoustic

detector, a magnetic detector, a capacitive detector, a pressure detector, an ultrasonic detector, an infrared detector, a microwave motion detector, a radar detector, an electric eye, an image sensor, any combination of them and the like. A variety of sensors can be chosen to practice the present invention.

5           A "controller" is broadly defined as any device that can receive, process and present information. For examples, a controller can be one microprocessor, several microprocessors coupled together, a computer, several computers coupled together, and the like.

10           The term "biosignature" means a marker for a particular signaling or metabolic pathway affected by an agent.

          The term "analyte" means a material that can be consumed or produced by a cell. Examples of analyte of interest include pH, K, oxygen, lactate, glucose, ascorbate, serotonin, dopamine, ammonina, glutamate, purine, calcium, sodium, potassium, NADH, protons, insulin, NO (nitric oxide) and the like.

15           The term "flow" means any movement of fluid such as a liquid or solid through a device or in a method of the invention, and encompasses without limitation any fluid stream, and any material moving with, within or against the stream, whether or not the material is carried by the stream. For example, the movement of molecules or cells through a device or in a method of the invention,  
20           e.g. through channels of a microfluidic chip of the invention, comprises a flow. This is so, according to the invention, whether or not the molecules or cells are carried by a stream of fluid also comprising a flow, or whether the molecules or cells are caused to move by some other direct or indirect force or motivation, and whether or not the nature of any motivating force is known or understood. The application of  
25           any force may be used to provide a flow, including without limitation, pressure, capillary action, electroosmosis, electrophoresis, dielectrophoresis, optical tweezers, and combinations thereof, without regard for any particular theory or mechanism of action, so long as molecules or cells are directed for detection, measurement or sorting according to the invention.

30           A "medium" is a fluid that may contain one or more agents, one or more analytes, or any combination of them. A medium can be provided with one or more analytes to be consumed by one or more cells. A medium can have one or more

analytes generated by one or more cells. A medium can also have at the same time one or more analytes to be consumed by one or more cells and one or more analytes generated by one or more cells.

5 An "inlet region" is an area of a microfabricated chip that receives molecules or cells for detection measurement. The inlet region may contain an inlet channel, a well or reservoir, an opening, and other features which facilitate the entry of molecules or cells into the device. A chip may contain more than one inlet region if desired. The inlet region is in fluid communication with the main channel and is upstream therefrom.

10 An "outlet region" is an area of a microfabricated chip that collects or dispenses molecules or cells after detection, measurement. An outlet region is downstream from a discrimination region, and may contain branch channels or outlet channels. A chip may contain more than one outlet region if desired.

15 An "analysis unit" is a microfabricated substrate, e.g., a microfabricated chip, having at least one inlet region, at least one main channel, at least one detection region and at least one outlet region. A device of the invention may comprise a plurality of analysis units.

20 A "main channel" is a channel of the chip of the invention which permits the flow of molecules or cells past a detection region for detection (identification), or measurement. The detection and discrimination regions can be placed or fabricated into the main channel. The main channel is typically in fluid communication with an inlet channel or inlet region, which permit the flow of molecules or cells into the main channel. The main channel is also typically in fluid communication with an outlet region and optionally with branch channels, each of which may have an outlet  
25 channel or waste channel. These channels permit the flow of molecules or cells out of the main channel.

A "detection region" or "sensing volume" or "chamber" is a location within the chip, typically in or coincident with the main channel (or a portion thereof) and/or in or coincident with a detection loop, where molecules or cells to be  
30 identified, characterized, hybridized, measured, analyzed or maintained (etc.), are examined on the basis of a predetermined characteristic. In one embodiment, molecules or cells are examined one at a time. In other embodiments, molecules,



cells or samples are examined together, for example in groups, in arrays, in rapid, simultaneous or contemporaneous serial or parallel arrangements, or by affinity chromatography.

A "branch channel" is a channel which is in communication with a discrimination region and a main channel. Typically, a branch channel receives molecules or cells depending on the molecule or cell characteristic of interest as detected by the detection region and sorted at the discrimination region. A branch channel may be in communication with other channels to permit additional sorting. Alternatively, a branch channel may also have an outlet region and/or terminate with a well or reservoir to allow collection or disposal of the molecules or cells.

A "gene" is a sequence of nucleotides which code for a functional polypeptide. For the purposes of the invention a gene includes an mRNA sequence which may be found in the cell. For example, measuring gene expression levels according to the invention may correspond to measuring mRNA levels. "Genomic sequences" are the total set of genes in a organism. The term "genome" denotes the coding sequences of the total genome.

"Preconditioning" is the process by which the physiological state of a cell or cells is adjusted by application of a known drug, toxin, analyte, or other chemical or physiological stimulus for the purpose of adjusting the response of the cell to a subsequently applied toxin. For example, if a cell is in a resting state, an agent that decreases metabolic level may not alter the cell's metabolism below the already-low resting state. But if the cell is preconditioned to be in a level of high metabolic activity, the subsequent application of that same agent would produce a much larger signal.

"Feedback" refers to the process by which a measured signal is amplified and transformed in a manner that it can be used to control or alter the property of the system in a manner that in turn affects the measured variable. Negative feedback would be feedback applied in a manner to reduce the amplitude of the measured variable. Positive feedback would be feedback applied in a manner to increase the amplitude of the measured variable.

"Actuator" is a device that can, under electrical, mechanical, or chemical control, or the like, perform an action in such a manner as to effect a change to a

system. For example, a valve is an actuator that can control the release of an analyte.

"Feedback Control" is the process by which sensors and actuators are used to control the state of a system by means of positive or negative feedback, or both, such that the state of the system either remains constant in time or changes in accord with a desired sequence of changes. For example, the sensing of intracellular pH could be used to increase the flow of fluidic media into a cell to wash away the protons that are acidifying the sensing volume as a result of cell metabolism. As another example, a glucose sensor that detects a decrease in the glucose level in the sensing volume could use an actuator to increase the inflow of glucose into the sensing volume to stabilize the glucose levels to which the cell is exposed despite metabolic changes that affect the cell's utilization of glucose. The feedback signal can in turn provide direct information about, for example, the glucose consumption of the cell.

"Reaction time" is the time that a system of interest requires to respond to a change. For example, the reaction time of a cell is the time required for at least one of the physiological processes of a cell to adapt or respond to the application of an agent. The reaction time of a sensor is the time required for the sensor to respond to a change in the quantity that it is sensing. For example, the reaction time of an electrochemical sensor is set by the size of the sensor and the thickness and nature of protective coatings on the activated surfaces of the sensor. The reaction time of a microfluidic system is determined by the reaction time of the cell to changes in the environment, the time required for chemical species to diffuse throughout the sensing volume, the reaction time of the sensor(s), the reaction time of the actuators and the diffusion time of the analyte being controlled by the actuators. It follows that stable feedback control of a physiological parameter requires that the diffusion, sensor and actuator reaction times are less than the reaction time of the cell.

### Overview of the Invention

In one aspect, the present invention relates to a system and methods for using biological material to discriminate an agent. In one embodiment as shown in Fig. 1, a system 100 according to the present invention includes a plurality of cells 105, where each cell has multiple metabolic pathways 104 for metabolic events. The

system 100 further includes a first structure 101 for receiving the plurality of cells to form a biolayer, where the first structure 101 has a plurality of sensing volumes, and each sensing volume is in a conditioned environment capable of receiving and maintaining at least one cell. As such formed, cells 105 may be coupled together  
5 and communicate to each other.

The system 100 additionally includes an array 102 of sensors 106 positioned underneath the biolayer 101 for simultaneously monitoring of multiple metabolic pathways 104 for each of the plurality of cells, where each metabolic pathway may be disturbed in the presence of an agent (not shown). The system 100 further  
10 includes at least one controller 107 coupled to each sensor 106 of the array 102. When an agent invades the conditioned environment, the array of the sensors 102 detects the changes of metabolic events for at least one of the cells and generates at least one signal in response, and the controller 107 receives the signal from the array of sensors 102 and identifies the agent from the signal. The controller 107 further  
15 includes means for quantifying the agent from the measured response. Thus, among other things, contrary to traditional approaches to discriminate an agent from testing the agent, one aspect of the present invention is to discriminate, and quantify, an agent from the response of a living cell to the agent.

Moreover, because a living cell behaves as an analog computer, it can be  
20 programmed. However, the cell controls its physiological status through an internal cellular control mechanism. Therefore, in order to program the cell, i.e. direct the cell to do what it is taught to do, the internal cellular control mechanism of the cell has to be overridden. Historically, a limited set of interventions has allowed physiologists and engineers to study living cells and characterize the feedback  
25 control systems that govern cell function. With the advent of genetic engineering, it is now possible to reprogram the genetic machinery of a cell, for example to turn a particular gene on or off, or to produce large quantities of a particular biochemical. However, as yet there has been little work on inserting man-made devices into the control system of a single living cell so as to convert the cell into a programmable  
30 computational engine. The present invention merges cellular biophysics, microcircuits and microfluidics, and information technology to create programmable Multicellular Bio-Silicon Hybrid Microsystems such as system 100 as shown in Fig.

1, which serve as biological computing engines having an array of interconnected and instrumented living cells with associated control and modeling software; and a biophysical infospace design environment required to program and analyze output from these Microsystems.

5           Thus, as shown in Fig. 1, in addition to sensors 106, the physical layer 102 may further include microbottles, picocalorimeters, microfluidics, and controllers, some of them according to the present invention are discussed in more detail below. Additionally, the system 100 has infolayer 102 that may have reconfigurable digital and analog software, programmable digital signal processors (DSPs) and at least one  
10       controller 107 (which may itself be a DSP), which provides an integrated computational structure to receive measurements and compute signal identification procedures to detect and identify agents including toxins, and control cellular actuators. Sensors 106 can be multispectral sensors that measure and transduce multiple cell parameters and control cell environmental parameters via actuators and  
15       effectors. The system 100 may further have a biophysical infospace design environment 108 that includes software CAD/CASE tools that allow user(s) 109 to design algorithms for the computational structure 113 which supports multiple customized interfaces for the users 109 who, for examples, may include microbiologists, hardware/sensor engineers, diagnostic experts and the like. The  
20       computational structure 113 includes system models such as cellular metabolic processes and modeling 110, physical sensor and effectors on the sensor system 111, and identification and diagnosis procedures and decision models 112. Software generators 114, which may be embedded in one or more computers such as a network, automatically convert models 110, 111, and/or 112 into executable code(s)  
25       to program the infolayer 103 including controller 107, which in turn communicates and controls with the biolayer 101 through the physical layer 102, and to drive biological simulators 115, whose output 116 can be used to verify algorithms and procedures defined in generators 114 prior to implementation in the biolayer 101, the physical layer 102, and the infolayer 103.

30           Accordingly, the system 100 provides a programmable cellular microsystem that has a true bi-directional, bioionic-silicon interface. Development of the system 100 and related devices involves not only the building of cell-based biosensors, but

also the creation of biological and solid-state processes needed to form a functioning assembly of sensors and actuators. One challenge is to identify the computations or tasks for which this technology is best suited. Nevertheless, the present invention provides multiple biosilicon microsystems that can be combined to form larger  
5 analog biomicrocomputers capable of solving particular classes of problems with higher speed and lower power consumption than could be implemented in silicon and software.

In one application, the system 100 can be utilized to discriminate an agent. In one embodiment, at least one cell 105 is provided and is exposed to the agent,  
10 which may be contained in a medium, the response of the cell to the agent is measured in terms of a physical quantity related to at least one of the cellular physiological activities of the cell, and from the measured response the agent can be identified. Furthermore, the agent (such as its concentration in the medium) can be quantified from the measured response. When cell(s) are used as a canary to detect  
15 an agent, the present invention has the tremendous advantage of non-specificity, in that it reveals information only about overall cellular metabolic activity and hence it is not necessary to develop a particular sensor for each anticipated agent such as toxin.

In another embodiment, at least one cell is provided and is exposed to the  
20 agent, which may be contained in a medium, the response of the cell to the agent is measured, where the response of the cell to the agent is characterized by a reaction time, at a time period shorter than the reaction time, and from the measured response the agent can be identified. Furthermore, the agent (such as its concentration in the medium) can be quantified from the measured response. The response can take  
25 various forms including a temporal response of the cell to the agent, which is measured in at least two measurements. The time between the measurements is shorter than the reaction time corresponding to the temporal response of the cell. Indeed, as discussed below, among other things, one aspect of the present invention is that it provides devices and methods in which the diffusion time from the cell to  
30 the sensor is comparable to the response time of the sensor such that the response of the cell to the agent can be measured faster and better than what prior art could offer.

Exemplary devices and methods according to the embodiments of the present invention are given below. Note that titles or subtitles may be used in the examples for convenience of a reader, which in no way should limit the scope of the invention.

5

## EXAMPLES

### Example 1

#### Biosignatures Matrix

In one aspect of the present invention, a wide-spectrum, activity-detection technology is developed that employs several novel cell and membrane-based sensing technologies, in order to provide a complete bio-functional signature of a CBW agent, unknown drug, or other threat. The bio-functional signatures can be used with advanced algorithms to discriminate between different agents. The system and devices are extraordinarily versatile and general; because one unique feature of the present invention, among other things, is that the biological impact of the toxins is detected and measured, rather than the toxins themselves.

Today, biosensors use biological molecules (antibodies, enzymes, nucleic acids, etc.) for specific agent detection via specific binding reactions. Wide-spectrum detection is expensive, requiring a priori threat knowledge and a large quantity of specific cells. Assays are susceptible to overload from multiple threats and false detection and from non-pathogenic 'spoof' organisms. Furthermore, addressing new threats involves a lengthy, costly design process. In addition, conventional assays do not employ cellular machinery to increase sensitivity.

An alternative is to monitor the state of a set of optimized biological systems so that a departure from normal homeostasis sounds an alert of a possible CB attack. A broad set of physiological tests on a combination of receptor, ion-channel, cell, and tissue-based biosensors can provide a rapid, sensitive, and accurate differential diagnosis of cellular pathophysiology. One challenge is to develop sound methods for achieving clear signatures of the patho-physiological effects of CBW agents. This approach discerns both the identities of known CBW agents, and the mechanism of action for unknown agents. Such information will help guide countermeasures.

Accordingly, a spectrum of on-line biosensors for physiological responses in model systems or living cells are developed, in order to obtain and discriminate bio-functional signatures of CBW agents. These biosensors can measure heat generation, metabolic products, ion-channel conductance, transmembrane potential, intracellular conductance, the expression of optically tagged proteins for cardiac myocytes, neurons, and endothelial cells, and intracellular and intercellular signalling, which includes the secretion of neurotransmitters, hormones, and growth factors. These modalities are chosen to span the broad range of physiological mechanisms affected by the spectrum of possible CBW agents. The multi-phasic measurements can be used to track toxin-induced, temporal responses, and test hypotheses regarding prophylactic or therapeutic measures in support of a differential diagnosis.

Referring now to Fig. 9, in one embodiment, the present invention relates to a method for using biological material to discriminate an agent. A matrix of biological signatures 900 constructed according to one embodiment of the present invention is shown in Fig. 9. Matrix 900 conceptually represents a hypothetical table or process used to specify cell species, measurement methods, and expected/measured responses for definition of identification algorithms related to the discrimination of agents. As shown in Fig. 9, column 901 represents cell species, i.e., cells, which are utilized to discriminate an agent. Column 902 represents devices that are used to make corresponding measurements, where each measurement measures a biological signature of one of a plurality of cells in response to an agent. Note that while some of devices given in column 902 are examples according to embodiments of the present invention, which are disclosed in this specification, other devices and even some existing technologies can be utilized to practice the present invention. Column 903 represents measurable quantities or attribute/product to be measured. Column 904 represents outputs of the measurements, i.e., the expected or measured response of the cell/attribute for each of the agents of interest, including the change from nominal/steady state for a cell and the signal-to-noise of the measurement. Furthermore, the matrix 900 is an open-ended, i.e., it can be expanded to include specifications for additional cell types 905 as well as identification for additional agents 906. In other words, the total number

of elements for column 902 (number of cells), N, the total number of elements for column 903 (number of measurable quantities) and even total number of elements for agents 906 (number of agents) are adjustable. It means that, for example, it does not require the development of specific assays to new agents such as known or unknown toxin threats. This generality arises because the present invention allows one to measure the biological impact of toxins rather than the toxins themselves.

Fig. 9A shows a matrix of biological signatures 950 constructed according to another embodiment of the present invention. As shown in Fig. 9A, matrix 950 has a dimension of  $N \times M$ , where N represents cell species or cell lines such as HeLa, NB, and HepG2. Each cell line may have a number of cells participating. Thus, N may also reflect the total number of cells that are utilized to discriminate an agent. M represents the total number of the plurality of measurable quantities such as pH, DO, Glucose, Lac,  $\text{CO}_2$ , NADH as shown in Fig. 9A. When the one or more cells 951 are exposed to an agent such as a toxin, one or more measurable quantities 953 of the one or more cells responsive to the agent are measured, generating a plurality of outputs 957. Each of the outputs 957 is an element of the matrix 950 that represents a biological signature corresponding to a particular cell responsive to the agent. For examples, element 961 represents the measurement of analyte pH for an HepG2 cell responsive to toxin 954, element 963 represents the measurement of analyte DO for an HepG2 cell responsive to toxin 954, etc. The measured measurable quantities of the plurality of cells 951 responsive to the toxin 954, i.e., outputs 957, can then be compared with the corresponding biological signatures of the matrix 950, which can be obtained through calibrating the matrix from a plurality of chemical agents once the matrix is formed and stored in a model data base. From the comparison, the toxin can be identified. Note that each output 957 has amplitude, from which the toxin can be quantified through the comparison, which is another unique feature of the present invention over the existing technologies. The measured measurable quantities, outputs 957, can be stored in a database associated with a memory device (not shown) for further processing, analyzing, feedbacking, or the like.

Outputs 957 can be obtained in several ways. In one embodiment, for example, one can start to measure element 961 in the first row, then element 963,



until all elements in the first row of the matrix 950 have been measured. Then, one can continue to measure element 971 in the second row, then element 973, until all elements in the second row of the matrix 950 have been measured. This process is repeated for the rest rows of the matrix 950 until all biology signatures  
5 corresponding to the elements in all N rows of the matrix have been measured. This process of measurements may be termed as an orthogonal measurement. Note that the elements in all N rows of the matrix can be measured simultaneously. Alternatively, the elements in all N rows of the matrix can be measured in sequence, or any way one chooses to proceed. It will be appreciated that the method described  
10 above is just one of many ways to get the elements of the matrix measured. For example, one is free to pick any element of the matrix as a starting point to measure. Alternatively, one can pick several (up to all) elements of the matrix to be measured simultaneously.

Calibration(s) may be performed before the measurements. Moreover,  
15 before the measurements, preconditioning agents may be applied to the cells 951 to place the cells in a desired physiological state. Cells 951 can be placed in one or more chambers 958. Each chamber 958 may receive one or more cells. Additionally, during the measurements, a medium containing analytes may be supplied to cells in each chamber so as to maintain a preconditioned environment to  
20 keep the cells of interest alive. Different chambers may receive different mediums in term of content through proper fluid control. Moreover, the exposure of the cells to the agent needs to be kept under a threshold of exposure for irreversible cell damage or cell death to keep the cells of interest alive. The exposure of the cells to the agent can be adjusted according to the measured measurable quantities. Some or  
25 all of the activities discussed above can be coordinated, performed, or processed by a computer or a computer associated with a network.

Outputs 957 can be obtained through various apparatus. In one embodiment as shown in Fig. 9A, a sensor array 952 is utilized to measure the measurable quantities of at least one of the plurality of cells responsive to the agent. Sensor  
30 array 952 includes a plurality of sensors, which may be same or different. Some or all of them may be devices provided by the present invention such as sensor 956, which is a NanoPhysiometer as discussed in more detail below. Sensor array 952

may be considered as a matrix of sensors corresponding to the matrix of biological signatures 900.

Referring now to Fig. 10, response of cells to certain toxin is shown. In Fig. 10, measured acidification rate of cultured cells, when exposed to a stepped increase in a toxin, followed by washout. Line 1001 represents acidification response of hepatocytes to parathion. Line 1002 represents response of hepatocytes to paraoxon. Line 1003 represents response of neuroblastomas to parathion. And line 1004 represents response of neuroblastomas to paraoxon. Fig. 10 shows the dose-response of a change in pH induced by agents in cell cultures of  $\mu\text{L}$  volumes. Fig. 10 uses published data of parathion (open symbols) and paraoxon (filled symbols) on metabolic activity of human hepatocyte and neuroblastoma cells obtained with a commercially available CytoSensor<sup>TM</sup> instrument, which also shows that commercially available instruments may be modified and utilized to practice the present invention.

Fig. 15 shows the response of optical beacons to a binding event as a means to identify the expression of particular mRNA in response to toxins and agents. Upon exposure to Interferon-Y at 1501, synthesis of mRNA is triggered at 1502. The resulting mRNA 1504 then binds with a molecular beacon 1503 in a manner that the ends of the molecular beacon are no longer in close proximity, so that the resulting beacon-mRNA complex fluoresces 1505. Fig. 16 schematically indicates that when hybridized with a complementary oligonucleotide, the hairpin structure linearizes, distancing the fluorophore and quencher to yield fluorescence. In other words, existing optical molecular beacon technology can also be utilized practice the present invention.

An example of cellular pathways can be monitored with discrimination matrix 950 and sensor array 957 of the present invention. Cellular processes are metabolically-driven, energy-requiring events. The basal energy requirements are derived from the oxidation of metabolic substrates, *e.g.*, glucose 1602, either by oxidative phosphorylation 1611 involving the aerobic TCA or Krebs's cycle 1609 or anerobic glycolysis 1602. When glycolysis is the major source of energy, the metabolic activity of cells can be estimated by monitoring the rate at which the cells excrete acidic products of metabolism 1605, *e.g.*, lactate 1606 and  $\text{CO}_2$  1607. In the

case of aerobic metabolism, the consumption of extracellular oxygen 1603 and the production of oxidative free radicals 1604 are reflective of the energy requirements of the cell. Intracellular oxidation-reduction potential can be measured by autofluorescent measurement of the NADH 1611 and NAD<sup>+</sup> 1610 ratio. The amount of energy, e.g., heat 1608, released by the cell is derived from analytical values for substances produced and/or consumed during metabolism which under normal settings can be predicted from the amount of oxygen consumed (4.82 kcal/l O<sub>2</sub>). The coupling between heat production and oxygen utilization can be disturbed by toxins. Direct microcalorimetry measures the temperature rise of a thermally isolated sample. Thus when combined with measurements of oxygen consumption calorimetry can be used to detect the uncoupling activity of toxins. The devices disclosed in this specification are designed to measure, among other things, the following variables: glucose 1602, lactate 1606, CO<sub>2</sub> 1607, NADH 1611 and NAD<sup>+</sup> 1610 ratio, heat 1608, O<sub>2</sub> consumption 1603, and free-radical production 1604. Some metabolic activities of cells of interest are listed in the following Table 1.1.

<b>Glucose + 2 ADP + 2 NAD<sup>+</sup></b>	<b>→</b>	<b>2 Pyruvate + 2 ATP + 2 NADH</b>
<b>Pyruvate + NADH</b>	<b>→</b>	<b>Lactate + NAD<sup>+</sup></b>
<b>Pyruvate + CoA + FAD</b> <b>+ GDP + 3 NAD<sup>+</sup> + NAD(P)<sup>+</sup></b>	<b>→</b>	<b>3 CO<sub>2</sub> + FADH<sub>2</sub> + GTP</b> <b>+ 3 NADH + NAD(P)H</b>
<b>0.5 O<sub>2</sub> + 3 ADP + NADH</b>	<b>→</b>	<b>3 ATP + NAD<sup>+</sup></b>
<b>0.5 O<sub>2</sub> + 2 ADP + FADH<sub>2</sub></b>	<b>→</b>	<b>2 ATP + FAD</b>

Table 1.1

The energy requiring events within the cells are sensitive to the availability of energy in the form of ATPase and NADH (NADPH) to sustain the activity. Those energy-consuming events include maintenance of the membrane potential, intracellular pH, and osmotic balance. Moreover, many of the cell signaling events that control cell growth, programmed cell death (apoptosis), cellular cytoskeleton

and cell specific function (*e.g.*, immune response of macrophages and gluconeogenesis and albumin synthesis by hepatocytes) are very sensitive to metabolic stress. Thus, one aspect of the present invention is to take advantage of the unique characteristics of cells to develop signatures that will allow for discrimination. For example, the sodium potassium ATPase, which is the major consumer of ATPase in the resting cell, is reliant on adequate cellular ATPase availability to maintain a transmembrane potential. Without this potential, cell viability is dramatically compromised. Toxins that target the pump or the cellular ATPase levels will produce identifiable and measurable signatures.

10           The approach to monitor specific metabolic pathways has the tremendous advantage of non-specificity, in that it reveals information about overall cellular metabolic activity and hence it is not necessary to develop a particular sensor for each anticipated toxin. Yet by monitoring specific features of the metabolic response in multiple cells types, we can define the discrimination algorithm. Clearly  
15           the response to a toxin can be cell specific. For example, the ECBC laboratory demonstrated that parathion and paraoxon have opposite effects on hepatocyte and neuroblastoma cell metabolism. The cell lines utilized in the present invention include, for examples, macrophages (PBMC,U937), liver(HEPG2,CCL-13,H4IIE), neural (HTB-11) and endothelial (HUV-ECC-C), and intestinal (CCL-6) cells. They  
20           represent cells that are derived from organs, which are targets of biotoxins. The liver is a major target of toxins (aflatoxin, organophosphates, viral hepatitis) both because of its anatomical location, (*i.e.*, is exposed to all toxins absorbed via the alimentary tract) and because it is metabolically active and plays such a central role in biotodetoxification in the organism. The intestine is directly exposed to toxins (*e.g.*,  
25           bacteria, virus, enterotoxin) entering via the oral route. Neuronal cells are targets of a number of toxins (organophosphates) that alter ion channel function.

          Macrophages serve as one of the most important sentinels for the presence of many biotoxins. They are ideally located at the major routes of potential toxin entry: respiratory airways, intestine, liver and skin. The alveolar macrophage (AM) lives  
30           on the mammalian bronchial surfaces and is exposed to inhaled polluted air. Acting as a scavenger, it protects the pulmonary tissue from invading microorganisms and inhaled particles and hence is an ideal sentinel for air quality. Macrophages upon

stimulation have a characteristic “respiratory burst”. This is a manifest as a large increase in oxygen consumption and oxygen free radical production. The free radicals inactivate toxins such as viruses and bacteria. Given their robustness and the rapidity of the “respiratory burst” responses to toxins, macrophages can serve as  
5 early responders in the discrimination matrix 950.

An example of toxin discrimination by simultaneous monitoring of multiple metabolic signals according to the present invention following the exposure of some toxins is shown in Figs. 17A and 17B. Figs. 17A and 17B illustrate how the discrimination of different analytes can be realized with a multi-sensor array of the  
10 present invention, and how one can deduce which metabolic pathways are targeted by the agent. In Fig. 17a, it shows an example of the physiological signatures (energy and metabolic) produced by the chemical Dinitrophenol (DNP), which uncouples ATPase synthesis from heat production and oxygen consumption. The result is that to perform the same cellular processes, more oxygen, represented by  
15 line 1703, is consumed and more heat, represented by line 1701, and carbon dioxide, represented by line 1702, are generated by less efficient systems such as glycolysis. Glucose uptake, represented by line 1704, increases significantly, but lactate release, represented by line 1705, increases only slightly. Thus, the physiological signature of DNP will be a rise of oxygen consumption and heat production. In the case of  
20 cyanide in Fig. 17b, the heat production 1711), glucose uptake 1714, and lactate release 1715 increase while CO<sub>2</sub> production 1712 and O<sub>2</sub> consumption 1715 decrease, respectively.

Generally in response to stress, the increase in heat production is driven by an increase in the metabolic requirements of the cell. This increase may be met by a  
25 general increase in oxygen consumption that is driven by an increase in mitochondrial respiration and oxygen consumption. The increase in caloric requirements can be met by a facilitation of glucose entry. The glucose can either enter the glycolytic pathway and be released as lactate or it can be completely oxidized to carbon dioxide and water via mitochondrial respiration. Depending on  
30 the site of action of the toxin, and the cell type, one or both pathways may be used. Some toxins (e.g., cyanide) target mitochondrial respiration. Thus, despite adequate oxygen availability, the cell is unable to use oxygen to make ATP. Thus, glycolysis

(glucose conversion to lactate) serves a greater role in meeting the energy demands of the cell and the release of carbon dioxide is not longer the primary fate of the glucose carbon and instead lactate release increases with glucose uptake. In contrast other agents such as DNP (dinitrophenol) decrease the efficiency of the mitochondrial process such that the oxygen requirements are greater for a given ATPase requirement of the cell. The result is that the cell consumes more oxygen and produces more heat to meet the ATPase demands. This may manifest as a unique signature whereby oxygen consumption and heat production both increase. To meet the increase in energy demands, glucose uptake is increased. In this case as shown in Fig. 17a, there will be a corresponding increase in CO<sub>2</sub> production. However, that does not require the use of the inefficient glycolytic pathway to meet the cellular needs and lactate release will increase only slightly. Thus, unique biological signatures can be developed by tracing the time course and amount of glucose uptake and subsequent oxidation and/or conversion to lactate in response to a given toxin.

Figs. 18A and 18B displays the discrimination of toxins/agents by monitoring characteristic temporal response of cellular phenotype to toxins. Since the devices provided by the present invention are small, the temporal response is expected to be measured with millisecond resolution. Different agents such as toxins act on different time scales that will be used for discrimination. As an example shown in Fig. 18A, upon stimulation with endotoxin or phorbol esters, macrophages have an oxidative burst in which oxygen consumption, represented by line 1801, increases rapidly and markedly. Interestingly, this increase is not as dependent upon mitochondrial function as is seen in the liver. In macrophages endotoxin and phorbol esters activate a cytosolic enzyme (NADPH oxidase) that catalyzes the reaction ( $\text{NADPH} + 2\text{O}_2 \rightarrow \text{NADP}^+ + 2\text{H}^+ + 2\text{O}_2^-$ ), Hydrogen peroxide ( $\text{H}_2\text{O}_2$ ) is produced by dismutation of  $\text{O}_2^-$ , represented by line 1802. The free radicals generated in turn are cytotoxic due to its rapid conversion to  $\text{OH}^-$  and other radicals. Thus, the increased consumption of oxygen is less dependent upon a mitochondrial response and is more rapid in onset and greater in magnitude than that of the liver, as shown below, the peak response is within five minutes and is paralleled by a rapid increase in free radical formation. In contrast, energy expenditure in hepatocytes, as

shown in Fig. 18B, is increased when challenged by agents, as shown by the increase in O<sub>2</sub> consumption 1811. But this is accompanied by only a modest rise in free radical production 1802, primarily mitochondrial in origin. Therefore, the characteristics of the biological signature can vary markedly both in terms time of onset, rate of rise, magnitude, and deactivation rate of the individual metabolic or energy signature.

As displayed in these figures, the energy signature of the activated macrophage and the stimulated hepatocyte can be markedly different. Macrophages have a characteristically rapid increase in oxygen consumption, which wanes despite the presence of the stimulus. In contrast the hepatocyte exhibits a response that is slower in onset and sustained until the removal of the stimulus. The controlled addition of a known amount of endotoxin will result in an increase in hepatic energy consumption to support the very high metabolic activity of the liver. When unknown toxins are administered that uncouple or inhibit this process, for example dinitrophenol or cyanide, the normal energy demanding functions of the liver, such as gluconeogenesis, are compromised. The consequent cell specific change in metabolic activity can be monitored and used as a canary to detect toxins.

Figs. 19A and 19B show discrimination by characteristic responses in a conditioned environment. In particular, the difference in lactate release, represented by line 1901, following VX exposure is dependent upon preexposure to phenobarbital, with no preexposure shown in Fig. 19A and preexposure in Fig. 19B, respectively. Preconditioning cells prior to toxin exposure can both serve to amplify a response to a toxin and help in the discrimination between toxins when the cells expose to more than one toxin. Cultured hepatocytes have limited capacity to sustain a gluconeogenic response to regulators of this process. However, prior exposure to dexamethasone markedly improves their response to the normal regulators. By enhancing the baseline gluconeogenic rate, specific inhibitory effects of toxins can readily be detected. Moreover, prior exposure to drugs, which enhance the metabolism of a cell, could be used to discriminate agents. Organophosphates inhibit acetylcholinesterase activity. The data are shown in Fig. 10.

The nerve gas VX and other organophosphates inhibit glycolysis in neuroblastoma cells. However, pre-exposure of neuroblastoma cells to

Phenobarbital may enhance the enzyme cytochrome P450, which in turn may result in the cellular conversion of these toxins to a more potent toxin (bioactivation). Thus following bioactivation of hepatocytes with Phenobarbital, the reduction of glycolysis with VX and parathion may be markedly enhanced.

5           Fig. 20 displays discrimination by characteristic reaction kinetics of metabolic pathways. The metabolic signatures of hepatocytes exposed to a change in glucose concentration in the absence, represented by line 2001, and presence, represented by line 2002, of okadaic acid are shown, respectively.

          Additionally, environment may be manipulated to determine where in a  
10       given metabolic pathway the toxin is acting. This may be most effective if a toxin takes advantage of a metabolic signaling pathway to exert its action. One important pathway in cell signaling that is affected by a number of toxins is the protein kinase A/cyclic AMP system. This system when activated has profound and well-  
15       characterized metabolic responses, which include increases in gluconeogenesis and glycogen breakdown, and inhibition of glycolysis. As one example of pathway modulation, the response of a hepatocyte to an increase in the available glucose will depend upon whether an agent blocks a particular enzyme pathway. Okadaic acid and microcystins inhibit protein phosphatase 1 and 2A activity. Okadaic acid has  
20       been shown to regulate cyclic AMP mediated events. Thus in the presence of okadaic acid, an increase in glucose concentration will not increase hepatic glucose uptake.

          Other toxins may alter glycogen metabolism as well via their effect on cell signaling pathways. An increase in lactate release that is disproportionate to the increase in glucose uptake, this would suggest an endogenous source of glucose (i.e.,  
25       glycogen). Increases in lactate release disproportional to the increase in glucose consumption could reflect toxins that either increases cyclic-AMP or intracellular calcium (e.g., organophosphates including the chemical warfare agents Sarin and VX). Increases in cyclic-AMP or calcium will activate hepatic glycogen  
30       phosphorylase, which will enhance glycogen breakdown. Since glycogen is a glucose polymer, upon its hydrolysis the cell will release glucose or metabolize the glucose and release it as lactate. By applying specific measurements of intracellular calcium and calcium conductance, for example, one may combine knowledge of



metabolic pathways with specific manipulations that allow one to dissect how a specific toxin exerts its action on metabolism.

Fig. 21 shows the shape of the action potential of excitable cells as an integral sensing concept to access the physiological state of the cell. The control action potential of a cardiac cell, represented by line 2101, and the action potential following agent washout, represented by line 2102, are a factor of four longer in duration than the action potential in the presence of Soman, represented by line 2103. Thus, measurement of the shape of the transmembrane action potential can serve as a sensitive but non-specific indicator of the effect of an agent on a cell, and it may even allow discrimination between agents. The action potential can also be used as an initial way to detect the influence of the toxin agent on ion channels and ion pumps.

The effect of Soman on an action potential of a neuron is shown as an example. The course of the action potential depends on the proper function of various ion channels and functionally associated enzymes. Block of individual channels, like sodium channels with TTX, results in an immediate change of the action potential, which is easily detected. Likewise, blocking an associated enzyme, like the Na/K exchanger with Oubain, results in a marked change of the action potential. Blocking the Ca-channel in cardiac tissue with verapamil changes the shape of the action potential dramatically, which could be extracted from the data and being reflected in the action potential duration. For neural cells more so than for cardiac cells, the fitting of a Hodgkin-Huxley-type model to the observed action-potential shape can be used to estimate the conductance variation of key channels.

Thus, among other things, the present invention provides a matrix of biological signatures that can be used to define an orthogonal set of cell lines, assays, and measurements for detecting previously known or unknown toxins, determining mechanisms of toxin activity through real-time biochemistry and autonomous hypothesis generation and testing, and using a bio-silicon circuit to specify and deliver the appropriate antitoxin for cellular-level defense. Additionally, the matrix of biological signatures can be integrated into a field deployable, configurable, and fully automatic device to detect a large number of toxic agents with unsurpassed sensitivity, which does not require the development of specific

assays to new toxin threats. Again, this generality arises because the present invention allows measuring the biological impact of toxins rather than the toxins themselves.

5

## Example 2

### Nanophyiometer and Bioreactor

In one aspect, the present invention relates to an apparatus for monitoring the status of a cell, more particularly, for screening physiological and biochemical effects of one or more cells on the nanoliter to picoliter scale. Such an apparatus according to the present invention may be termed as a Nanophysiometer, which in  
10 no way should limit the scope of the invention.

Fig. 7 schematically shows a first embodiment of a Nanophysiometer according to the present invention. In Fig. 7, device 700 has a sensing volume 704 filled with a solution of media containing a single or multiple cells 701. The  
15 solution of media in the volume 704 can be modified or changed using an inflow channel 708 and an outflow channel 707, which are parts of a channel 721 that is in fluid communication with a supply or reservoir of media (not shown). The flow in each of the channels 707, 708 can be controlled by valves 703, individually or in cooperation.

20 The volume 704 is bounded on one side by a flexible membrane 705 that can be deflected, *e.g.*, by pressurizing a closed volume 715 below the flexible membrane 705 through the channels 710 or 711, which are parts of a channel 723 that is in fluid communication with a supply or reservoir of fluid such as an air pump (not shown). The flow in each of the channels 710, 711 can also be controlled by valves (not  
25 shown), individually or in cooperation. Channel 723 is defined by a first substrate 731.

The volume 704 is bounded on the other side by a second substrate 733 having a first surface 735 and a second surface 737. The second surface 737 of the second substrate 733 and the first substrate 731 defines the channel 721. Several  
30 sensors 702 are positioned on the second surface 737 of the second substrate 733 to measure the concentration of analytes in the sensing volume 704. The sensors 702 could be thin film electrodes and can be used to measure various analytes in the

sensing volume 704 to monitor the status of the cell 701. The sensors 702 are coupled through leads 709 to a sensing unit (not shown), respectively. Note that by deflecting the membrane 705 forces may be applied to the cell 701, in particular when the cell 701 is attached to the membrane 705, so that the response of the cell 5 701 to the applied force can be detected. Further note that device 700 can be utilized to grow a cell. For instance, a cell can be attached to the membrane 705, and the status of the cell can be monitored by sensors 702.

Fig. 12 schematically shows a second embodiment of a Nanophysiometer according to the present invention. In Fig. 7, device 1200 has a system support 10 structure 1201 beneath a microfluidic channel 1202. The microfluidic channel 1202 is formed in a micromachined substrate 1205. A number of wells 1251 are in fluid communication with the microfluidic channel 1202. Epoxy 1203 provides a fluid-tight seal between the support structure 1201, the micromachined substrate 1205, and a cover 1204. Cover 204 is transparent and supports an array of sensors 1206. 15 Individual electrochemical sensors 1206 include enzyme-activated electrodes, and enzyme electrodes that can determine the extracellular fluidic composition and the consumption and release of metabolic substrate and byproducts when used in combination with silver/silver-chloride reference electrode 1207, gold counter electrode 1208 and an amperometric or potentiometric instrument 1215 that 20 measures and/or applies voltages and/or currents for the combination of electrodes 1206, 1207, 1208. Oxygenated perfusate reservoir 1209, oxygen supply for the perfusate oxygenator, computer-controlled nanoliter syringe pump 1213 and check valves 1211 and 1212 allow the withdrawal by the pump 1210 of oxygenated perfusate 1213, and its subsequent injection through tubing 1214, to the microfluidic 25 channels 1202.

Cells are placed into the wells 1251. Each well has a volume of less than 1 nL and may receive one or more cells to be confined therein. The electrochemical sensors 1206, 1207, 1208 monitor the metabolic state of each cell or cells. The microchannels 1202 with cross-sections on the order of  $10\text{ }\mu\text{m} \times 10\text{ }\mu\text{m}$  supply 30 analytes to the cells, remove waste, and allow for the introduction of biological agents into the wells. One to several cells can be placed into each well with a micropipette or through the fluid channels. Among other things, device 1200 has

external pumps and valves for automated control of the flow and introduction of the analytes. Moreover, device 1200 has planar electrochemical sensors 1206, and nanoLiter sized volumes 1251 resulting in high sensitivity and fast response times. Additionally, device 1200 has on-chip sealed wells and channels for cell storage,  
5 delivery of analytes and biological agents, and removal of waste. Utilization of sensors 1206, 1207, 1208 having different electrochemical characteristics allows for multispectral readout. The transparency of the cover 1204 also makes optical detecting available.

Referring now to Fig. 13, a third embodiment of a Nanophysiometer  
10 according to the present invention is shown. In Fig. 13, device 1300 has both external valve actuators and on-chip pumps. Micromachined substrate 1320, which can be formed in silicon, glass, ceramic, plastic, or polymer, defines microfluidic channels 1301, which can be used as oxygen inlet for oxygenating the perfusate interfacing with a cell 1312. An optional cover slip 1302 covers the microfluidic  
15 channels 1301. Addressable piezoelectric nanoactuator array 1307 is supported by an actuator platform (or substrate) 1305 and support posts 1304. Check valves 1311 allow the withdrawal of oxygenated perfusate from the reservoir 1303 and its injection into the microfluidic channels 1301 and sample wells 1308 that contain the living cells 1312. The chamber corresponding to each well 1308 is drained by  
20 microfluidic line 1310. Sensors in the form of interdigitated microelectrodes 1309 allow the electrochemical determination of analytes in each chamber 1308. Optical detectors(not shown) can also be utilized through the cover 1302, which is at least partially transparent.

Device 1300 shows how external pumps utilized in device 1200 as shown in  
25 Fig. 12 can be replaced with on-chip pumps making the device a standalone unit. Device 1300 could be match box sized incorporating, wells, sensors, pumps and actuators to achieve the goal of massively parallel testing. On-chip pumps can be a microscale version of the syringe pumps used in device 1200. Using standard microfluidic technology, each pump will, for example, may have a reservoir covered  
30 with a flexible membrane. This membrane will be moved in or out by changing the length of a piezoelectric element. An array of individually addressable piezoelectric filaments can be utilized to provide separate actuation of multiple pumps. This

actuator 'bed-of-nails' may also provide valving by pinching closed sections of the channels between the reservoirs.

An exemplary electrode can be utilized to practice the present invention including utilization in any embodiment of Nanophysiometer is shown in Fig. 26.

5 Fig. 26A is a photomicrograph of the electrode array with platinum, iridium oxide, and platinum microstrips on a glass substrate. Fig. 26B shows a pH calibration of the sensor. Such an Iridium oxide pH electrode can be used to form on a platinum interdigitated microelectrode array.

Referring now to Fig. 27, a fourth embodiment of a Nanophysiometer according to the present invention is shown. In Fig. 27, device 2700 has a first  
10 substrate 2721 and a second substrate 2723 defining a sensing volume 2704 therebetween. The sensing volume 2704 contains a single or multiple cells 2701 in sufficient close proximity to sensors 2702 designed to monitor the physiological status of the cell or cells 2701 such that any measurement related to the  
15 physiological status of the cell or cells 2701 can be made at a time period shorter than a characterization time corresponding to the physiological status of the cell or cells 2701. The sensing volume 2704 is in fluid communication with a channel 2725, which has an inlet portion 2707 and an outlet portion 2708. The liquid media in the sensing volume 2704 can be refreshed or adjusted using the inlet 2707 and  
20 outlet 2708, which in turn are controlled by valves 2703, respectively. Measured signals from the sensor(s) 2702 can be read out through a connection 2709 to electronics such as a controller (not shown). Additional channels into the sensing volume 2710 can be used to deliver agents and other analytes to the sensing volume 2704. The second substrate 2723 may be at least partially transparent for optical  
25 detecting. The device 2700 can be formed, for example, by fusing a first part containing the sensors and a second part containing the fluidic channel and the valves together.

Referring now to Fig. 28, a fifth embodiment of a Nanophysiometer according to the present invention is shown. In Fig. 28, device 2800 has an input  
30 channel 2808, an outlet channel 2807 and an additional channel 2809, which may be used as an additional outlet channel or to flush out the contents from a sensing volume 2802 that is in fluid communication with each of channels 2807, 2808, and

2809. The liquid media in the sensing volume 2802 is changed or adjusted either continuously or in a stop flow fashion through actuation of the valves 2805 in the inlet 2808 and outlet channel 2807 by pressurizing the media in the inlet channel 2808, respectively. The valves 2805 are actuated through lines 2803, each being in fluid communication with a supply or reservoir of fluid such as pressured air. The inlet channel 2807 is equipped with a series of valves 2805 which can be actuated to act as a peristaltic pump. The same can be used for the outlet channel 2807. The sensing volume 2802 is equipped with multiple sensors 2801 to monitor the physiological status of a single cell or cells 2804. The sensors 2801 can take various forms. For examples, sensors 2801 can be in the form of functionalized thin film metal electrodes that are positioned in sufficient close proximity to the cell or cells 2804. The surface of each sensor may have a coating that facilitates cell adhesion (not shown). The device 2800 can be formed, for example, by fusing a first part 2815 containing the sensors and a second part 2816 containing the fluidic channel and the valves together.

Referring now to Fig. 29, a sixth embodiment of a Nanophysiometer according to the present invention is shown. In Fig. 29, device 2900 has a valveless structure to trap or confine a cell 2907 in the sensing volume 2906 containing liquid media. The cell 2907 is placed in the sensing volume 2906 by flowing the cell 2907 in through an input channel 2903. The sensing volume 2906 is in fluid communication with an output channel 2905, which is optional and has a cross section smaller than that of the input channel 2903. Another channel 2904, which is in fluid communication with the sensing volume 2906, may be used to deliver the cell 2907 to the sensing volume 2904, or to remove the cell 2907 at the end of the measurement. The sensing volume 2906 is adapted such that it is not very much bigger than the size of the cell 2907 so only one or a small number of cells may enter the sensing volume 2906 at one time. In operation, once a cell or cells are placed in the sensing volume 2906 through a loading phase, the media in the channel 2903 is changed to a media corresponding to a measurement phase or maintenance phase. After the measurement phase, the channel 2903 can be pressurized to reverse the flow of the media to eject the cell 2907 from the sensing volume 2906. The media of the sensing volume 2906 can be exchanged or adjusted either by diffusion from

the input/output channel where a constant flow is of fresh media for maintenance or by appropriately dimensioning the additional output channel 2905 which leads to a flow away from the sensing volume 2906. Multiple sensors 2901 are positioned in the sensing volume 2906 to monitor the physiological status of a single cell or cells 2907. The sensors 2901 can take various forms. For examples, sensors 2901 can be in the form of functionalized thin film metal electrodes that are positioned in sufficient close proximity to the cell or cells 2907. The surface of each sensor may have a coating that facilitates cell adhesion (not shown). The device 2900 can be formed, for example, by fusing a first part 2912 containing the sensors and a second part 2910 containing the fluidic channel and the valves together.

Referring now to Fig. 30, a seventh embodiment of a Nanophysiometer according to the present invention is shown. In Fig. 30, device 3000 can be considered as a multi-trap version of the valveless nanophysiometer as shown in Fig. 29 and has a plurality of sensing volumes 3006, 3016, 3026 in an array with a common inlet channel 3003 and outlet channel 3006. More sensing volumes can be introduced. The additional channels 3007 lead to a common channel 3017, which also has an inlet channel 3004 and an outlet channel 3005. The sensors 3001 can be read out individually, or in cooperation, from each sensing volume containing one or more cells 3002, respectively.

All the embodiments of a Nanophysiometer according to the present invention shown above can be utilized, among other things, to monitor the status of a cell that consumes or produces energy. The energy consumption or production of the cell includes consumption of a chemical component by the cell that relates to the metabolic status of the cell, where the chemical component can be any of pH, K, oxygen, lactate, glucose, ascorbate, serotonin, dopamine, ammonina, glutamate, purine, calcium, sodium, and potassium. As an example, Fig. 31 shows the utilization of a Nanophysiometer according to the present invention, in particular, according to the embodiment shown in Fig. 28, to measure temporal response of cell or cells to changes in pH and oxygen.

More specifically, in Fig. 31A, without bounding to any theory, curve 3100 represents average pH as a function of time in a 100 pL well containing a single cell with no flow, based upon the assumptions that the well solution is initially at a pH of

7.2, and at time  $t=0$ , the cell begins producing lactate at a constant rate of approximately  $6 \times 10^{-10}$  mmol/cell/hr. Thus, for a single cell in the well, there are approximately  $2 \times 10^{-13}$  mmol of lactate produced per second. Finally, it is assumed that there is one proton produced for every lactate molecule produced. For a pH close to neutral this is a reasonable estimate, but in reality the ratio of protons to lactate molecules goes down as pH departs from neutral, so this model may overestimate the pH change, which, however, would limit the scope or validity of the present invention.

In Fig. 31B, curve 3102 represents the same data as shown in Fig. 31A, except it is plotted as a function of logarithmic time to show that the response is constant until the protons have time to diffuse from the cell to the electrode, which can be characterized by a diffusion time or diffusion constant. A good measurement should be done within a time period that is shorter than the diffusion time.

Note that the pH response of a system can be characterized by the time it takes for the pH to drop by a certain amount. For Fig. 31C, the initial pH is 7.2 and the "target" pH is 7.0. Using the model presented in Figs. 31A and 31B, the time it takes for the pH to drop by 0.2 is a linear function of the system volume, as illustrated in the plot -- a pL volume requires about 0.2 msec, whereas a microliter volume takes about 3 minutes.

Table 2.1 gives a list of events and corresponding characterization times for the events to take place. As one can see, some events related to changes in the metabolism of cells happen in a few milliseconds.



Table 2.1

Events	Characterization Time (Seconds)
1. Mixing time to homogenize liquid in a large-scale bioreactor (10-100m <sup>3</sup> )	10 <sup>4</sup> - 10 <sup>8</sup>
2. 90% liquid volume exchange in a continuous reactor	10 <sup>5</sup> - 10 <sup>6</sup>
3. Oxygen transfer (forced not free diffusion)	10 <sup>2</sup> - 10 <sup>3</sup>
4. Heat transfer (forced convection)	10 <sup>3</sup> - 10 <sup>4</sup>
5. Cell Proliferation, DNA replication	10 <sup>2</sup> - 10 <sup>4</sup>
6. Response to environmental changes (temperature, oxygen)	10 <sup>3</sup> - 10 <sup>4</sup>
7. Messenger RNA synthesis	10 <sup>3</sup> - 10 <sup>4</sup>
8. Translocation of substances into cells (active transport)	10 <sup>1</sup> - 10 <sup>3</sup>
9. Protein synthesis	10 <sup>1</sup> - 10 <sup>2</sup>
10. Allosteric control of enzyme action	10 <sup>0</sup>
11. Glycolysis	10 <sup>-1</sup> - 10 <sup>-2</sup>
12. Oxidative phosphorylation in mitochondria	10 <sup>-2</sup>
13. Intracellular quiescent mass & heat transfer (dimension 10-5 $\mu$ m)	10 <sup>-5</sup> - 10 <sup>-3</sup>
14. Enzymatic reaction and turnover	10 <sup>-6</sup> - 10 <sup>-3</sup>
15. Bonding between enzyme & substrate, inhibitor	10 <sup>-6</sup>
16. Receptor-ligand interaction	10 <sup>-6</sup>

For further comparison,  $D_n$  = Diffusion time calculated for Oxygen, where  $n = 25$ , and lactate  $n = 5$ , for spherical geometry (indexed as "s") and cubic geometry (indexed as "c"), respectively, is given below:

$$5 \quad D5 := 5 \cdot 10^{-10} \cdot \text{m}^2 \cdot \text{s}^{-1}, \quad D25 := 25 \cdot 10^{-10} \cdot \text{m}^2 \cdot \text{s}^{-1}$$

$$i := 0..10, \quad x_i := 10^{-i} \cdot \text{m}$$

$$t5_i := \frac{(x_i)^2}{2 \cdot D5} \quad t25_i := \frac{(x_i)^2}{2 \cdot D25}$$

$$10 \quad V_{s_i} := \frac{4 \cdot \left(\frac{x_i}{2}\right)^3}{3}; \quad V_{c_i} := (x_i)^3$$

$x =$	1	$t5 =$	$1 \cdot 10^9$	$t25 =$	$2 \cdot 10^8$
	0.1		$1 \cdot 10^7$		$2 \cdot 10^6$
	0.01		$1 \cdot 10^5$		$2 \cdot 10^4$
	$1 \cdot 10^{-3}$		$1 \cdot 10^3$		200
	$1 \cdot 10^{-4}$		10		2
	$1 \cdot 10^{-5}$	m	0.1	s	0.02
	$1 \cdot 10^{-6}$		$1 \cdot 10^{-3}$		$2 \cdot 10^{-4}$
	$1 \cdot 10^{-7}$		$1 \cdot 10^{-5}$		$2 \cdot 10^{-6}$
	$1 \cdot 10^{-8}$		$1 \cdot 10^{-7}$		$2 \cdot 10^{-8}$
	$1 \cdot 10^{-9}$		$1 \cdot 10^{-9}$		$2 \cdot 10^{-10}$
	$1 \cdot 10^{-10}$		$1 \cdot 10^{-11}$		$2 \cdot 10^{-12}$

$V_s =$	166.667	liter	$V_c =$	$1 \cdot 10^3$	liter
	0.167			1	
	$1.667 \cdot 10^{-4}$			$1 \cdot 10^{-3}$	
	$1.667 \cdot 10^{-7}$			$1 \cdot 10^{-6}$	
	$1.667 \cdot 10^{-10}$			$1 \cdot 10^{-9}$	
	$1.667 \cdot 10^{-13}$			$1 \cdot 10^{-12}$	
	0			$1 \cdot 10^{-15}$	
	0			0	
	0			0	
	0			0	
	0			0	

Oxygen noise 10 micromolar, sensitivity scales with area

Glucose noise 100 micromolar

$$tr := \frac{((6.5\text{mm}))^2}{2 \cdot D25}$$

- 5 Flux at surface; signal-to-noise scales with area:

$$tr = 140.833\text{min}$$

$$F := \frac{I}{n \cdot e \cdot F \cdot A}$$

$$(.000100\text{m})^3 = 1 \times 10^{-9} \text{ liter}$$

Oxygen in water at 40 °C

10  $D := 0.0000324\text{cm}^2 \cdot \text{s}^{-1}$

$$D = 3.24 \times 10^{-9} \frac{\text{m}^2}{\text{s}}$$

Fig. 31D displays the results of the test of the Nanophysiometer with a platinum interdigitated array that senses oxygen. The microfluidic nanophysiometer 3101 is similar to the one as shown in Fig. 28. The oxygen sensing electrodes 3102 are coupled to a potentiostat 3103 and the computer 3104 that generates plots of the oxygen concentration as a function of time for fluid that is oxygen saturated 3105, perfused with ambient-oxygen 3106, or nitrogen sparged 3107. The rapid response 3108 shows that these electrodes can track oxygen changes that occur in tens of milliseconds, which is possible because, among other advantages, the device(s) of the present invention has sensor(s) positioned sufficiently close to the cell, i.e., at nano-scale dimension. In other words, the small (in term of dimension) is fast (in term of response), and the fast is better (in term of quality of signals, and thus applications).

25 Fig. 31E displays as an example an individually addressable interdigitated microelectrode array that can be used to practice the present invention. A 2 mm wide pad 3101 of platinum on the glass substrate is coupled to interdigitated microstrip electrodes 3102 and 3103 that are five microns wide and separated by five microns and thus forming a plurality of fingers. Each of the individual fingers can

be coated with silver/silver chloride, gold, iridium oxide, or enzymes to determine what each microstrip may detect.

### Example 3

5

#### Improved Sensor Head

In one aspect, the present invention relates to a device as shown in Figs. 11(A)-(C) for detecting at least one analyte of interest either produced or consumed by at least one cell or cells 1107, wherein the at least one cell or cells 1107 is placed in a chamber 1128. In one embodiment of the present invention as shown in Figs. 10 11(A)-(C), a device or a sensor head 1100 includes a body portion 1151 and a substrate 1153 defining a chamber 1128. The body portion 1151 can be circular, oval, square, or any other geometric shape cross-sectionally. In the embodiment shown, the body portion 1151 has a circular cross section. The substrate 1153 has a first surface 1155 and an opposite, second surface 1157. A membrane 1127 is 15 positioned on the first surface 1155 of the substrate 1153. The membrane 1127 is partially transparent to allow optical signals passing through. For instance, in one embodiment as shown in Figs. 11(A)-(C), the membrane 1127 comprises a Si/SiN membrane. An insert 1129 that contains the living cells 1107 is placed into the chamber 1128 and is sealed to the body portion 1151 by an O-ring 1136 that fits into 20 a corresponding O-ring groove 1137 formed on the body portion 1151.

An inlet 1101 is in fluid communication with the chamber 1128 through an end portion 1122. Inlet 1101 may also be in fluid communication with one or more reservoirs of mediums (not shown), where each medium may contain a different analyte of interest. The device 1100 also has a first electrode 1124 having a first 25 electrochemical characteristic, and a second electrode 1126 positioned away from the first electrode 1124 and having a second electrochemical characteristic. The device 1100 may further have a reference electrode 1125. In cooperation with the reference electrode 1125, the first electrode 1124 can detect a first analyte of interest either produced or consumed by at least one cell or cells 1107, and the second 30 electrode 1126 can detect second analyte of interest by at least one cell or cells 1107, respectively and simultaneously. Alternatively, in cooperation with the reference electrode 1125, the first electrode 1124 and the second electrode 1126 can

detect one analyte of interest either produced or consumed by at least one cell or cells 1107 in the chamber 1128. Analytes of interest can be introduced to the chamber 1128 through the inlet 1101, 1122. An outlet 1104 is in fluid communication with the chamber 1128 through an end portion 1123 for introducing  
5 medium away from the chamber 1128.

The device 1100 may utilize an amperemeter electrically coupled to the first electrode 1124 and the second electrode 1126 for detecting a current as a function of the two analytes of interest either produced or consumed by at least one cell or cells 1107 in the chamber 1128. Alternatively, the device 1100 has a potentiostat 1103  
10 electrically coupled to the first electrode 1124 and the second electrode 1126 for detecting a voltage as a function of the two analytes of interest either produced or consumed by at least one cell or cells 1107 in the chamber 1128. Meters such as potentiostat 1103 can be further interfaced to a data acquisition computer so as to save, process and analyze detected signals.

Moreover, the device 1100 may further have additional electrodes, each having a different electrochemical characteristic to one of the first electrode 1124 and the second electrode 1126 and being positioned away from the first and second electrode 1126s. For examples, the device 1100 may have a third electrode 1146 positioned away from the first electrode 1124 and the second electrode 1126. In the  
20 embodiment as shown in Figs. 11(A)-(C), the first electrode 1124 is a gold electrode and the second electrode 1126 and the third electrode 1146 both are a platinum electrode. Moreover, Additionally, the first electrode 1124 has a cross section larger than that of both the second electrode 1126 and the third electrode 1146, which are substantially similar to each other for the embodiment as shown (they are indeed  
25 platinum wires). Using the platinum electrodes 1126 and 1146 as a counter electrode, the device 1100 adds the ability to perform electrochemical and spectrochemical analysis within the sensor head 1100. Of course, the first electrode 1124, the second electrode 1126 and the third electrode 1146 each can have different surface film, coating, shape, material modifications to accommodate the needs for  
30 detecting one or more desired analytes of interest.

Furthermore, the device 1100 has a fiber-coupled optical system 1102 that has a first end 1162, a second end 1164 and an optical fiber body portion 1166

defined therebetween. The first end 1162 of the optical fiber body portion 1166 reaches in the chamber 1128 capable of detecting an optical signal related to the analytes of interest either produced or consumed by cell or cells 1107. Thus, the fiber-coupled optical system 1102 can monitor fluorescence of the cells by light  
5 1121 emitted into the chamber 1128.

Thus, the device 1100 with the electrodes embedded in a chemically stable epoxy, can measure oxygen, glucose, lactate and oxidation-reduction potential in addition to the pH measurement that is currently available from the membrane 1127 in the bottom of the chamber 1128 and illuminated from below through an optical  
10 window 1138, to form a light-addressable potentiometric sensor. The fiber-coupled optical system 1102 can use autofluorescence to measure intracellular NADH/NAD ratios and voltage and calcium-sensitive dyes to determine transmembrane potential and intracellular calcium. The ability of all sensors to function simultaneously allows the specification of a self-consistent set of metabolic fluxes.

Moreover, in one embodiment as shown in Fig 14, the fiber-coupled optical system 1102 can be coupled to an optical detector 1400. The optical detector 1400 has an optional cover slip member 1420 having a first surface 1421 and a second surface 1423, wherein the first surface 1421 of the cover slip 1420 is underneath the chamber 1428 in contact with substrate 1408, and the second surface 1423 of the  
20 cover slip 1420 is optically coupled to a first end 1422 of an optical fiber 1403. In one embodiment as shown in Fig. 14, the cover slip member 1420 merges with the first end 1422 of the optical fiber 1403. A light source 1402 optically coupled to a second end 1424 of the optical fiber 1403. A beam splitter 1404 is optically coupled to the optical fiber 1403 and positioned between the light source 1402 and the cover  
25 slip 1420 for directing optical signals transmitted through the optical fiber 1403 corresponding to the optical response from a first direction to a second direction. And the optical detector 1400 further has an analyzer 1401 for receiving the optical signals directed by the beam splitter 1404.

In operation, monochromator 1401 selects wavelength of light to be measured  
30 by the photodetector 1409. The light source 1402 is coupled to an optical fiber 1403 and the dichroic beamsplitter 1404 that delivers light to the chamber 1428 where a droplet of perfusate 1405 containing at least one cell 1406. The fiber 1403 is

coupled through the transparent substrate 1408, which is supported by sidewalls 1407, to obtain the signals regarding the status of the cell 1406.

Note that while the optical detector 1400 is discussed here in connection with a sensor head, the optical detector 1400 can be readily utilized with devices disclosed in other examples of the present invention including the NanoPhysiometer, the well plates, the Microbottles, and the Picocalorimeter.

Additionally, the optical detection method and instrument of the present invention can be combined with any of the sensors disclosed in this specification. The optical detection method and instrument uses an optical fiber technique to illuminate the wells and to extract the fluorescence and luminescence signals. Imaging an entire cell onto a single sensor element offers greatly enhanced signal-to-noise ratios, among other things.

Perhaps with the exception of NADH/NADPH autofluorescence, the optical detection method and instrument may need the introduction of some fluorescent probes into the cell. Some fluorescence dyes do not require direct intracellular access and can be directly incorporated in the sensing platform and read out with the fiber optics system disclosed herein. Optical dyes could be administered and purged through the fluidics channels already incorporated in the cell physiometer.

To enhance the efficiency of wavelength separation, one may use Bragg-filters embedded in the optical fibers. Light indicator can either utilize a photomultiplier or a photodiode.

#### Example 4

##### Microbottles

In one aspect, the present invention relates to a device 500 for monitoring status of cell 501 or cells as shown in Fig. 5. In one embodiment as shown in Fig. 5, a device 500 includes a first substrate 550 having a first surface 551 and an opposite second surface 553. The device 500 further has a second substrate 560 supported by the first substrate 550. The second substrate 560 has a first surface 561, an opposite second surface 563, a body portion 502 between the first surface 561 and the second surface 563, a first side surface 565 and an opposite second side surface 567, wherein the body portion 502 defines a first passage 511 between the first side

surface 565 and the second side surface 567 and an opening 569 on the first surface 561 of the second substrate 560 and in fluid communication with the first passage 511. Sidewalls 571, 573, 575 are positioned above the first surface 561 of the second substrate 560. The second substrate 560 can be made from semiconductor or insulating materials. In one embodiment, the second substrate 560 is made from silicon.

The device 500 also includes a third substrate 580 having a first surface 581 and an opposite second surface 583. Sensors (not shown) can be added to the second surface 583 of the third substrate 580 having a first surface 581 and an opposite second surface 583 to measure the concentrations of analytes in the extracellular fluid 515 of chamber 590, as can optical sensors 1400 in Fig. 14, to measure intracellular and transmembrane physiological signatures as discussed above of the cells 501 in chambers 590. The third substrate 580, the sidewalls 571, 573 and the second substrate 560 define a chamber 590 that is in fluid communication with a second passage 591 defined by portions of the sidewall 571 and the third substrate 580. The second passage 591 is in fluid communication with a supply or reservoir of a medium (not shown). As it is shown, optionally, the third substrate 580, the sidewalls 573, 575 and the second substrate 560 define another chamber 592 that is in fluid communication with a third passage 595 defined by portions of the sidewall 575 and the third substrate 580. The third passage 595 is in fluid communication with a supply or reservoir of a medium (not shown). The chambers 590 and 592 are in fluid communication through a passage 593 located therebetween. The device 500 further includes a pair of first controls 509a, 509b positioned inside the first passage 511 for controlling the flow of a medium through the first passage 511 corresponding to chamber 590. Additional first control 509c can be utilized to control the flow of a medium through the first passage 511 corresponding to chamber 592 with or without first control 509b. First controls 509a, 509b, and 509c can work in any pair, in group, or individually. Note that although the device 500 is shown to have a two chamber structure in this embodiment, it can alternatively have a single chamber structure or an N chamber structure, where N is an integer greater than two.



The device 500 further includes at least one sensor 505 positioned in the first passage 511 proximate to the opening 569, wherein a cell 501 is positioned in the chamber 590. In one embodiment, the cell 501 is sealed to the second substrate 560 by at least one gigaohm seal 503. The cell 501 has a membrane 541 forming a substantially enclosed structure and defining an intracellular space 543 therein. The intracellular space 543 of the cell 501 is in fluid communication with the first passage 511 through the opening 569 of the second substrate 560.

The membrane 541 of the cell 501 defines an opening 549 through which the intracellular space 543 of the cell 501 is in fluid communication with the first passage 511 through the opening 569 of the second substrate 560. The device 500 further includes a punching element 506 positioned underneath the opening 569 of the second substrate 560 for making the opening 549 on the membrane 541 of the cell 501. The punching element 560 can be a mechanical device such as a pressure-based suction device (not shown) or an electroporation device such as an electric potential sucking device.

As such formed, the device 500 allows cells with intracellular and extracellular spaces in fluid communication through microfluidic channels such as passages 511, 591, 593, 595.

In one operation mode, when a first medium is introduced into the first passage 511, the intracellular space 543 of the cell 501 is in fluid communication with the first passage 511 with the first medium, the sensor 505 measures the response of the cell 501 to the first medium. The response can be viewed as an intracellular response to the first medium, which may contain agent or agents. The measured signals can be amplified by amplifier 512 to generate an output 513 and/or transmitted to a controller 508 as a feedback, which in turn can control the flow of the first medium through fluid control 509b (and 509a, 509c). The first medium can also be used to provide nutrition to the cell 501 and to maintain the cell 501 at a desired status.

In another operation mode, when a second medium is introduced into the chamber 590 through the second passage 591, at least part of the membrane 541 of the cell 501 is in contact with the second medium in the chamber 590, the sensor 505 measures the response of the cell 501 to the second medium. The response can be

viewed as an extracellular response to the second medium, which may contain agent or agents. The measured signals can be amplified by amplifier 512 to generate an output 513 and/or transmitted to a controller 508 as a feedback, which in turn can control the flow of the first medium through fluid control 521a (and 521b). The  
5 second medium can also be used to provide nutrition to the cell 501 and to maintain the cell 501 at a desired status.

In yet another operation mode, when a first medium is introduced into the first passage 511 and a second medium is introduced into the chamber 590 through the second passage 591, respectively, the intracellular space 543 of the cell 501 is in  
10 fluid communication with the first passage 511 with the first medium and at least part of the membrane of the cell 501 is in contact with the second medium in the chamber 590, the sensor 505 measures the responses of the cell 501 to the first medium and the second medium. From these measurements, the status of the cell 501 can be monitored.

15 If a plurality of sensors is utilized to practice the present invention, they can be substantially the same. Or, alternatively, at least two of them can be different from each other.

In another application, the device 500 can be utilized to control the physiological status of at least one cell. Normally, a cell controls its physiological  
20 status through an internal cellular control mechanism. In one embodiment, the device 500 can be used to provide at least one medium to the cell 501 such that at least part of the membrane of the cell 501 is in contact with the medium to override the internal cellular control mechanism.

In one operation mode, a first medium is supplied into the intracellular space  
25 543 of the cell 501 through the opening 569 in the membrane 541, and a second medium is supplied into the chamber 590 such that at least part of the membrane 541 of the cell 501 is in contact with the second medium. The response of the cell 501 to the second medium is measured, and the composition of the second medium is adjusted based on the response to affect the overriding of the internal cellular control  
30 mechanism. Moreover or alternatively, the response of the cell 501 to the first medium is measured,, and the composition of the first medium is adjusted based on the response to affect the overriding of the internal cellular control mechanism.

In another operation mode, the concentration of at least one selected component of the medium can be monitored and the composition of the medium can be adjusted based on the monitored concentration of at least one selected component  
5 of the medium to affect the overriding of the internal cellular control mechanism.

Still referring to Fig. 5, the device 500 alternatively can be viewed as to have a biolayer 510, a physical layer 520 and an infolayer 530. The biolayer 510 includes chamber 590 that can contain extracellular fluid 515, a living cells 501 with its corresponding transmembrane ion channels and ion-channel complexes 514 and  
10 pumps and transporters 515. The intracellular space 543 of the cell 501 through the opening 569 in the membrane 541 is in fluid communication with a fluidic medium 507 that functions as an artificial intracellular medium. The physical layer 520 includes sensing electrodes 505, valves 509a, b, c and other elements such as punching element 506. The Infolayer 530 contains amplifiers 512, reconfigurable  
15 digital and analog software programmable digital signal processors 508 and outputs 513. The membrane 514 is sealed to the substrate 502 by the gigaohm seal 503. Microfluidic passages/channels 511 and 509a, b, c allow control of the fluidic contents 507 of the medium and allow intracellular communication between multiple, coupled cells. Valves 521a, b, c allow extracellular communication  
20 between cells if needed.

The device 500 according to the present invention may be termed as a "microbottle," which in no way should limit the scope of the present invention. Figs. 3(A)-(C) shows another embodiment of the microbottle according to the present invention. In Fig. 3, device or microbottle 300 has a biolayer 310, a physical  
25 layer 320 and an infolayer 330. The biolayer 310 includes a cellular biological membrane or synthetic lipid membrane 301 containing ion channels or ion-channel/receptor complexes 314 and pumps and transporters 315, such that the inner surface 304 of the membrane 301 is exposed to an fluidic medium 307 that functions as an artificial intracellular medium. The physical layer 320 includes the  
30 microbottles, picocalorimeters, microfluidics 305, 307, and sensor/electrodes 310. The infolayer 320 contains amplifiers 312, reconfigurable digital an analog software programmable digital signal processors ("DSPs") 308 and the system output 313.

The microbottle 300 has a silicon substrate 302, and the membrane 301 is sealed to the substrate 302 by the gigaohm seal 303. Microfluidic channels 311 and valves 309 allow control of the content of the fluidic contents 307 of the container and allows intracellular communication between multiple, coupled membranes 301.

5            Fig. 4 shows yet another embodiment of the microbottle according to the present invention. In Fig. 4, a living cell 401 is maintained in a chamber 412 whose fluidic contents are maintained by valves 404, which are connected to perfusate reservoirs 411 by microfluidic channels 410. A controller 406 is coupled by sensing leads 407 to sensors 405, 409 and 413 to sense the chemical composition in the  
10    extracellular space 402 and the chemical composition and/or state of internal organelles and/or natural or artificial markers 414 in the intracellular space 403. Through control leads 408, the controller 406 then adjusts the valves 404 to maintain the proper extracellular environment and the level of toxin or agents in the reservoirs 411 to which the cell 401 is exposed. The controller 406 can also control the  
15    exposure of the cell 401 to light by means of a controlled light source 418 that can be used to alter the conductance of transmembrane ion channels 416 or pumps or transporters 417.

            Accordingly, the microbottle provided by the present invention in various embodiments can provide direct interface for measuring and controlling ion  
20    concentrations on both sides of a cell or synthetic membrane. The microbottle according to the present invention can be adapted to a variety of applications where the biological element is an active component in the circuit design. The microbottle can be used as a sensing element and allows the release of its content or in case of a cellular cap simulates the cell change cell function. In one embodiment of the  
25    present invention, as shown in Fig. 3, the microbottle has a silicon base layer as the focus of the sensing, signal processing and logic, a structural assembly for supporting microfluidics for delivery of ionic species, and a membrane, which is a biologically active element.

            As illustrated in Fig. 3, in one embodiment according to the present  
30    invention, the microbottle 300 has a layered structure, where each layer can be fabricated individually and assembled in a flip chip manner by conventional bonding techniques. The base layer 310 is a silicon wafer that can be fabricated in

conventional CMOS technique containing the sensing elements, actuators, the readout electronic and the logic elements to combine the microbottle in an array structure. The microfluidics layer 330 includes containers/chambers interconnected with channels. The fluids in the channels can be controlled through microvalves and pumps. Each chamber is sealed with an active membrane cap. The membrane could be either biofunctional or biomimetic.

*Silicon Base Layer:* Microelectronic sensors can be chosen for the measurement of Microbottle input and output parameters. They can be used to control the physio-chemical parameters in the silicon container and the environment of hermetically sealed devices. They can also be used to detect changes of the cellular behavior in response to an experimental treatment.

The basic sensor types to monitor the container content of microbottle, among other things, may include microelectrodes, electrochemical sensors, amperimetric sensors, potentiometric sensors, oxygen and other electrochemical sensors and field effects transistors (FET), where the gate electrode is made of or is coated with an electrochemically active material. This material can affect the source/drain current by binding charge from the contents in the microbottle to its surface, creating a voltage drop across the gate insulator. FET-based sensors can be used for different measurement tasks. The addition of special chemical membranes on the gate insulator of a basic-FET allows the realization of ISFETs (Ion sensitive FETs) for different ions ( $\text{Ca}^{2+}$ ,  $\text{Na}^+$ ,  $\text{K}^+$ , ...) or ENFETs (enzyme sensitive FETs) for other metabolites (glucose, lactose,...). Typical sensitivities of ISFETs are 50mV/pH and 30mV/pNa(pK) for FETs made with  $\text{Si}_3\text{N}_4$  and  $\text{Al}_2\text{O}_3$  gate insulators, respectively. ENFETs for glucose currently have slow response times (3-5min) and go into saturation. Sensors for the neurotransmitters adrenaline and serotonin incorporate the use of Au nanoparticles they reach sensitivities of  $1 \times 10^{-6}\text{M}$  and  $6 \times 10^{-3}\text{M}$ , respectively. Typical ISFET sensing gate areas are large, typically  $400\mu\text{m} \times 20\mu\text{m}$ , to maximize their sensitivity.

Microelectrodes can be used to measure potential differences between the inside of the Microbottle and a reference electrode that will be either in a different container or on the other side of the membrane cap. The electrode material is very important in this type of application. Corrosion must be taken into account and

avoided in order to make accurate, repeatable measurements. Possible electrode materials include gold and silver (Ag-AgCl). Since FETs and microelectrodes are fabricated using standard microelectronic processes, integrating them with standard CMOS preamplifier and signal processing logic is feasible. While the processes are similar, the materials needed to form the FET gates and the microelectrodes are not standard to CMOS processing. Therefore, careful consideration should be made to the integration of these possibly incompatible materials together in a single "chip". Also proper passivation materials can be utilized to prevent ionic contamination from the cellular solution in CMOS devices. The integration of these specialized sensors with the CMOS circuitry may increase the performance of the Microbottle and enable the coupling of various Microbottles to form programmable multicellular units.

*Microfluidics:* The micro fluidics layer allows the control of fluids on both sides of the membrane cap. The microfluidics layer can be either anodic or fusion bonded to silicon layer containing sensing and control elements. Some embodiments are shown and disclosed in the specification using liquid PDMS BioMEMS fabrication technology. In one embodiment, alternatively, a microfluidics layer includes channels and silicon containers with submicron holes. The channels and containers are etched into the substrate by Reactive Ion Etching (RIE) of silicon nitride mask and a non-isotropic KOH etch. The silicon nitride mask is typically less than one micron thick and can also be used for membrane structures without additional fabrication steps. The pyramidal container is therefore spanned with a silicon nitride membrane. The submicron hole in the membrane can be fabricated by focused ion beam.

The channels can be connected to tubing leading to external valves and pumps. Active and passive valves can be incorporated as well. A passive valve acts as flow restrictor and includes a metal and a polyamide membrane with holes in different positions. An active valve includes an electrostatic- or pneumatic-deflectable membrane on a segmented hole.

*Device Fabrication:* The etching of channels and insulation layers, the fabrication of the boron-doped diamond microelectrodes, and the nanoscale machining such as the drilling of the holes for the Microbottle are developed accordingly for the present invention. The oxygen sensor, MEMS microfabrication, thermometer deposition  
5 and micromachined infrared detectors are also developed and utilized.

*Membrane Cap:* In one embodiment, the silicon container is spanned by either a biological membrane harvested from a cell (approximately 10 $\mu$ m) or by a synthetic membrane assembled on the microfluidics layer. The membrane forms a seal not  
10 only acting as chemical barrier but also preventing leakage of currents from the Microbottle electrode to the reference electrode. The resistance is critical for determining the electrical background noise from which the channel currents need to be separated. In a typical patch clamp experiment, where the membrane is attached to a glass pipette the resistance is typically gigaohms. Active elements like voltage  
15 sensitive channel are inserted into the membrane cap and are either used as sensors or actuators. The voltage sensitive channels could be switches with an electrode configuration on the rim of the hole.

*Synthetic Membrane:* One of the common applications of lipid bilayers has been to  
20 study ion channel transport characteristics. Several issues are important in the application of bilayers as biosensors. The most critical physical properties are membrane uniformity and membrane stability and the present invention is capable of addressing these issues. Lipid bilayers have been deposited on solid platinum, gold and silicon surfaces. There are several examples of bilayer spanning applications  
25 such as across micro-machined polyimide 40 $\mu$ m diameter apertures. The microbottle may be temporarily filled with a support material, while the bilayer is formed. An enzymatic cleavage strategy can be utilized to remove the gels through the fluid channel access ports of the microbottle. The individual molecules forming ion channels can be inserted in artificial lipid bilayers. Far more complex systems,  
30 which employ high-gain biological amplification and therefore the detection of single molecules, e.g., hormone receptor systems, may also be employed.

*Natural Membrane:* The Microbottle according to the present invention allows fluids to be sucked through one of the holes in the silicon container. By sucking and manipulating a cell onto the top of the silicon container the cell membrane can be punched open allowing access to the intracellular space. Natural membrane can be  
5 extracted from various different cells by rupturing the cell membrane.

*Cellular Cap:* The Microbottle of the present invention allows fluids to be sucked through at least one hole in the silicon container. By sucking and manipulating a cell onto the top of the silicon container the cell membrane can be punched open  
10 allowing fluidic and electrical access to the intracellular space. The extracellular space can also be monitored through a second fluidics layer encapsulation the cell. The cell is now an active elements; the intra- and extra cellular space is monitored and controlled through the silicon base layer and the microfluidics layers. Such an embodiment is shown in Fig. 5. The immediate spin off this technology is a new  
15 measurement technique with unsurpassed possibilities superseding conventional patch clamp techniques. Small pore diameters can only be obtained in glass micropipettes if the cone angle is very small and the pipette resistance correspondingly high, the RC noise generated by this resistance in conjunction with the distributed pipette capacitance limits the bandwidth of voltage recordings dramatically. The  
20 long conical shape of the glass micropipette also restricts the selective perfusion of the intracellular space. In contrast, the Microbottles does not impose geometrical constrictions and allows the controlled fabrication of ultra small pores beyond the capabilities of glass pipettes. The low access resistance of the silicon micropipette used with small membrane patches brings the potential of voltage clamping in the  
25 megahertz frequency domain. The present invention also allows temporal resolution of a variety of important electrogenic events such as ion-binding reactions and fast conformational changes associated with transport function.

In tiny patches the probability of the appearance of any other charge-  
30 translocation processes is reduced in proportion to the patch area. Furthermore the formation of a reliable seal becomes increasingly more difficult as the size of the pipette tip is increased. The wide planar rim of the silicon chip utilized in the



present invention is expected to reduce the shunt resistance, leads to a greater stability and a significantly higher success rate in a patch clamp process. In general the stability of those small patches is expected to be enormously high with a seal resistance of several hundred gigaohms allowing long-term recordings. The  
5 microbottle would not only simplify and overcome the limitations of patch-clamp techniques but also move towards integrating biologically active components into electronic circuits on silicon wafers.

A plurality of Microbottles of the present invention can be arranged in complex array structures allowing to readout and stimulation of cellular networks.  
10 The cellular network would be fabricated with a technique called soft lithography. According to one embodiment of the present invention, in the first step pits and connecting channels are etched into silicon substrates. After etching, the channels and pits are coated with an adhesive protein (polylysine), which promotes cell adhesion and cell growth. After coating, neural or cardiac cells are plated onto the  
15 silicon substrate the cells adhere in the pits and form dendrites along the channels connecting to neighboring cells. The chip with the patterned cellular networks is "flip chipped" to the silicon wafer containing the Microbottles. Since the Microbottles probe and control the intracellular space, a well-defined cell-silicon coupling can be realized. Conventional techniques like microelectrode arrays or cell  
20 potential FETS (CPFETs) suffer from poor coupling and therefore reduced signal amplitudes. Recordings are generally on the order of 10-200  $\mu$ V compared to 80mV in patch clamp techniques.

#### Example 5

##### 25 Picocalorimeter and Bioreactor

In one aspect, the present invention relates to a device for measuring response of at least one cell 203 to a medium, the response of at least one cell 203 to a medium being characterized by a reaction time. In one embodiment as shown in Figs. 2A and 2B, a device 200 includes a membrane 206 having a first surface 251,  
30 an opposite second surface 253 and edges 255, a side substrate 212 having an inside surface 261, an opposite outside surface 263, a top surface 265 and bottom surface 267, wherein the inside surface 261 of the side substrate 212 and the first surface

251 of the membrane 206 define a sample well 269 in communication with the ambient air and for receiving the at least one cell 203 such that the membrane 206 is underneath the at least one cell 203, a sensor 205 positioned underneath the second surface 253 of the membrane 206, and an inlet 210 in fluid communication with the sample well 269. A medium (not shown) is introduced into the sample well 269 through the inlet 210 to form a droplet 209 that surrounds the cell 203, and the sensor 205 measures the response of the cell 203 to the medium at a time period shorter than the reaction time.. The sensor 205 is in contact with the second surface 253 of the membrane 206. The response of the cell 203 to the medium, which may contain at least one agent or stimuli, depends on the characteristic of the cell 203 as well as the properties of the agent such as the type or class of the agent. Therefore, among other things, one application of the device 200, and other device 200s and methods of the present invention, is to detect the agent from the response of the cell 203 to the medium having the agent, which uses the cell 203 as canary.

The device 200 also includes a biocompatible coating layer (not shown) applied to the first surface 251 of the membrane 206. The membrane 206 comprises a material with sufficiently low thermal conductivity to yield a high degree of thermal isolation between the center of the membrane 206 and the edges. For examples, the membrane 206 may comprise a dielectric material. The membrane 206 may also comprise a silicon nitride membrane 206. Moreover, the membrane 206 is at least partially transparent so that the response of the cell 203 can be optically detected through an optical sensor.

The inside surface 261 of the side substrate 212 makes contact with the first surface 251 of the membrane 206 to define the sample well 269, wherein the side substrate 212 is thermally isolated from the membrane 206. A hydrophobic layer 204 can be applied to the inside surface 261 of the side substrate 212.

In one embodiment as best shown in Fig. 2A, the inside surface 261 of the side substrate 212 has a slope defined by an angle  $\alpha$  such that cross-sectionally the bottom surface 267 of the side substrate 212 is wider than the top surface 265 of the side substrate 212. The side substrate 212 comprises a material with sufficiently high thermal conductivity such that the side substrate 212 functions as a heat sink for the membrane 206. For examples, the side substrate 212 may comprise a

semiconductor material such as silicon.

The sensor 205 can be any type of sensor as defined and discussed above. As an example shown in Figs 2A and 2B, the sensor 205 can be a thermal detector.

The device 200 further includes an actuator 202 that is mechanically coupled  
5 to the inlet 210. The inlet 210 has a main portion 271 and an end portion 273 in fluid communication with the main portion 271. The end portion 273 is movable between a first position 273a that is distant from the cell 203 and a second position 273b that is proximate to the cell 203. When a medium is to be introduced into the sample well 269, the actuator 202 causes the end portion 273 to move away from the  
10 first position 273a to the second position 273b or a position therebetween the first position 273a and the second position 273b for delivering the medium to the sample well 269 to form a droplet 209 to isolate the cell 203. After a medium is introduced into the sample well 269 and droplet 209 is formed, the actuator 202 can cause the end portion 273 to move toward to the first position 273a from the second position  
15 273b or a position therebetween the first position 273a and the second position 273b for keeping the end portion 273 away from the droplet 209 isolating the cell 203.

The device 200 further has a control 201 positioned inside the main portion 271 of the inlet 210 for controlling the flow of the medium. Additional controls 201 can be positioned at branches in fluid communication with main portion 271, as best  
20 shown in Fig. 2B, such that the content of the medium can be adjusted as needed.

The device 200 also has an outlet 211 in fluid communication with the sample well 269 for introducing medium away from the sample well 269. An actuator 252 mechanically coupled to the outlet 211. The outlet 211 has a main portion 281 and an end portion 283 in fluid communication with the main portion  
25 281. The end portion 283 is movable between a first position 283b that is proximate to the cell 203 and a second position 283a that is distant from the cell 203. When a medium is to be introduced away from the sample well 269, the actuator 252 causes the end portion 283 to move away from the first position 283b to the second position 283a or a position therebetween the first position 283b and the second position 283a  
30 for introducing the medium away from the sample well 269. After a medium is introduced away from the sample well 269, the actuator 252 may cause the end portion 283 to move back to the first position 283b from a position therebetween the

first position 283b and the second position 283a. The device 200 further has a control 251 positioned inside the main portion 281 of the outlet 211 for controlling the flow of the medium. Additional controls 251 can be positioned at branches in fluid communication with main portion 281, as best shown in Fig. 2B, such that the content of the medium can be adjusted or controlled as needed.

Thus, a device according to the present invention is shown to be able to measure the energy generation and consumption of a single or multiple cells. In some embodiments, such a device is termed as a Picocalorimeter. As shown in Figs. 2A and 2B, in operation, device 200 uses a membrane 206 in combination with a sensor 205 to measure measurable quantities related to the status of a single or multiple cells such as the basal energy generated by a cell 203 in a droplet of culture media 209. The droplet of cell culture media is confined on the membrane 206 by a hydrophobic coating 204. The temperature difference between the membrane and the substrate 212 is measured with sensor 205, which can be a differential sensor and may be coupled to additional components such as an amplifier 213 through leads 214. The content of the droplet 209 can be exchanged to maintain cell viability using inlet 210 that can have an optional branch structure formed by a number of inlet lines 217 as shown. The content of the inlet structure can be varies using valves 201, which are driven by controller 208. For analysis of the content in the outflow through outlet structure, the fluid can be switched by valves 251 to have various analyzing structures formed by a number of outlet lines 218. The inlet structure 210 can be positioned by actuator 202 to inject fluid into the droplet and to retract from the droplet 209 to maintain thermal insulation, respectively. The outlet structure 211 can be positioned by actuator 252 to withdraw fluid from the droplet 209 and to retract from the droplet 209 to maintain thermal insulation, respectively. During the measurement interval the inlet and the outlet structure are retracted. Additional electrodes 207 can be used to monitor various metabolites in the droplet 209 in order to detect, for example, metabolic pathway switching. Controller 208 allows the analytes in the media to be changed depending on the status of the metabolic network in order to override internal cellular control.

Moreover, in another embodiment (not shown), MEMS and microfluidic technologies are utilized to provide a flow-through system in which the heat

production of a small number of cells may be monitored before and after the cell stream merges with the injected flow of medium containing agent such as toxin. Micropipes can be electrically actuated by piezo bimorphs so that they can be separated from the droplet to thermally insulate the cell on the membrane in the thermal measurement interval. Beside heat generation, oxygen, pH and Redox potential sensors can be integrated on chip as well as advanced readout and control electronics.

In another aspect, the present invention relates to a device for measuring at least one of cellular physiological activities of at least one cell or cells, where each of the cellular physiological activities can be characterized by a reaction time. In one embodiment as shown in Figs. 25A and 25B, the device 2500 includes a membrane 2505 having a first surface 2551, an opposite second surface 2553 and edges 2555, a side substrate 2504 thermally isolated from the membrane 2505 and having an inside surface 2561, an opposite outside surface 2563, a top surface 2565 and bottom surface 2567, wherein the inside surface 2561 of the side substrate 2504 cooperates with the first surface 2551 of the membrane 2505 to define a sample well 2501 in communication with the ambient air and for receiving the at least one cell or cells 2503 such that the membrane 2505 is underneath the at least one cell 2503, and a sensor 2506 positioned underneath the second surface 2553 of the membrane 2505 for measuring at least one of cellular physiological activities of the at least one cell 2503. The membrane 2505 and the sensor 2506 are arranged such that at least one of cellular physiological activities of the at least one cell 2503 is measured at a time period shorter than the reaction time. Note that among other things, one advantage of the device 2500 is that it allows operation in air, which makes the utilization of living cells possible and also eliminates many disadvantages related to the requirement of operation in vacuum by the prior art such as cost, inconvenience, low reliability, etc.

As discussed above and below related to the present invention, among other things, it can be done by limiting the dimensions of the device, positioning the sensor(s) proximate to the cell(s), and/or choosing cellular physiological activities to be measured such that quantities related to the status of a cell, being a response to an agent or a cellular physiological activity, can be obtained quickly before a

corresponding reaction time such as a diffusion time. Thus, one unique aspect of the present invention is that small is faster and better. It will be appreciated, however, that in addition to a reaction time that characterizes a response to an agent or a cellular physiological activity of a cell, other quantities may be considered as well.

5 For examples, in one embodiment, the device 2500 can be utilized to detect signals corresponding to the amount of heat generated by cells as a function of time or intervention. This can either be as a measurement of power, or total energy change. The characteristics of the media that are in fluid communication with the cells include the thermal conductivity and the heat capacity. These may combine to give  
10 the response time and response amplitude for the system when cells within the sample well produce heat. Device 2500, like other embodiments of the present invention, allows one to obtain proper signals at proper places within a proper time period.

The device 2500 also may include a biocompatible coating layer (not shown)  
15 applied to the first surface 2551 of the membrane 2505 for better housing the cells 2503. The membrane 2505 comprises a material with sufficiently low thermal conductivity to yield a high degree of thermal isolation between the center of the membrane 2505 and the edges 2555. For examples, the membrane 2505 may comprise a dielectric material. The membrane 2505 may also comprise a silicon  
20 nitride membrane 2505. Moreover, the membrane 2505 is at least partially transparent so that the response of the cell 2503 can be optically detected. The dimensions of the membrane 2505 can be chosen such that measurements can be performed at a desired time period that is shorter than a corresponding reaction time. For examples, in one embodiment as shown in Figs. 25A and 25B, the thickness of  
25 the membrane 2505 is in the range of 0.1 to 1.5  $\mu\text{m}$ , and the size of the membrane 2505 is in the range of 0.1 to 25  $\text{mm}^2$ . In a particular example, the thickness of the membrane 2505 is chosen as about 0.6  $\mu\text{m}$ , and the size of the membrane 2505 is chosen as about 1  $\text{mm}^2$ .

The inside surface 2561 of the side substrate 2504 makes contact with the  
30 edges 2555 of the membrane 2505 to define the sample well 2501, wherein the side substrate 2504 is thermally isolated from the membrane 2505. A hydrophobic layer (not shown) can be applied to the inside surface 2561 of the side substrate 2504.

In one embodiment, the inside surface 2561 of the side substrate 2504 has a slope with an angle  $\beta$  such that cross-sectionally the bottom surface 2567 of the side substrate 2504 is wider than the top surface 2565 of the side substrate 2504. The side substrate 2504 comprises a material with sufficiently high thermal conductivity such that the side substrate 2504 functions as a heat sink for the membrane 2505. For examples, the side substrate 2504 may comprise a semiconductor material such as silicon.

Again, the sensor 2506 can be any type of sensor 2506 as defined below. As an example, the sensor 2506 can be a thermal detector. In one embodiment as shown in Figs. 25A and 25B, the thermal detector 2506 comprises a thermometer, wherein the thermometer comprises a thermopile having a first polarity of junction positioned underneath and in contact with the second surface 2553 of the membrane 2505 and a second polarity of junction thermally coupled to the side substrate 2504. The thermopile includes a series of thermocouples, wherein an emf measured at the leads of the thermopile is proportional to the temperature difference between the membrane 2505 and the side substrate 2504. Alternatively, the thermometer can be a resistive thermometer having a series of resistors electrically coupled to each other. Additionally, other sensor(s) can be utilized to cooperate with the thermal sensor 2506. For instance, an optical sensor (not shown) can be utilized to optically detect the status of the cells 2503 through an at least partially transparent area 2573 of the membrane 2505.

Thus, a Picocalorimeter according to one embodiment of the present invention combines the highly complex and evolved sensing architecture of cellular systems and a new micro-machined silicon transducer device capable of detecting minute heat changes arising from changes in the metabolism of a single cell with a response time of a few milliseconds. The Picocalorimeter, with a sensitivity of 1-50 pW, results in an improvement of more than four orders of magnitude and hence achieve single cell sensitivity. The measured specifications of micromachined calorimeters, and calculated values for optimized shown in the following table.

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Specification	Measured: existing prototype	Calculated: optimized device
Detector area	1 mm <sup>2</sup>	[0.3. mm] <sup>2</sup>
Responsivity	110 volts /watt	1365 volt/watt
Noise	110 nV/ $\sqrt{\text{Hz}}$	80 nV/ $\sqrt{\text{Hz}}$
Time constant	50 msec	15 msec
Minimum detectable power	1000 picowatt/ $\sqrt{\text{Hz}}$	59 picowatt/ $\sqrt{\text{Hz}}$
Energy Sensitivity, $\tau = 10\text{s}$	130 picojoule	7 picojoule

The Picocalorimeter can be fabricated by employing micro-machining techniques. As discussed above, a Picocalorimeter includes a silicon nitride (SiN) membrane, thermally insulated from the silicon wafer, and one or more thermometers in the center of the membrane. The thermometer is typically a series of resistors or thermocouples forming a thermopile. The heat quantity evolved or absorbed is equal or proportional to the product between temperature change and the heat capacity of the calorimetric vessel and its contents. Since the membrane dimensions are small and silicon nitride has an extremely low-thermal conductivity and heat capacity, the device is intrinsically sensitive. By minimizing the total thermal conductance, a small quantity of heat transferred to or from the sample results in a large, measurable temperature rise. For the optimized device, about 20  $\mu\text{W}$  of power will raise the Picocalorimeter temperature by 1 K. The mK sensitivity of the thermometer gives pW resolution. This may be achieved by employing micromachining fabrication to produce a rigid membrane only 0.6  $\mu\text{m}$  thick or less.

The contents of the Picocalorimeter can be single or multiple cells grown or placed onto the SiN membrane and a drop of liquid surrounding the cell. Several measurements on membranes have shown a high mechanical stability of the silicon nitride membrane and its ability to support a liquid droplet, and growing cells directly on the membrane. The liquid contains all required nutrients and may be periodically exchanged by two nanoliter injectors (not shown) between measurement intervals. Two nanoliter injectors are mounted on computer-controlled micro-



positioners, which can also be used to position the cells on the SiN membrane or to introduce agents that alter the metabolism of the cell. The following table shows the metabolic activity of various cell lines of interest.

Cell types	Power/heat output	Reference
Human T-lymphoma cell	12.2 pW/cell	P. Backman, 1991
Human melanoma cells	80 pW/cell	M. Gorman-Nordmark et. al 1984
Rat white adipocytes	40 pW/cell	P. Nilsson-Eble et. al 1985
3T3 mouse fibroblasts	17 pW/cell	P. Lonnbro et. al 1990
Rat hepatocytes	329 pW/cell	L. Nassberger et. al 1986
Human keratinocytes	40 pW/cell	U. Reichert et. al 1986

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The metabolically complex liver cell generates 350 pW/cell and may be an ideal sample to demonstrate the applicability of the Picocalorimeter. Such a single liver hepatocyte could be monitored with a 5:1 signal to noise ratio. The sensitivity of the Picocalorimeter can be utilized to measure the dose-response relationship between a beta-adrenergic agonist and heat production in hepatocytes. The effects of Dinitrophenol, which uncouples heat production from oxygen consumption, on heat production can then be examined, which in turn can be used to optimize the Picocalorimeter and determine its sensitivity and the response times to fluctuations in heat production.

The microfluidic components and controls discussed else where in the specification may be adapted, incorporated and enhanced to allow for thermal isolation of each cell environment. The Picocalorimeter with the living cell may be hermetically sealed with a cap to protect the cell environment from contamination. Supply and waste microchannels may connect to micropipettes that can be moved in and out of the liquid drop surrounding the cell. Actuation of the micropipettes can be accomplished by either deflection of piezo bimorphs connected to the micropipettes, or deflection of the sealing membrane by the piezoelectric filament array also used to actuate the pumps and valves.

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In yet another aspect, the present invention relates to a device for measuring at least one of cellular physiological activities of at least one cell, where each of the cellular physiological activities can be characterized by a reaction time. In particular, the device can be utilized to measure the energy generation and consumption of a single or multiple cells. In one embodiment as shown in Figs. 6(A)-(D), a device 600 includes a membrane 606 having a first surface 651, an opposite second surface 653 and a thickness, wherein the membrane 606 has a sensing area 659 for receiving the at least one cell 611 such that the membrane 606 is underneath the at least one cell 611. The device 600 further includes a substrate 602 positioned opposite to the membrane 606 and having an inside surface 661 and an opposite outside surface 663, wherein the inside surface 661 of the substrate 602 cooperates with the first surface 651 of the membrane 606 to define passage 670 therebetween. The substrate 602 has a first flexible portion 665 located at one side of the sensing area 659 of the membrane 606 and a second flexible portion 667 located at another side of the sensing area 659. A sensor 607 is positioned underneath the sensing area 659 of the membrane 606 for measuring at least one of cellular physiological activities of the cell 611. The membrane 606 and the sensor 607 are arranged such that at least one of cellular physiological activities of the cell 611 is measured at a time period shorter than the reaction time.

The device 600 may also include a biocompatible coating layer (not shown) applied to the first surface 651 of the membrane 606. The membrane 606 comprises a material with sufficiently low thermal conductivity to yield a high degree of thermal isolation between the center or sensing area 659 of the membrane 606 and the edges. For examples, the membrane 606 may comprise a dielectric material. The membrane 606 may also comprise a silicon nitride membrane 606. Moreover, the membrane 606 is at least partially transparent so that the status and/or response of the cell 611 can be optically detected. The dimensions of the membrane 606 can be chosen to meet different needs.

The first flexible portion 665 of the substrate 602 has a first diaphragm that is actionable by a force. When a force is applied to the first diaphragm, the first diaphragm moves along the direction of the force. As an example, the first diaphragm can be a PDMS membrane.

The second flexible portion 667 of the substrate 602 has a second diaphragm that is actionable by a force. When a force is applied to the second diaphragm, the second diaphragm moves along the direction of the force. As an example, the second diaphragm can be a PDMS membrane. In the embodiment as shown in Figs. 5 6(A)-(D), the substrate 602 is a PDMS membrane.

The first diaphragm and the second diaphragm can be utilized jointly or individually in operation. For examples, when a first force is applied to the first diaphragm towards the outside surface 663 of the substrate 602, the first diaphragm moves along the direction of the force to reach to the first surface 651 of the 10 membrane 606, and when a second force is applied to the second diaphragm towards the outside surface 663 of the substrate 602, the second diaphragm moves along the direction of the force to reach to the first surface 651 of the membrane 606, thereby to form an isolated region therebetween. In the embodiment as shown in Figs. 6(A)-(D), the first flexible portion 665 and the second flexible portion 667, when pushed 15 by air pressure through inlets 601, form an enclosed measurement volume 617 containing the cell 611 therein and isolating the cell 611 from communication with fluid outside the measurement volume 617. Note that the first diaphragm and the second diaphragm can be separate elements, or integral parts of a ring-shaped diaphragm that substantially encircles the measurement volume 617, as shown in 20 Fig. 6.

Conversely, when at least one of the first force and the second force is withdrawn, a corresponding one of the first diaphragm and the second diaphragm moves away from the first surface 651 of the membrane 606, thereby to allow the isolated region inside measurement volume 617 in fluid communication at least 25 partially with the passage 670.

Thus, as shown in Figs. 6(A)-(D), device 600 can be utilized to measure the energy generation and consumption of a single or multiple cells. The device 600 uses a first membrane 606 that is sufficiently thin with a sensor 607 to measure the basal energy generated by a cell 611 in a measurement volume 617 thermally 30 isolated from the surrounding using a gas/air filled space bounded by a second membrane 602 that is sufficiently thin. By pressurizing the gas filled chamber 613 through the inlets 601, the chamber 613 can be expanded to isolate the liquid media

surrounding the cell 611 in the passage 670 to form a droplet, which has inlet 615 and outlet 616 for supplying a stream of fresh media and draining spend media from the measurement volume 617, respectively. Valves 610 can be utilized to allow the control of the inflow and outflow of the stream through the passage 670. On top of the measurement volume 617, there is a gas filled chamber 604, which is stiffened by bridges 612 or is pressurized to avoid a collapse or deformation of the measurement volume 617 when the membrane 602 is inflated during the measurement cycle. Additional electrodes 608 can be used to monitor various metabolites in the droplet in order to detect, for example, metabolic pathway switching. The electrodes 608 can be coupled through the lead 614 to a sensing unit (not shown). It will be appreciated that means other than pressurized gas can be used to move the first flexible portion 665 and the second flexible portion 667, which could also be any other low-thermal conductivity barrier or object that may be mechanically placed around the cell with a piezoelectric or other mechanical actuator and the like.

#### Example 6

##### Signal Extraction and Discrimination

It will be appreciated that practicing the present invention often involves apparatuses or devices that have biological, electronic and microfluidic components interfacing each other and interacting together. To build these devices, a design theory is developed that is supported by an *integrated modeling paradigm* (language) that allows the modeling, analysis, simulation, and synthesis of these hybrid systems. The information or INFO component of the present invention develops this modeling language, and selects and/or builds analysis and synthesis tools for the present invention. One of them is a Bio-Micro CAD tool that can be used by biologists, biochemists, and diagnosticians to produce biocontrollers.

Currently, there is no integrated effort that would address all of the needs of a bio-silicon hybrid device. Silicon behavioral (analog or digital circuit) simulators exist, and there are efforts for engineering biological processes using (circuit) simulation techniques, but there is no integrated modeling and analysis framework that would combine the two. In one aspect, the present invention provides an

integrated bio-silicon-hybrid system design environment to meet the need.

As schematically shown in Fig. 8, a design environment 800 according to the present invention has multiple customized interfaces 801 communicating with users including microbiologists, hardware/sensor engineers, and diagnostic experts. The design environment 800 has a microcontroller 810 that, among other things, contains models 802-805 operated therein, receives inputs from the interfaces 801 and drives system generators 806, 808. System models include biological models 802 that capture cellular metabolic cycles including cellular products, physical models 803 that capture sensor configurations, digital processors, fluid processing hardware, and other devices on the chip, cell diagnosis models 804 that capture the differential diagnosis procedure including measurement parameters, decision logic based on measured/computed parameters, and physical actions to change cellular environmental parameters, control strategy models 805 that define how to achieve the cellular environmental parameter changes using the hardware defined in the physical models 802. Models can be added, deleted, edited, modified, tested, operated, used, saved, and upgraded, among other things. Moreover, the design environment 800 has one more system generators for various tasks. For examples, system generator 806 creates simulations of the procedures and physical structures as defined by any or any combination of models 801-805 and generates simulation configuration data 812 to drive a biological simulator 813, in which offline simulations execute these models 807, and produce data that can be used to optimize corresponding models 801-805. System generator 808 converts the models 801-805 into executable code 811 that contains the software and hardware configuration information, and runs on the physical device 809 to perform cell diagnostics in the application-specific bio-microcontroller 814 accordingly. More descriptions about what the design environment 800 can do are given below.

In one aspect, the present invention relates to a method for discriminating an agent. To do so, a process for agent classification needs to be defined. In one embodiment, overall agent classification can be implemented in the design environment 800 by successive refinement of diagnostic hypotheses. The steps in this process can be defined using a diagnosis tree having a plurality of branches. At each branch of the tree, a context-sensitive experiment is conducted and data

acquired and analyzed. The context is a result of all prior experiments and decisions. At each experiment/assay, the following steps are performed:

5        Setting assay conditions, including: (a) Selecting the type and quantity of cells to be exposed; (b) Modifying the 'set-points' of the cell, (e.g., changing pH to make the cell metabolism more sensitive to a particular protein, etc.); (c) applying the unknown agent to the cells with a user-specified profile;

10       Acquiring data from the sensors, and processing it into "features". Features represent processed information from the raw signal, converting a time sequence of raw A/D sample counts into a small number of parameters. Arbitrarily complex feature extraction algorithms can be defined by connecting software modules from a library of signal analysis functions (e.g., slope, frequency analysis, parametric modeling, etc.) in a Lab View-like environment; and

15       Applying a discrimination function to evaluate the features, dividing into classes of responses. The classification assurance will be assessed and used to select the next branch of the decision tree. Discrimination functions can be implemented to form a library of techniques (Principal Component/Factor Analysis, Statistical Clustering/Maximum Likelihood Estimation, Parametric Model/System Identification, Neural Networks, User-Defined, etc.).

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      In operation, care should be taken to integrate control and diagnosis to conserve valuable resources (limited number of cells, limited quantity of reagents), and modify cell conditions to enhance sensitivity of the biological systems to improve quality (e.g., to increase probability of detect, to reduce false alarm rate, etc.).

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      Defining the diagnosis tree is an experiment- and data-intensive, iterative process. As shown in Fig. 8, the design environment 800 is capable of supporting programming of the system by a plurality of users 801. A Model-Integrated Computing ("MIC") approach is utilized to design and implement a Domain-Specific language for agent classification. The MIC approach has proven successful

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at a wide variety of embedded systems and diagnostic domains.

      Discrimination can be achieved in several ways according to the present

invention. Referring now to Fig. 22, a differential discrimination process is shown. In step 1, a series of incremental refinement procedures 2201 are defined as a decision tree. Starting with a broad sweep assay, and using the results of a discrimination process, the agent is identified as being either viral or neural.

5 Moreover, classes and subclasses of neural responses are identified by choosing a new assay to further refine decisions, which can be considered as branches and successive branches of the decision tree. Refinement proceeds until sufficient confidence and discrimination is achieved. In Step 2, experimental conditions and control setting parameters 2202, such as cell type and quantity to expose to the  
10 unknown agent, cell bath composition, or the like, are defined. In Step 3, the individual entries 2203 in a first database, where is shown as Data Point Library, are used to define a detailed discrimination process that involves specific measurements 2204 such as fast Fourier transforms ("FFT"), summations, differences, and feature extraction, during which a feature extraction algorithm is graphically defined in a  
15 second database 2205, where is shown as Feature Extraction Library, that contain common signal processing primitives to produce the resulting feature 2206 that is quantified. At step 4, discrimination functions or discriminators are defined by using several features 2207 to indicate differential diagnostics paths to choose, and/or obtained from a third database 2208, where is shown as Discrimination  
20 Functions Library, and a historical data base 2209 that contains common discrimination functions and provides a historical record available for use in discrimination and algorithm verification. As a result, one or more discrimination functions, i.e. classification information about the agent, are generated, which can be used to select the next branch of the diagnosis tree. These discriminators can also be  
25 used to discriminate or classify the agent from the measured signals corresponding to the agent. The process can be repeated until the agent is discriminated.

Accordingly, as shown in Fig. 22 and discussed above, one method 2200 for discriminating an agent according to one embodiment of the present invention includes the steps of (a) constructing a decision tree 2251 having a plurality of  
30 branches 2253, 2255, each branch corresponding to at least one defined action, wherein each branch includes a plurality of successive branches 2257, 2259, each successive branch corresponding to at least one defined action, (b) providing a

conditioned environment 2202 sensitive to the agent, (c) obtaining data from response of the agent to the conditioned environment at 2203, (d) extracting features from the obtained data at 2204, (e) selecting a branch from the decision tree corresponding to the features at 2206, (f) performing on the features at least one  
5 defined action corresponding to the branch, and (g) producing a classification of the agent at 2207. Some of the above steps can be iteratively repeated until the agent is discriminated.

In doing so, as shown in Fig. 22, at the start, a decision is made regarding the choice of logic for successive refinement of agent classification, where it can be  
10 chosen as logic for classification of a Neuro agent at 2255, or logic for classification of a Viral agent at 2253. The agent may include a chemical agent, a non-chemical agent, a biological agent, or a non-biological agent. Examples of the agent can be found above.

Different signal classification algorithms can be utilized to classify an agent.  
15 In one embodiment as shown in Fig. 23, for the process 2351 shown at the upper half, sets of available measurements 2301, where each measurement represents a parameter related to the status of the agent, for example, the entry of a toxin to a conditioned environment having cells may cause temporal response measured as T, are chosen and used as input data to the feature extraction process 2302 that  
20 graphically define algorithms to extract properties from the raw cellular measurements. From these data, feature sets 2303 are computed from solving a set of first order differential equations although second order, or high order differential equations may also be used. Feature sets 2303 are then analyzed at 2304 by classification algorithms including principal component analysis and other  
25 linear/nonlinear classifiers to separate the features into a feature space 2305. Space 2305 is an example of a poorly classified decision, where feature distributions overlap to each other, based upon a particular feature extraction process 2302. A similar process 2353 as shown in the lower half of Fig. 23, however, produces a feature space 2306 that represents a good classification of three regions from a  
30 different extraction process. One difference is that process 2353 uses a set of second order differential equations at step 2312 as discussed in more detail below.



Indeed, an important phase of signal classification is the initial feature extraction. Feature extraction can take the form of simple mathematical operations on signals (add/subtract, compute slope/area-under-curve) or can incorporate metabolic or other physiological information such as intracellular or intercellular signaling activity via parameter matching to biological models. Design of the feature extraction algorithms is an iterative process as partially shown in Fig. 23. As shown in the upper half of Fig. 23, the initial attempt 2351 selects a set of sensor inputs (temperature, oxygen, pH, etc..). A first-order differential equation model of metabolic pathways is used to extract features from T, pH, and NADPH amongst other algorithms. A Principal-Component-Analysis (PCA)/Cluster separation reveals that the classes are only separable with a 20% confidence level.

In the lower half of Fig. 23, a refinement of the feature extraction shown as process 2353 changes the biological model to 2nd order and adds a new model as a feature (O-). Successive PCA shows that the classes are now separable with a 90% confidence level. Among other things, the feature extraction primitives include (1) Standard mathematical/DSP functions, (2) Model Parameter Identification for 1st, 2nd, and 3rd order rate equations, (3) Mean transit time and Impulse response models, and (4) Kinetics of mass/heat diffusion. In addition, generic 'shells' will be available to perform user-defined analysis.

Classified data are stored in a database for further use. However, when building the experimental classification database in an unsupervised mode, the input to the algorithms are unlabelled examples. Unsupervised classification algorithms are used to discover natural structures in the data and can provide valuable insight into the problem and guide the development of classification system. As described above, the design environment 800 can be used for a wide range of applications. On one end of the spectrum, it can be used to design decision trees that are based entirely on deep physiological knowledge. In this scenario, the number of features at each decision node would be relatively limited and assignment to one class or the other would be made on the goodness of fit between data and model. On the other end of the spectrum it can be used to design classification systems even if very little is known about physiological principles. In this scenario, the number of features would be large, the system provided with labeled examples, and it would simply

compute decision boundaries in the feature space.

Fig. 24 illustrates a diagnostics path to develop an assay according to one embodiment of the present invention. Note that this is illustrative of the definition process and represents a small fraction of a complete diagnostics process. The first step is to have a broad spectrum assay. Robust, relatively insensitive cells from cell lines are used to provide a long-lived activity detector. The broad assay may separate responses into one of several broad classes, discriminated by a Maximum Likelihood Estimator. Fig. 24 further illustrates the next step for Gram-Negative Bacteria. A new set of engineered cell lines is selected for their sensitivity to the presence or absence of CD-14 (*i.e.*, endotoxin receptor), along with instrumentation to measure the anticipated indicators. The expected response is used to define a set of feature extraction algorithms. In this case, a simple threshold serves as a classifier. The third step in the path chooses intestinal cells to determine if a pathogenic enterotoxin is secreted by the gram negative bacteria, a set of sensors, feature extraction algorithms, and a MLE classifier. Note that there may be additional steps to be performed.

Accordingly, as shown in Fig. 24, diagnosis process 2400 proceeds as follows. At step 2401, cell lines, sensors, and analysis metadata are chosen and obtained to provide a broad-spectrum activity assay at 2404. Feature extraction algorithms are utilized at 2405 to define how to convert raw sensor measurements into features at 2406. The features resulting from feature extraction are examined by a classifier method at 2407, which is selected for its ability to discriminate agent classes. Classification results are used to make a decision of which path to proceed at 2408. For examples, a second set of cell lines, sensors, and analysis metadata are chosen and obtained to provide a broad-spectrum activity assay at 2424 and subsequent analysis is repeated to generate new classification results. For poor discriminations, multiple paths can be followed at step 2409. Additional subsequent steps that follow the same procedures, with specific feature extraction and classification methods at 2403 (or more) can be repeated until desired results are obtained, for example, when a desired robustness factor is obtained.

While there has been shown various embodiments of the present invention, it is to be understood that certain changes can be made in the form and arrangement of

the elements of the system and steps of the methods to practice the present invention as would be known to one skilled in the art without departing from the underlying scope of the invention as is particularly set forth in the Claims. Furthermore, the embodiments described above are only intended to illustrate the principles of the

5 present invention and are not intended to limit the claims to the disclosed elements.

## WHAT IS CLAIMED IS:

1. A device for monitoring status of at least one cell, wherein the cell has a membrane forming a substantially enclosed structure and defining an intracellular space therein, comprising:
  - a. a first substrate having a first surface and an opposite second surface;
  - b. a second substrate supported by the first substrate, the second substrate having a first surface, an opposite second surface, a body portion between the first surface and the second surface, a first side surface and an opposite second side surface, wherein the body portion defines a first passage between the first side surface and the second side surface and an opening on the first surface of the second substrate and in fluid communication with the first passage;
  - c. sidewalls positioned above the first surface of the second substrate;
  - d. a third substrate having a first surface and an opposite second surface, wherein the third substrate, the sidewalls and the second substrate define a chamber, and wherein the chamber is in fluid communication with a second passage defined by portions of the sidewalls and the third substrate; and
  - e. at least one sensor positioned in the first passage proximate to the opening;  
wherein the cell is positioned in the chamber and the intracellular space of the cell is in fluid communication with the first passage through the opening of the second substrate.
2. The device of claim 1, wherein the membrane of the cell defines an opening through which the intracellular space of the cell is in fluid communication with the first passage through the opening of the second substrate.
3. The device of claim 2, further comprising a punching element positioned underneath the opening of the second substrate for making the opening defined by the membrane of the cell.

4. The device of claim 3, wherein the punching element comprises an electroporation device.
- 5 5. The device of claim 2, wherein when a first medium is introduced into the first passage, the intracellular space of the cell is in fluid communication with the first passage with the first medium, the sensor measures the response of the cell to the first medium.
- 10 6. The device of claim 2, wherein when a second medium is introduced into the chamber through the second passage, at least part of the membrane of the cell is in contact with the second medium in the chamber, the sensor measures the response of the cell to the second medium.
- 15 7. The device of claim 2, wherein when a first medium is introduced into the first passage and a second medium is introduced into the chamber through the second passage, respectively, the intracellular space of the cell is in fluid communication with the first passage with the first medium and at least part of the membrane of the cell is in contact with the second medium in the  
20 chamber, the sensor measures the responses of the cell to the first medium and the second medium.
- 25 8. The device of claim 1, further comprising a pair of first controls positioned inside the first passage for controlling the flow of a medium through the first passage.
9. The device of claim 1, further comprising a second control positioned inside the second passage for controlling the flow of a medium through the second passage.
- 30 10. The device of claim 1, wherein the first passage is in fluid communication with a reservoir of a medium.

11. The device of claim 1, wherein the second passage is in fluid communication with a reservoir of a medium.
- 5 12. A device for monitoring status of a plurality of cells, wherein each cell has a membrane forming a substantially enclosed structure and defining an intracellular space therein, comprising:
- 10 a. a first substrate having a first surface and an opposite second surface;
- b. a second substrate supported by the first substrate, the second substrate having a first surface, an opposite second surface, a body portion between the first surface and the second surface, a first side surface and an opposite second side surface, wherein the body portion defines a first passage between the first side surface and the second side surface and a plurality of openings distributed on and over the first surface, each opening being in fluid communication with the first passage;
- 15 c. a third substrate having a first surface and an opposite second surface and spaced apart from the second substrate thereby defining a space between the second surface of the third substrate and the first surface of the second substrate;
- 20 d. a plurality of sidewalls positioned between the second substrate and the third substrate thereby partitioning the space between the second substrate and the third substrate into a plurality of chambers above the first surface of the second substrate such that only one of openings distributed on and over the first surface is located between the sidewalls of a corresponding chamber, wherein each chamber is in fluid communication with at least one neighboring chamber through a second passage defined by portions of the corresponding sidewalls and the third substrate; and
- 25 e. a plurality of sensors positioned in the first passage, each sensor being proximate to a corresponding one of openings distributed on and over the first surface of the second substrate,
- 30

wherein each cell is positioned in a corresponding one of the chambers and the intracellular space of each cell is in fluid communication with the first passage through the opening located between the sidewalls of a corresponding chamber.

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13. The device of claim 12, wherein the membrane of each cell defines an opening through which the intracellular space of the cell is in fluid communication with the first passage through the opening located between the sidewalls of a corresponding chamber.

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14. The device of claim 13, further comprising a plurality of punching elements, each positioned underneath an opening located between the sidewalls of a corresponding chamber for making the opening defined by the membrane of a corresponding cell.

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15. The device of claim 14, wherein each punching element comprises an electroporation device.

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16. The device of claim 12, wherein when a first medium is introduced into some portion of the first passage, the intracellular space of a cell that is in a chamber corresponding to that portion of the first passage is in fluid communication with the first passage with the first medium, a corresponding sensor measures the response of the cell to the first medium.

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17. The device of claim 12, wherein when a second medium is introduced into a chamber, at least part of the membrane of a corresponding cell in the chamber is in contact with the second medium, a corresponding sensor measures the response of the cell to the second medium.

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18. The device of claim 12, wherein when a first medium is introduced into some portion of the first passage and a second medium is introduced into a chamber corresponding to that portion of the first passage, respectively, the

intracellular space of a corresponding cell in the chamber is in fluid communication with the first passage with the first medium and at least part of the membrane of the corresponding cell is in contact with the second medium, a corresponding sensor measures the responses of the cell to the first medium and the second medium.

19. The device of claim 12, wherein the plurality of sensors are substantially the same.
20. The device of claim 12, wherein at least two of the plurality of sensors are different from each other.
21. The device of claim 12, further comprising a plurality of first controls positioned inside the first passage for controlling the flow of a medium through the first passage, wherein for each chamber, a corresponding pair of the first controls controls the flow of the medium through portions of the first passage under a corresponding chamber.
22. The device of claim 12, further comprising a plurality of second controls, each positioned inside a corresponding second passage for controlling the flow of a medium through that second passage.
23. The device of claim 22, wherein at least one chamber is in fluid communication with a reservoir of a medium through a second passage.
24. The device of claim 12, wherein the first passage is in fluid communication with a reservoir of a medium.
25. A method for monitoring the status of at least one cell, wherein the cell has a membrane forming a substantially enclosed structure and defining an intracellular space therein, comprising the steps of:
- confining the cell in a chamber;



- b. making an opening in the membrane of the cell;
- c. providing a first medium into the intracellular space of the cell through the opening in the membrane; and
- d. measuring the response of the cell to the first medium.

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26. The method of claim 25, further comprising the steps of:
- a. providing a second medium into the chamber such that at least part of the membrane of the cell is in contact with the second medium; and
  - b. measuring the response of the cell to the second medium.

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27. The method of claim 26, wherein the first medium and the second medium are different.

28. The method of claim 26, wherein the first medium and the second medium are substantially the same.

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29. The method of claim 26, wherein the second medium comprises an agent.

30. The method of claim 25, wherein the first medium comprises an agent.

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31. A device for monitoring the status of at least one cell, wherein the cell has a membrane forming a substantially enclosed structure and defining an intracellular space therein, comprising:

- a. means for confining the cell in a chamber;
- b. means for making an opening in the membrane of the cell;
- c. means for providing a first medium into the intracellular space of the cell through the opening in the membrane; and
- d. means for measuring the response of the cell to the first medium.

25

32. The device of claim 31, further comprising:
- a. means for providing a second medium into the chamber such that at least part of the membrane of the cell is in contact with the second

30

medium; and

b. means for measuring the response of the cell to the second medium.

5 33. The device of claim 32, wherein the first medium and the second medium are different.

34. The device of claim 32, wherein the first medium and the second medium are substantially the same.

10 35. The device of claim 32, wherein the second medium comprises an agent.

36. The device of claim 31, wherein the first medium comprises an agent.

15 37. A method for monitoring the status of at least one cell, wherein the cell has a membrane forming a substantially enclosed structure and defining an intracellular space therein, comprising the steps of:

a. confining the cell in a chamber;  
b. making an opening in the membrane of the cell;  
c. providing a first medium into the intracellular space of the cell  
20 through the opening in the membrane;  
d. providing a second medium into the chamber such that at least part of the membrane of the cell is in contact with the second medium; and  
e. measuring the response of the cell to the second medium.

25 38. The method of claim 37, further comprising the step of measuring the response of the cell to the first medium.

39. The method of claim 37, wherein the first medium and the second medium are different.

30 40. The method of claim 37, wherein the first medium and the second medium are substantially the same.

41. The method of claim 37, wherein the second medium comprises an agent.
42. The method of claim 37, wherein the first medium comprises an agent.
- 5
43. A device for monitoring the status of at least one cell, wherein the cell has a membrane forming a substantially enclosed structure and defining an intracellular space therein, comprising:
- 10
- a. means for confining the cell in a chamber;
  - b. means for making an opening in the membrane of the cell;
  - c. means for providing a first medium into the intracellular space of the cell through the opening in the membrane;
  - d. means for providing a second medium into the chamber such that at least part of the membrane of the cell is in contact with the second medium; and
  - 15 e. means for measuring the response of the cell to the second medium.
44. The device of claim 43, further comprising means for measuring the response of the cell to the first medium.
- 20
45. The device of claim 43, wherein the first medium and the second medium are different.
46. The device of claim 43, wherein the first medium and the second medium are substantially the same.
- 25
47. The device of claim 43, wherein the first medium comprises an agent.
48. The device of claim 43, wherein the second medium comprises an agent.
- 30
49. A method for controlling the physiological status of at least one cell, wherein the cell has a membrane forming a substantially enclosed structure and

defining an intracellular space therein and controls its physiological status through an internal cellular control mechanism, comprising the step of:

- 5           a.       providing at least one medium to the cell such that at least part of the membrane of the cell is in contact with the medium to override the internal cellular control mechanism.

50.    The method of claim 49, further comprising the steps of:

- 10           a.       confining the cell in a chamber; and  
          b.       making an opening in the membrane of the cell.

51.    The method of claim 50, wherein the providing step further comprises the steps of:

- 15           a.       supplying a first medium into the intracellular space of the cell through the opening in the membrane; and  
          b.       supplying a second medium into the chamber such that at least part of the membrane of the cell is in contact with the second medium.

52.    The method of claim 51, further comprising the steps of:

- 20           a.       measuring the response of the cell to the second medium; and  
          b.       adjusting the composition of the second medium from the response to affect the overriding of the internal cellular control mechanism.

53.    The method of claim 51, further comprising the steps of:

- 25           a.       measuring the response of the cell to the first medium; and  
          b.       adjusting the composition of the first medium from the response to affect the overriding of the internal cellular control mechanism.

54.    The method of claim 51, wherein the first medium and the second medium are different.

30

55.    The method of claim 51, wherein the first medium and the second medium are substantially the same.

56. The method of claim 51, wherein the first medium comprises an agent.
57. The method of claim 51, wherein the second medium comprises an agent.
58. The method of claim 49, wherein the medium comprises an agent.
59. The method of claim 49, further comprising the step of:
- a. monitoring the concentration of at least one selected component of the medium; and
  - b. adjusting the composition of the medium from the monitored concentration of at least one selected component of the medium to affect the overriding of the internal cellular control mechanism.
60. The method of claim 49, further comprising the steps of:
- a. measuring the response of the cell to the medium; and
  - b. adjusting the composition of the medium from the response to affect the overriding of the internal cellular control mechanism.
61. A device for controlling the physiological status of at least one cell, wherein the cell has a membrane forming a substantially enclosed structure and defining an intracellular space therein and controls its physiological status through an internal cellular control mechanism, comprising:
- a. means for providing at least one medium to the cell such that at least part of the membrane of the cell is in contact with the medium to override the internal cellular control mechanism.
62. The device of claim 61, further comprising:
- a. means for confining the cell in a chamber; and
  - b. means for making an opening in the membrane of the cell.

63. The device of claim 62, wherein the providing means further comprises:
- a. means for supplying a first medium into the intracellular space of the cell through the opening in the membrane; and
  - 5 b. means for supplying a second medium into the chamber such that at least part of the membrane of the cell is in contact with the second medium.
64. The device of claim 63, further comprising:
- 10 a. means for measuring the response of the cell to the second medium; and
  - b. means for adjusting the composition of the second medium from the response to affect the overriding of the internal cellular control mechanism.
- 15 65. The device of claim 63, further comprising:
- a. means for measuring the response of the cell to the first medium; and
  - b. means for adjusting the composition of the first medium from the response to affect the overriding of the internal cellular control
- 20 mechanism.
66. The device of claim 63, wherein the first medium and the second medium are different.
- 25 67. The device of claim 63, wherein the first medium and the second medium are substantially the same.
68. The device of claim 63, wherein the first medium comprises an agent.
- 30 69. The device of claim 63, wherein the second medium comprises an agent.
70. The device of claim 61, wherein the medium comprises an agent.

71. The device of claim 61, further comprising:
- a. means for monitoring the concentration of at least one selected component of the medium; and
  - 5 b. means for adjusting the composition of the medium from the monitored concentration of at least one selected component of the medium to affect the overriding of the internal cellular control mechanism.
- 10 72. The device of claim 61, further comprising:
- a. means for measuring the response of the cell to the medium; and
  - b. means for adjusting the composition of the medium from the response to affect the overriding of the internal cellular control mechanism.
- 15 73. A method for controlling the physiological status of at least one cell, wherein the cell has a membrane forming a substantially enclosed structure and defining an intracellular space therein and controls its physiological status through an internal cellular control mechanism, comprising the steps of:
- 20 a. providing at least one medium to the cell such that at least part of the membrane of the cell is in contact with the medium;
  - b. monitoring at least one selected component of the medium; and
  - c. adjusting the composition of the medium from the monitored concentration of at least one selected component of the medium to
  - 25 deliver or remove analytes to the intracellular space through the membrane to affect the internal cellular control mechanism.
74. A device for controlling the physiological status of at least one cell, wherein the cell has a membrane forming a substantially enclosed structure and
- 30 defining an intracellular space therein and controls its physiological status through an internal cellular control mechanism, comprising:
- a. means for providing at least one medium to the cell such that at least

- part of the membrane of the cell is in contact with the medium;
- b. means for monitoring at least one selected component of the medium;  
and
- c. means for adjusting the composition of the medium from the
- 5 monitored concentration of at least one selected component of the medium to  
deliver or remove analytes to the intracellular space through the membrane to  
affect the internal cellular control mechanism.



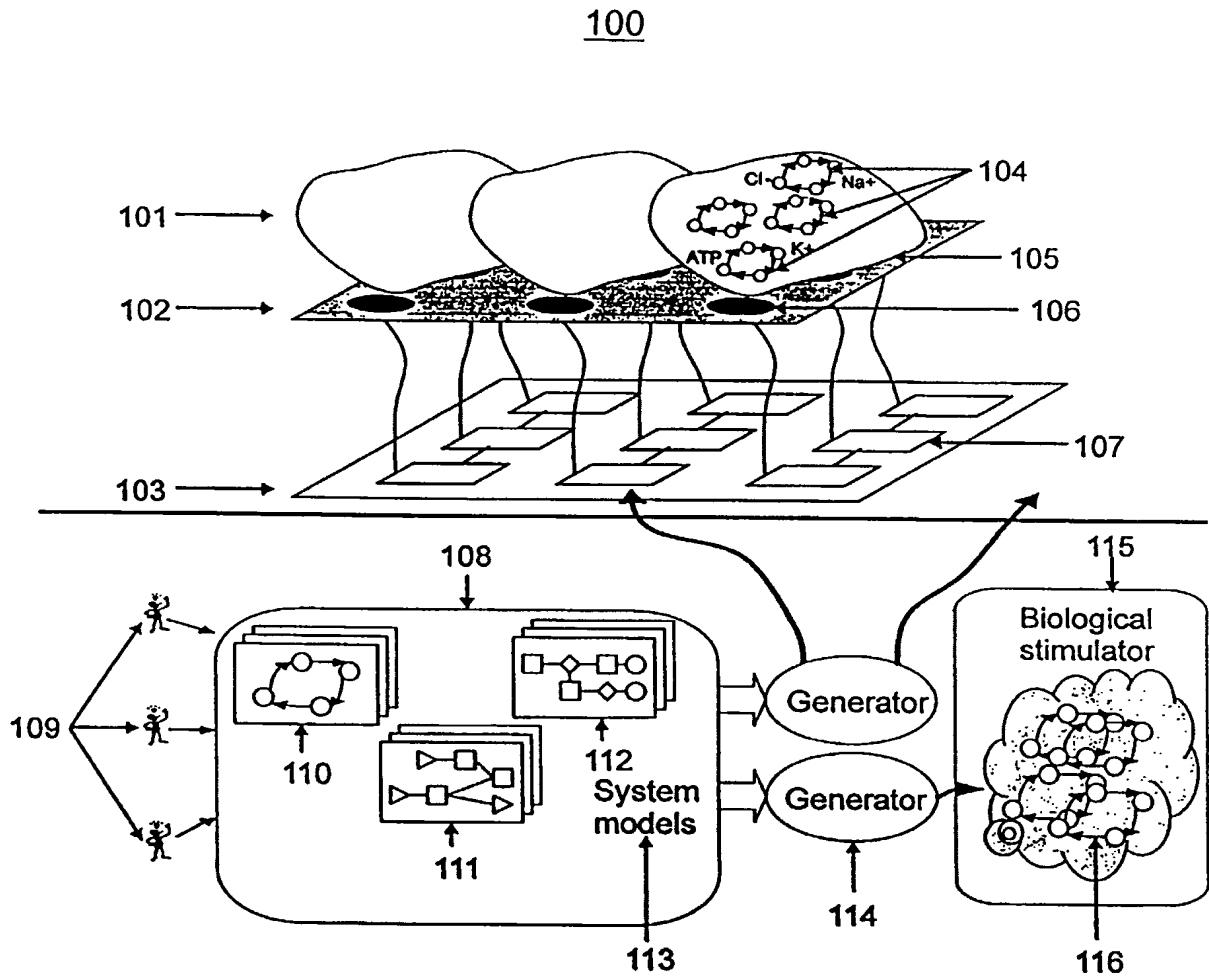


Fig. 1

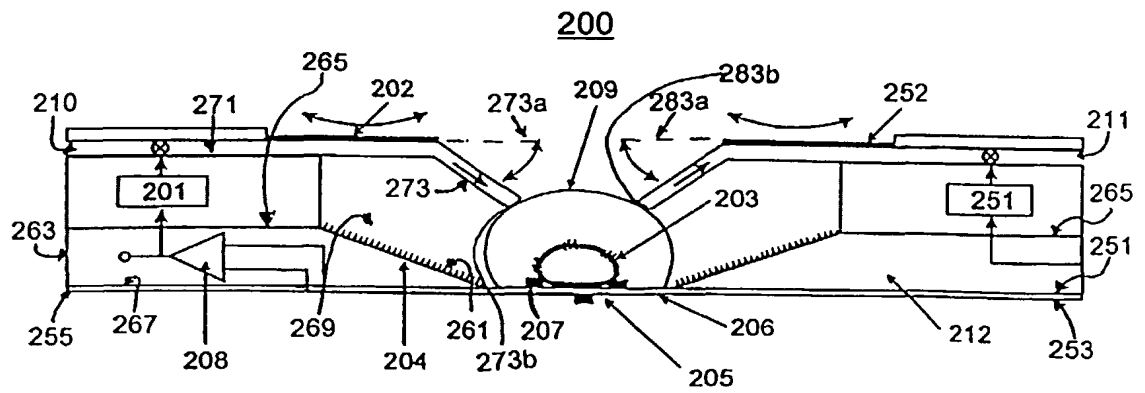


Fig. 2A

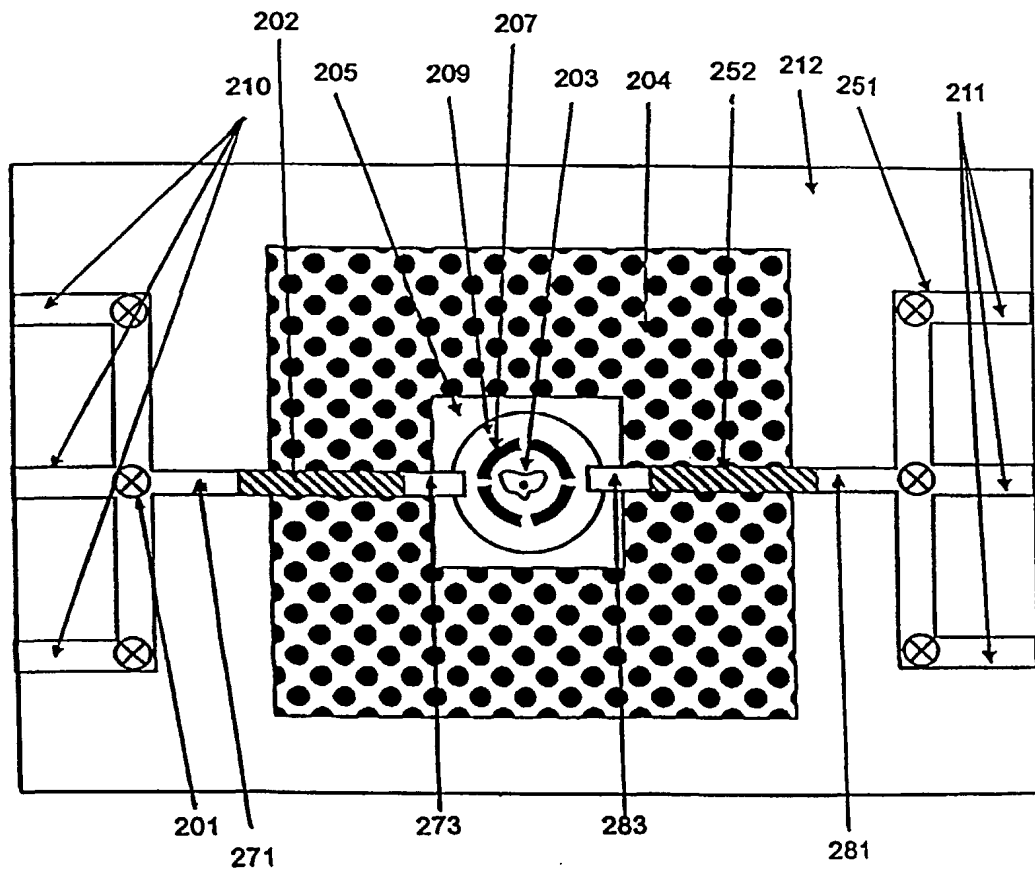


Fig. 2B

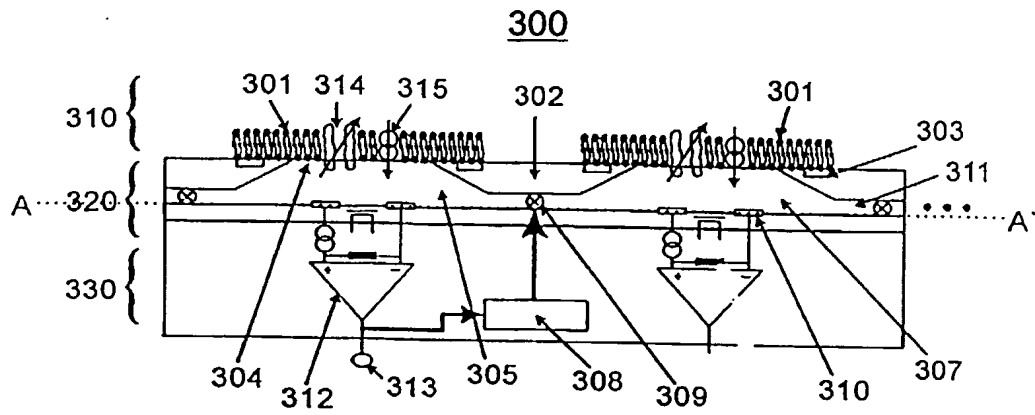


Fig. 3A

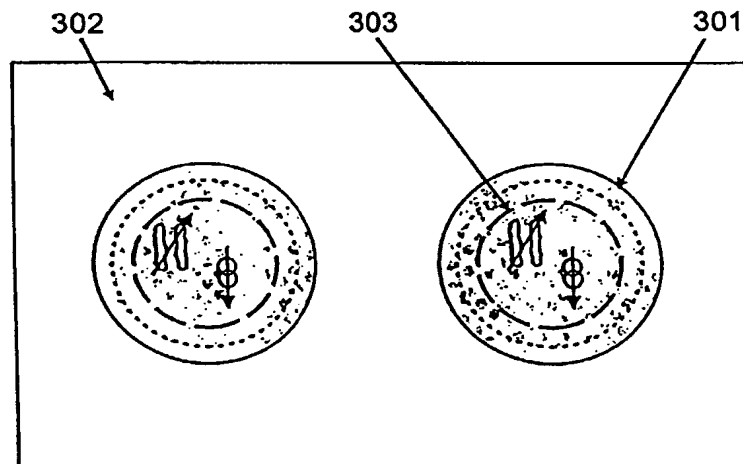


Fig. 3B

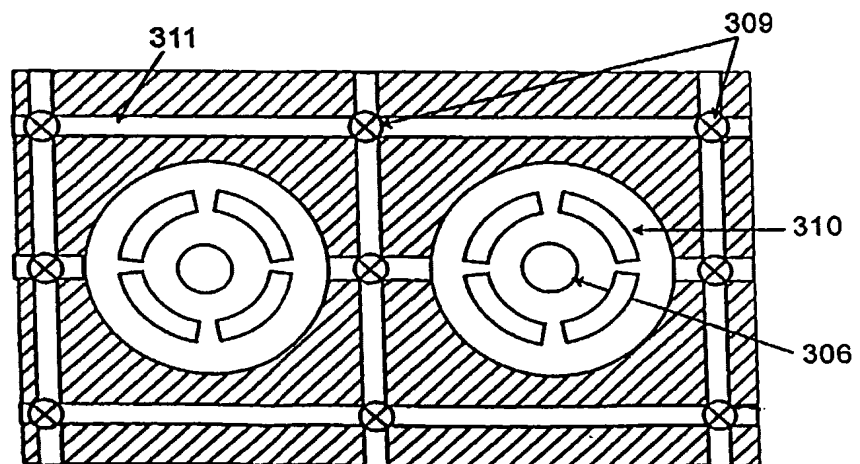


Fig. 3C

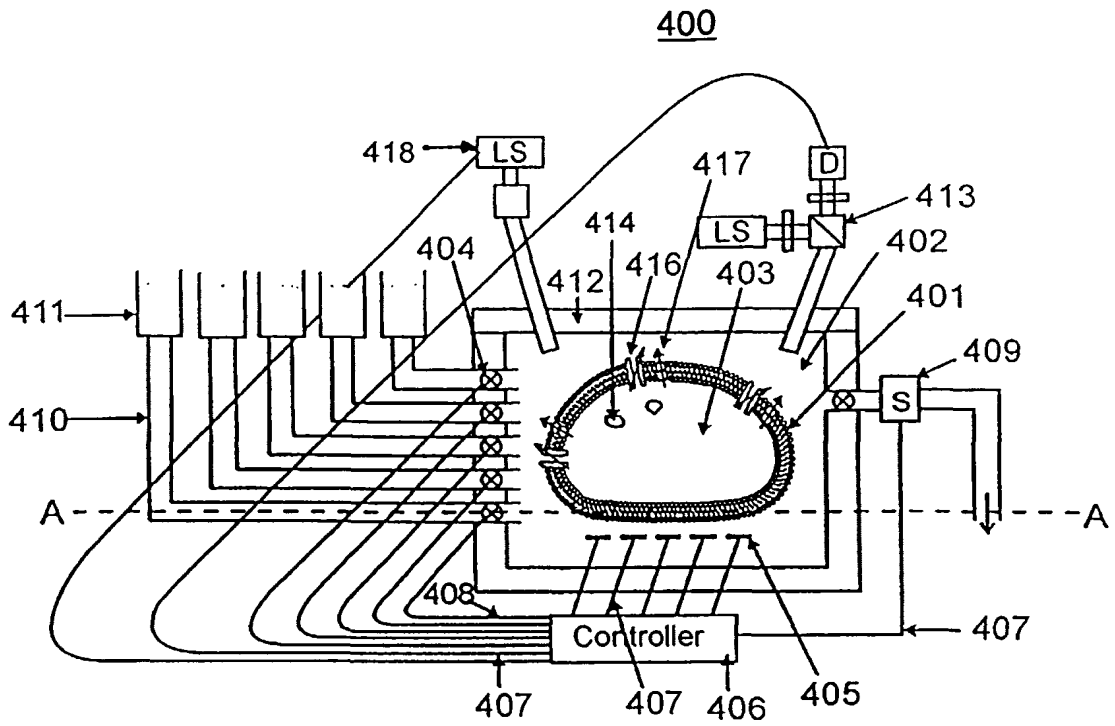


Fig. 4A

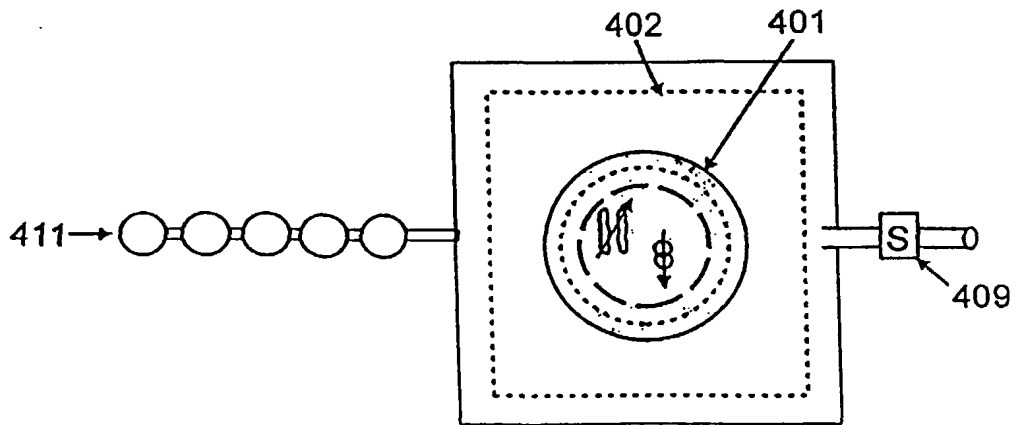


Fig. 4B

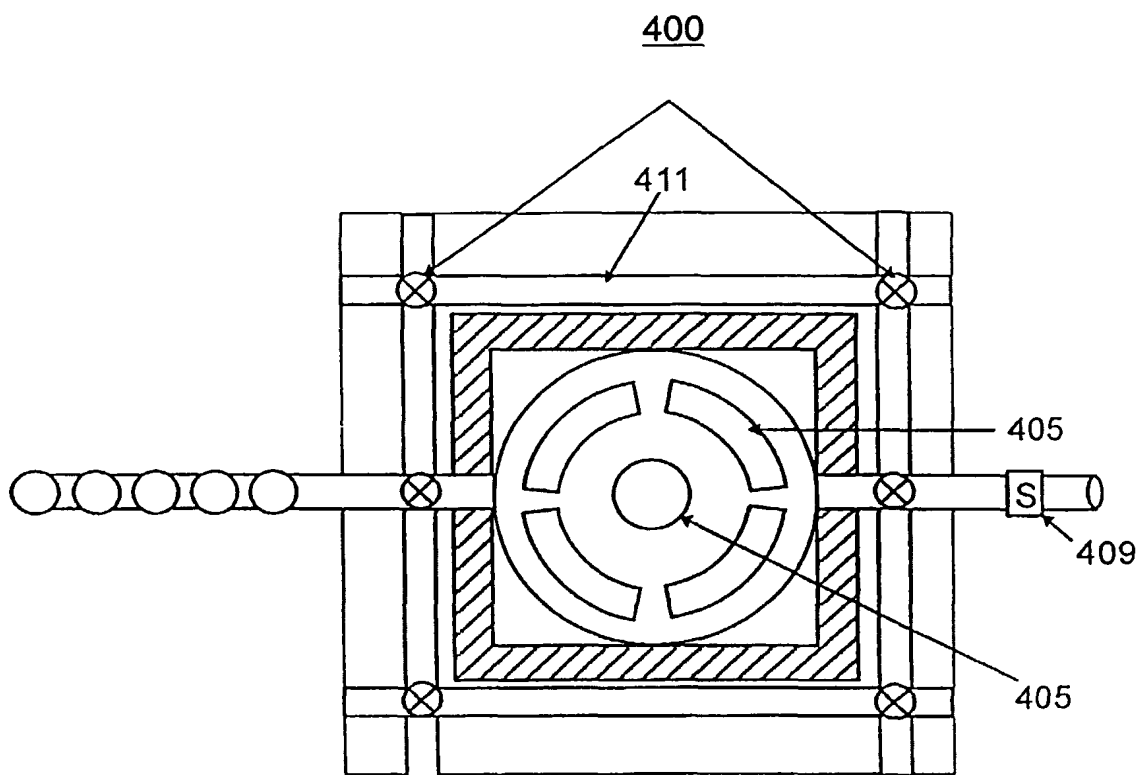


Fig. 4C

500

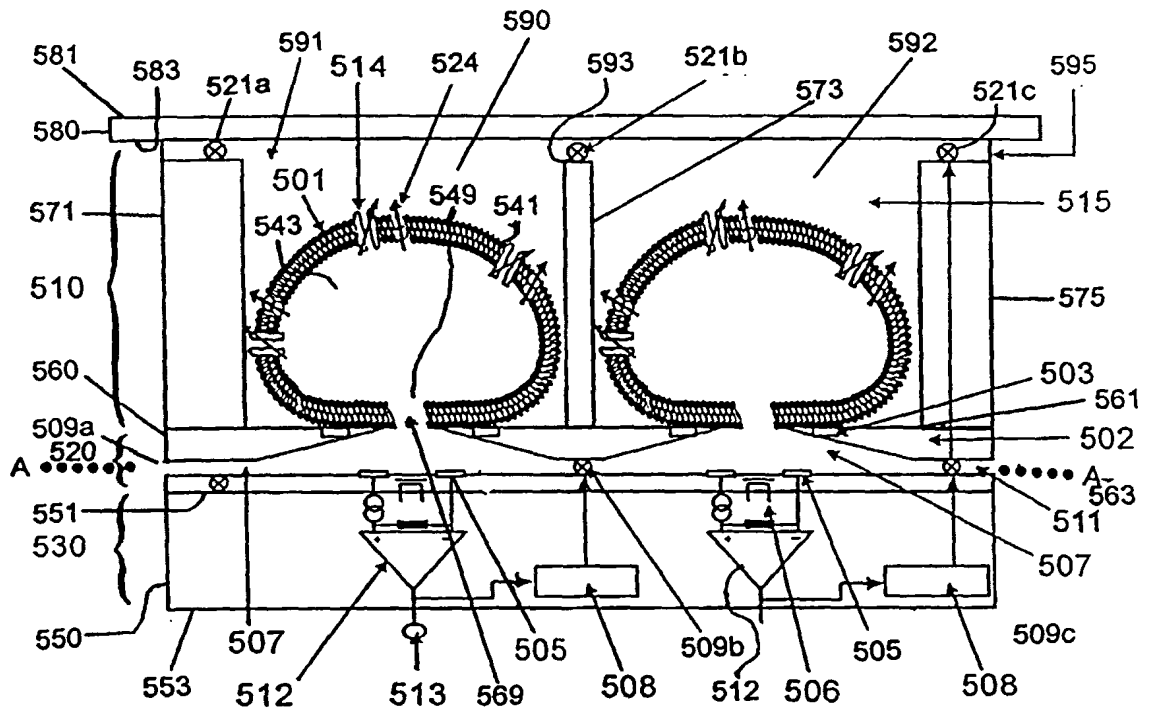


Fig. 5A

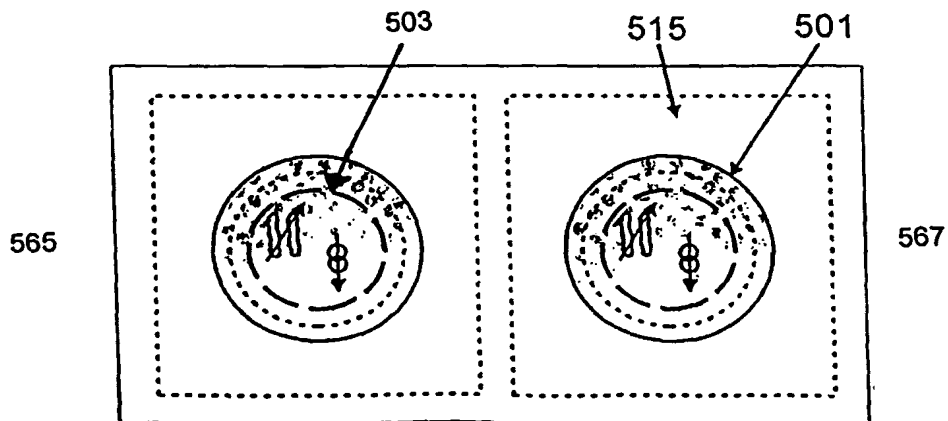


Fig. 5B

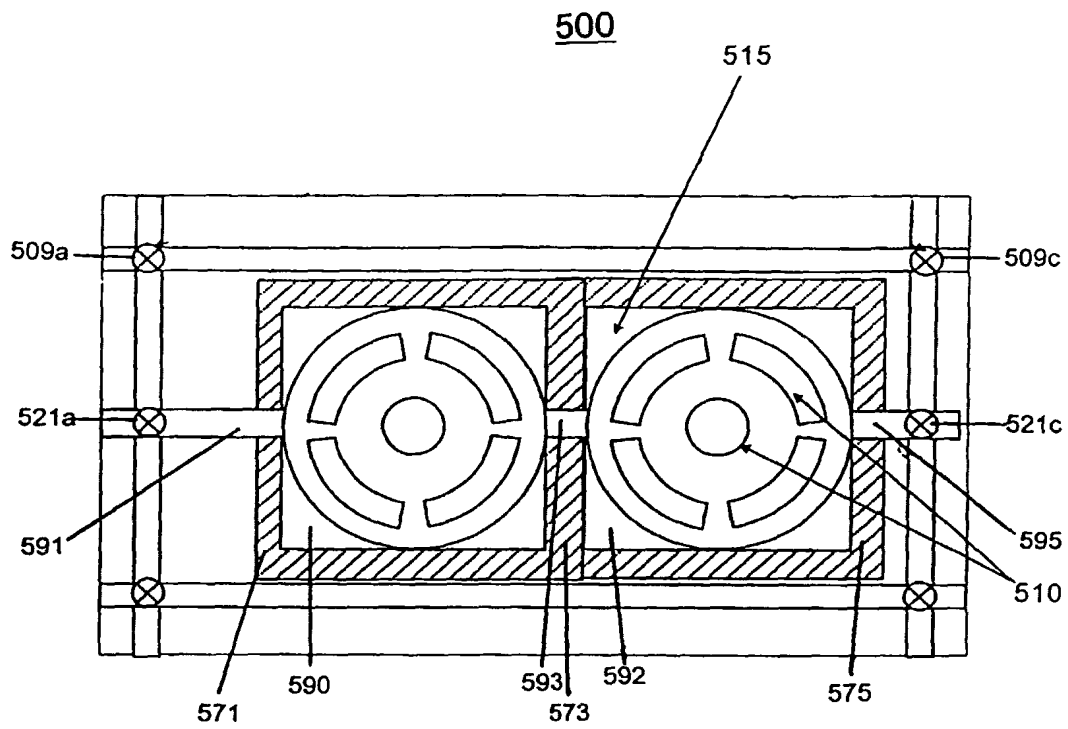


Fig. 5C

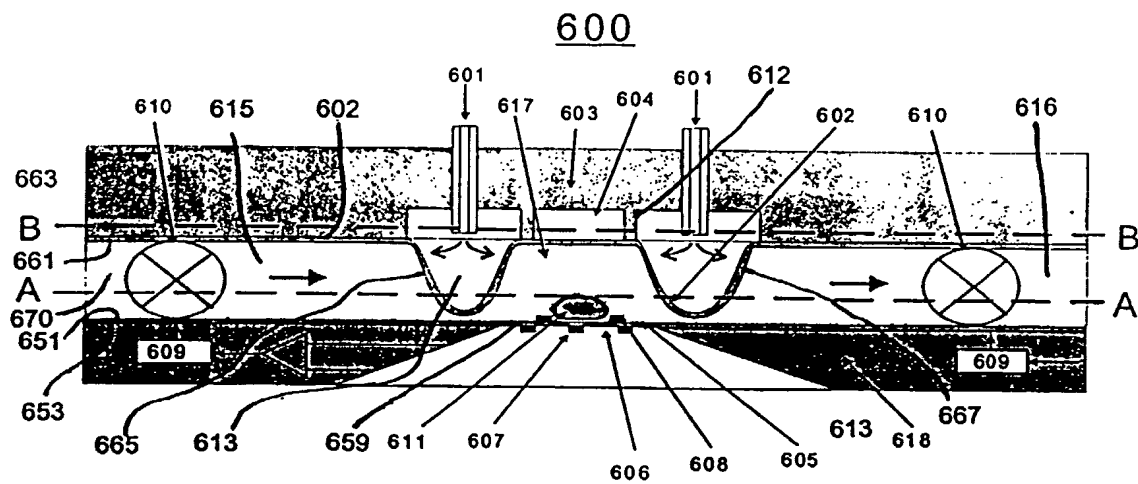


Fig. 6A

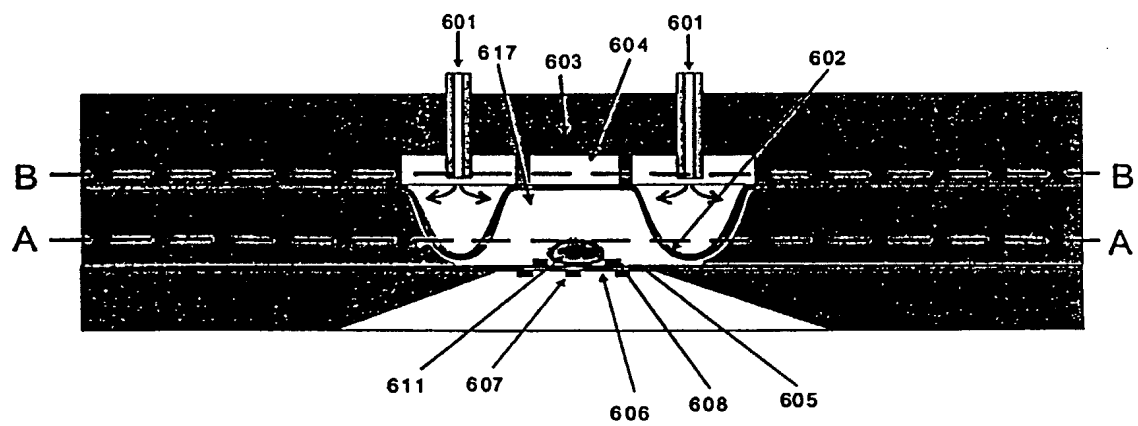


Fig. 6B



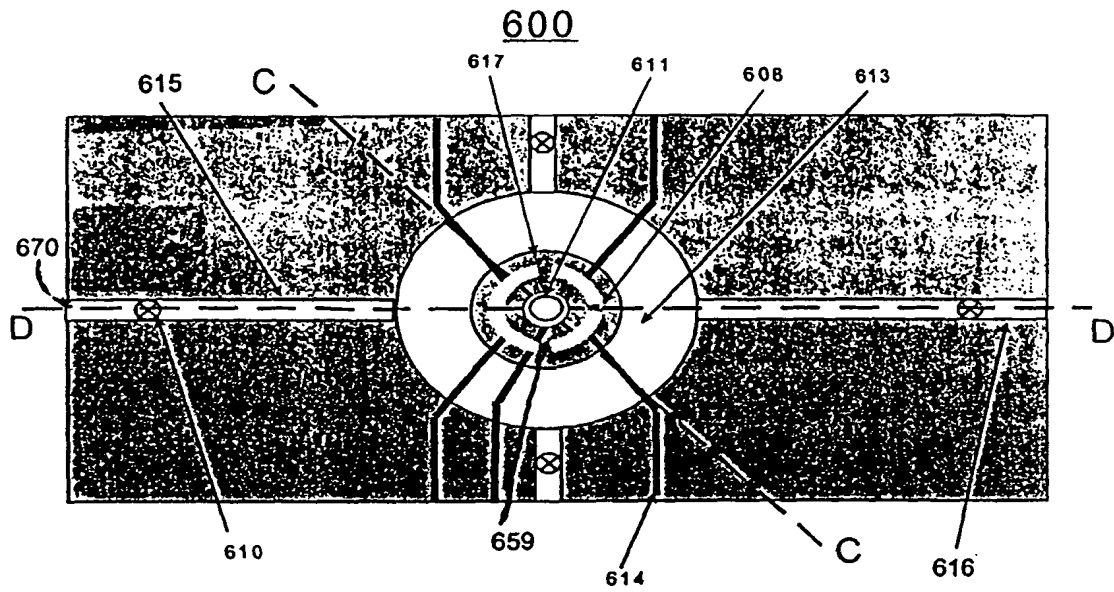


Fig. 6C

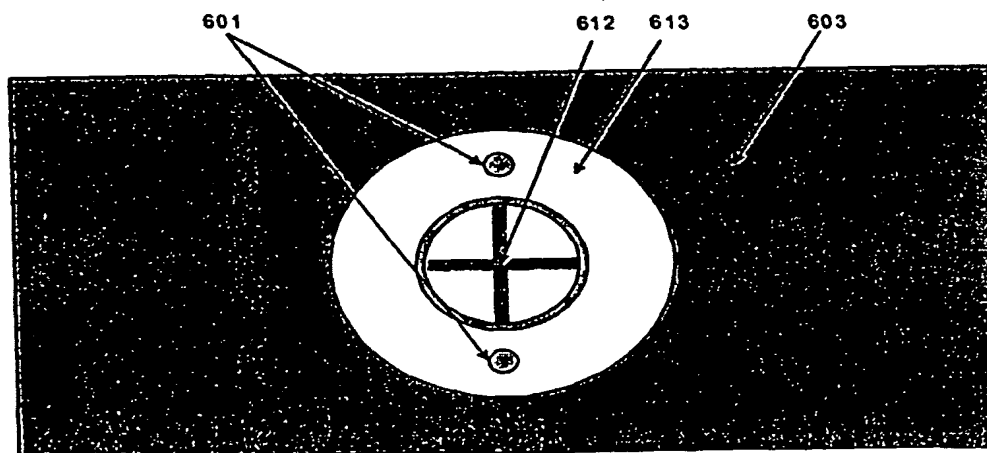


Fig. 6D

700

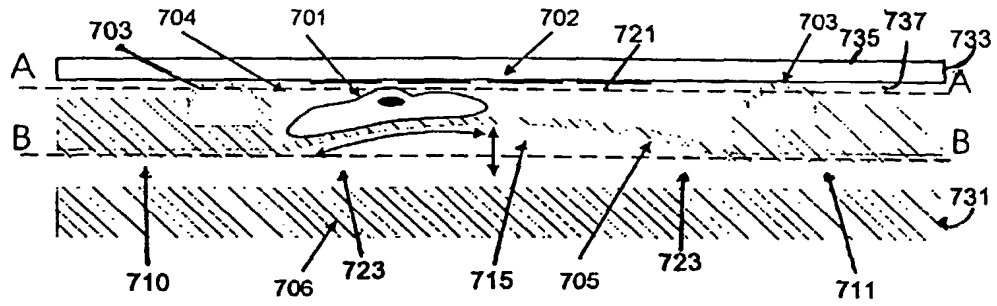


Fig. 7A

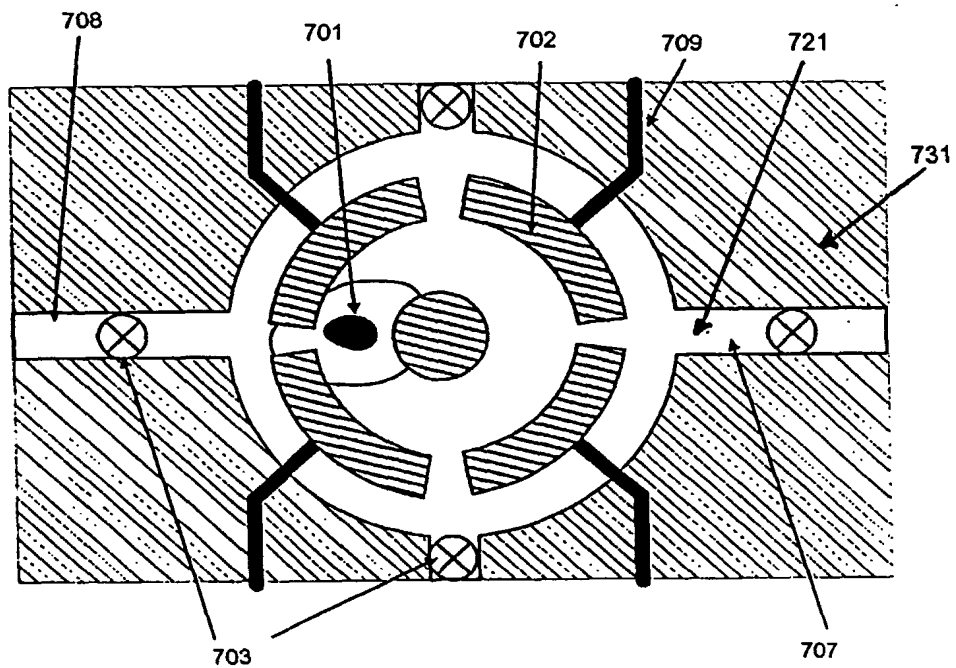


Fig. 7B

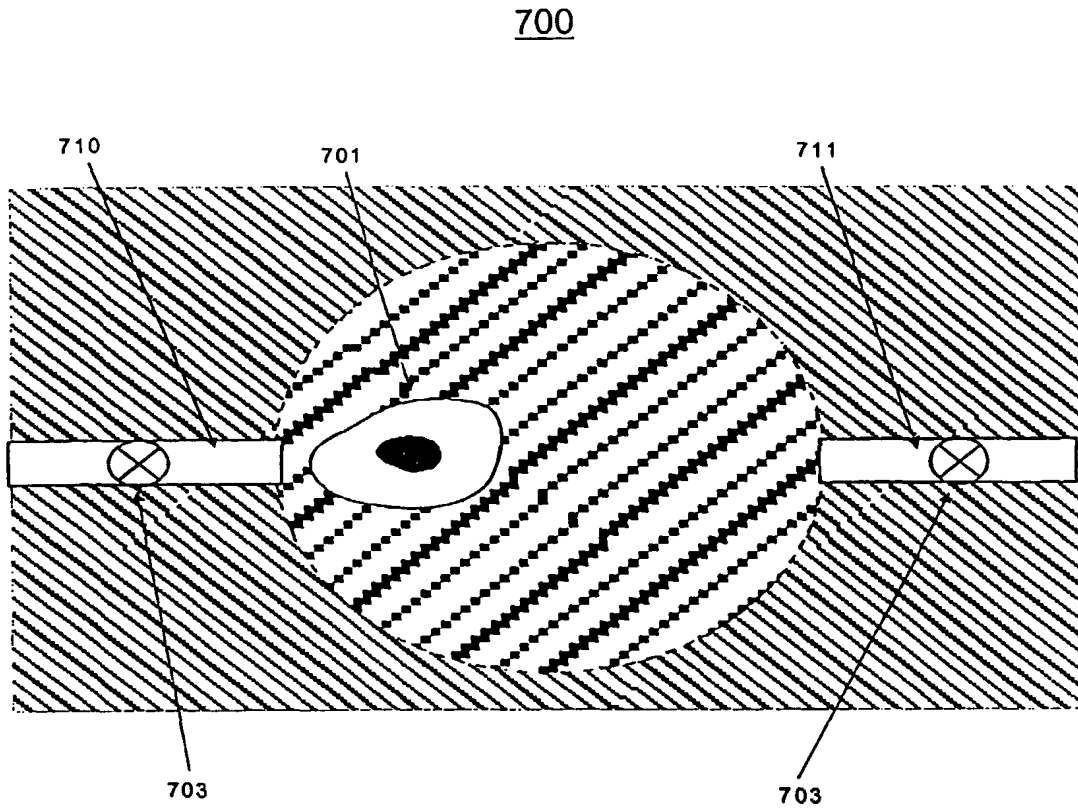


Fig. 7C

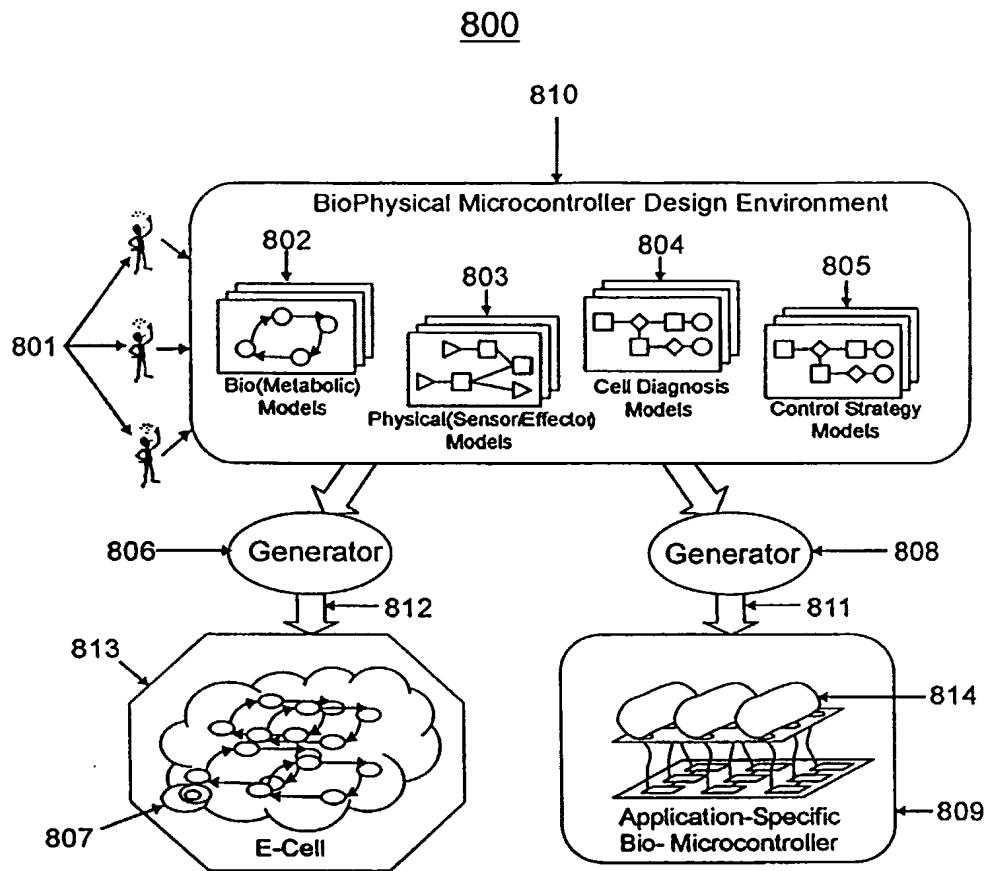


Fig. 8

900

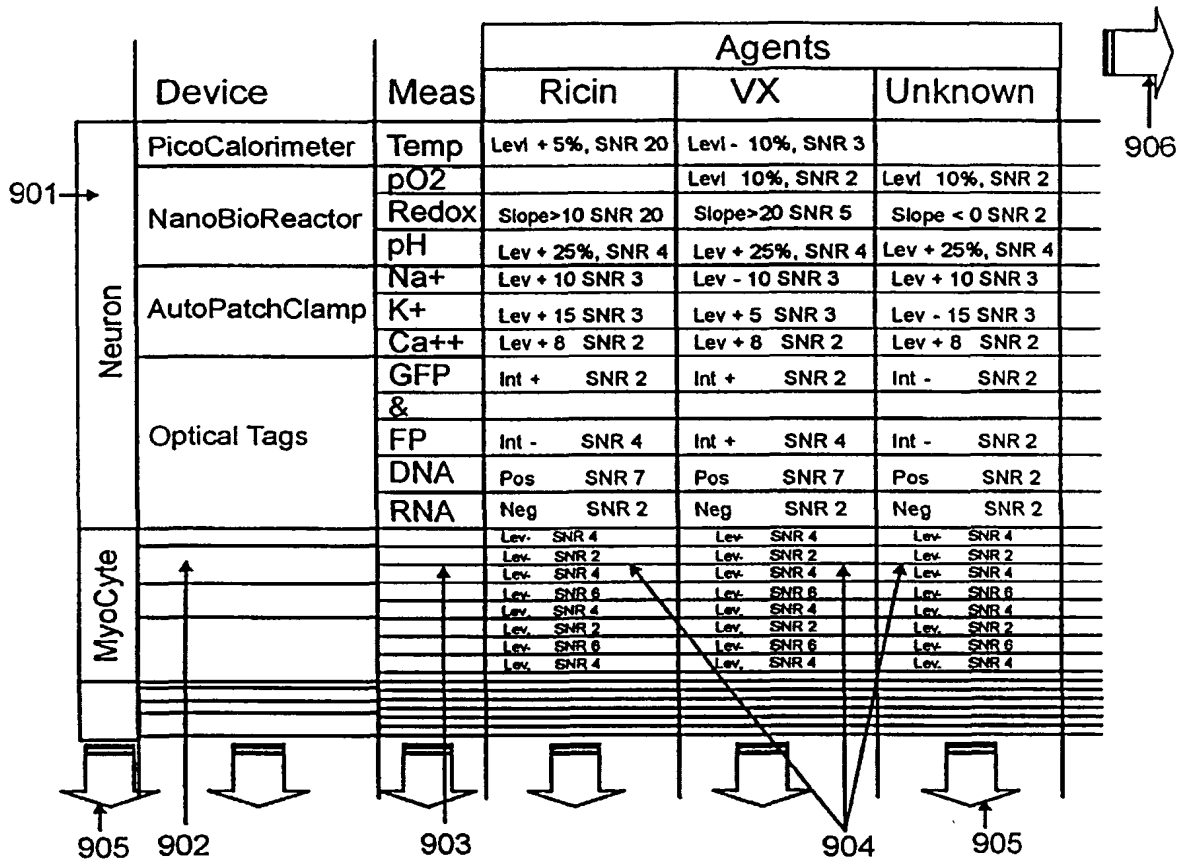
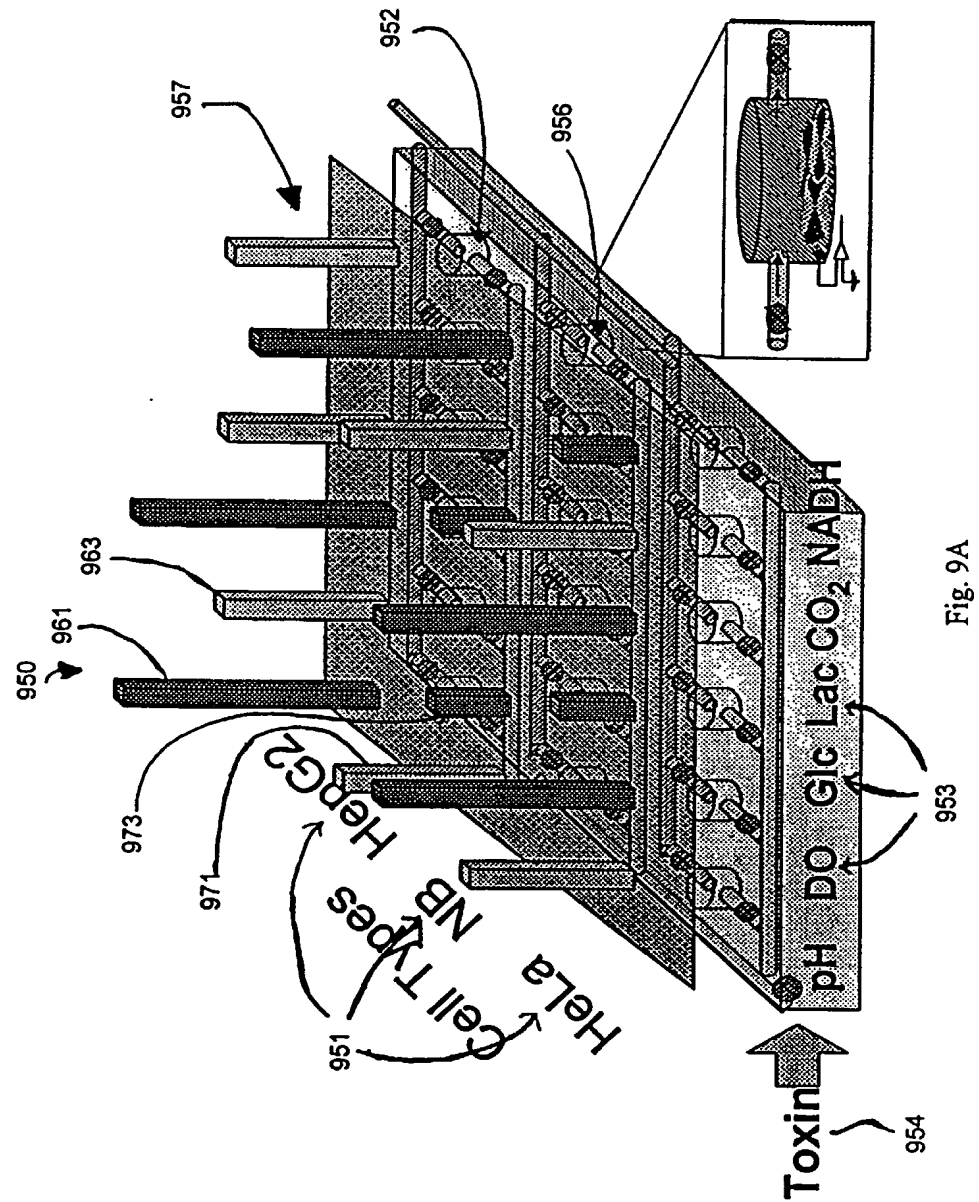


Fig. 9



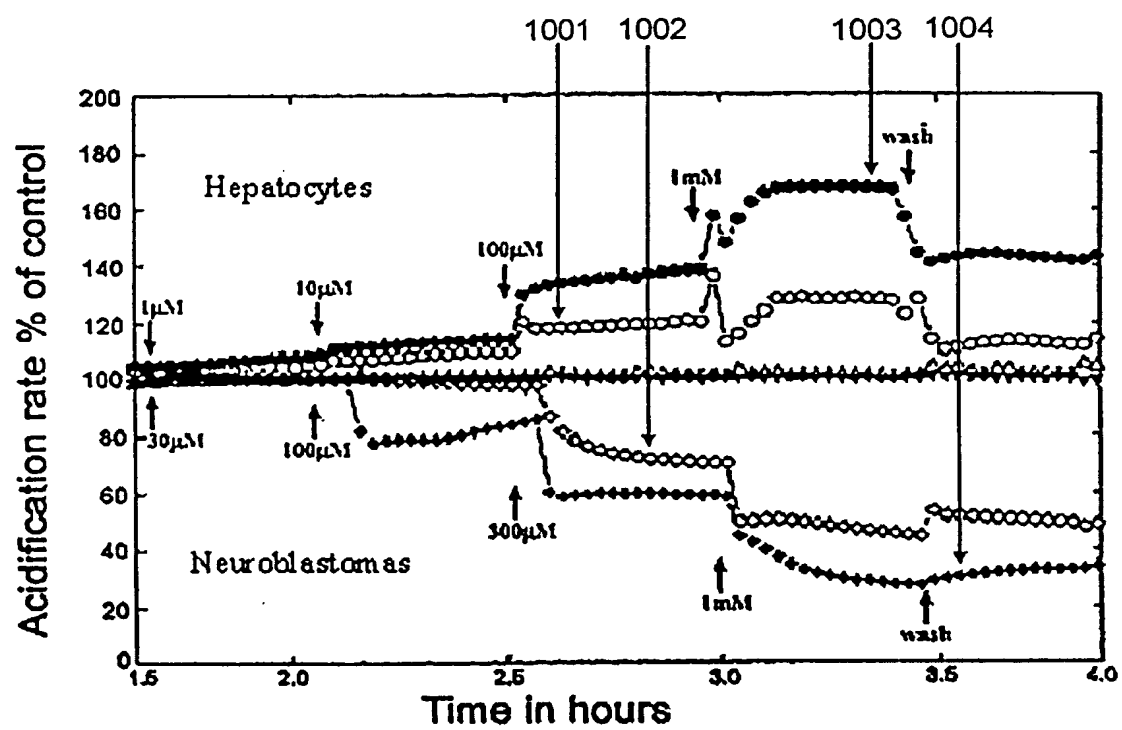
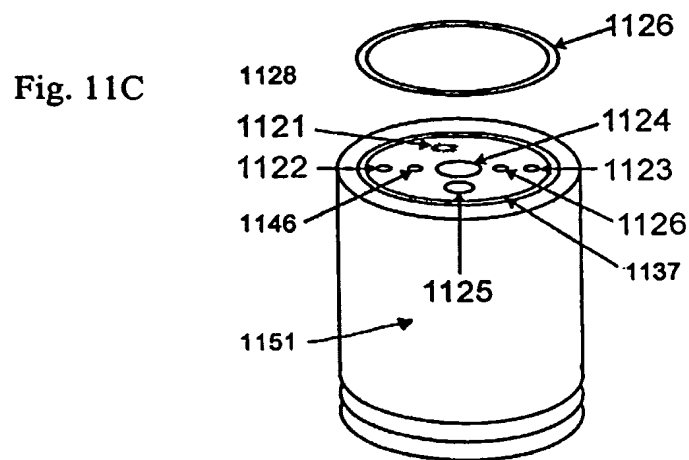
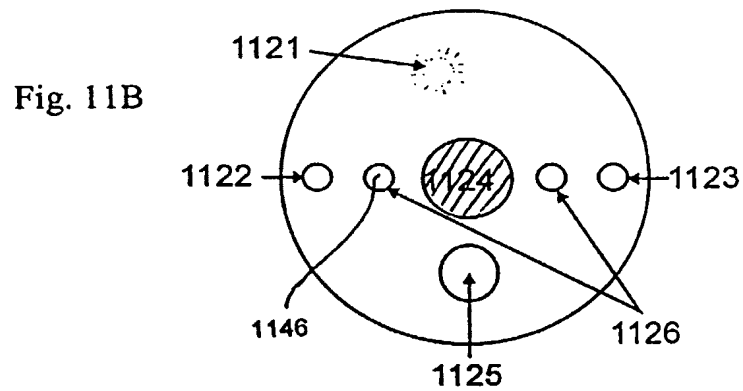
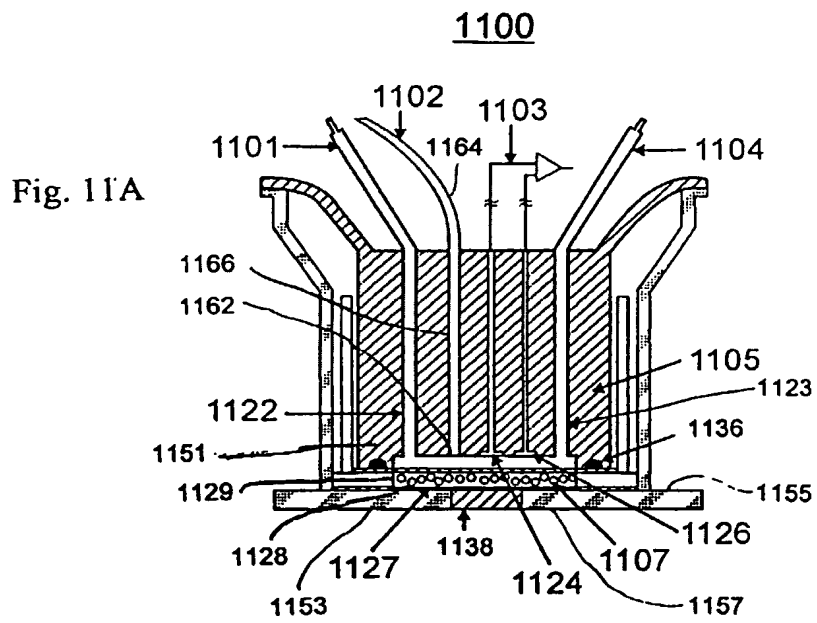


Fig. 10







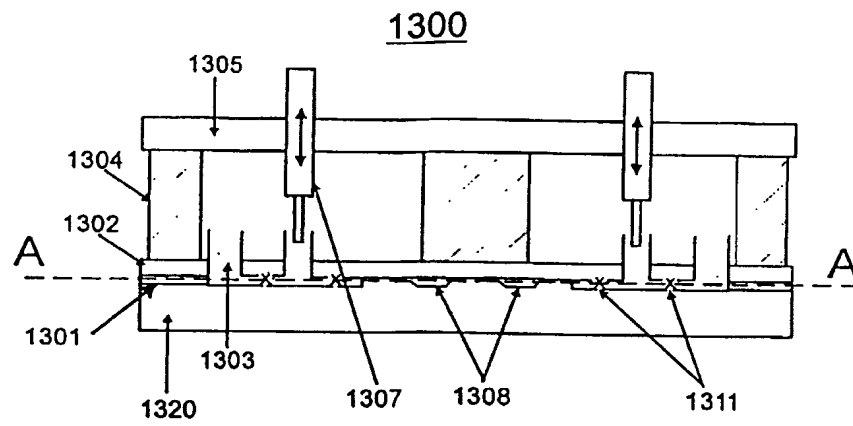


Fig. 13A

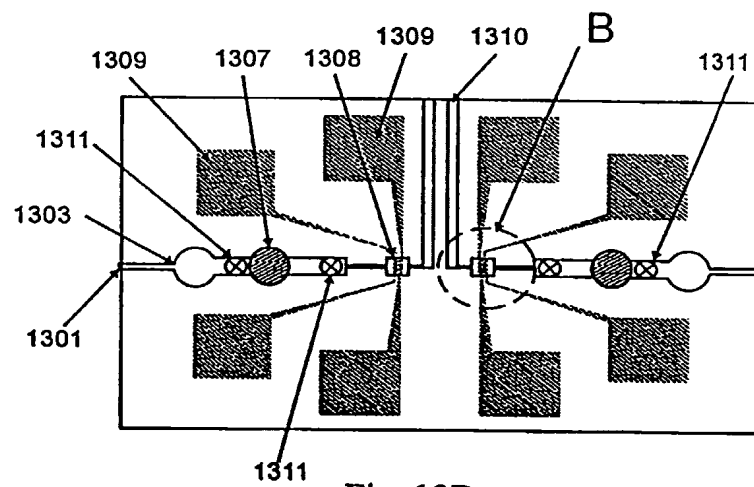


Fig. 13B

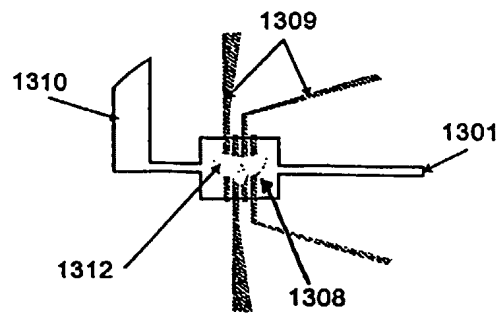


Fig. 13C

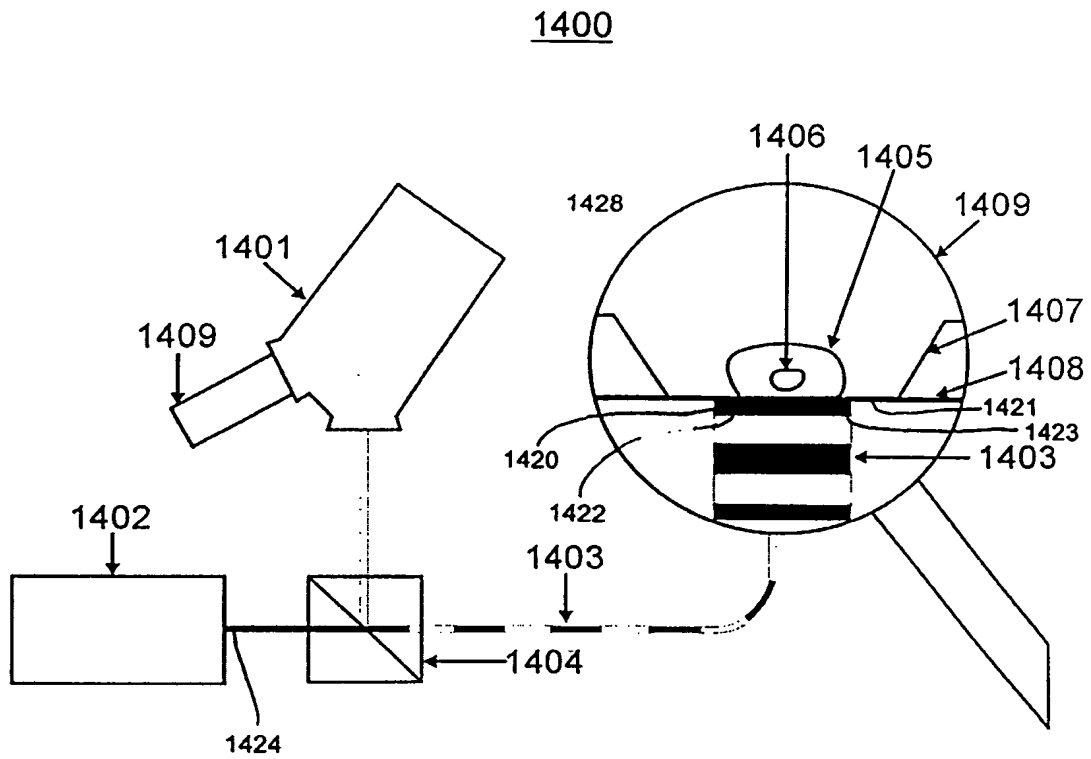


Fig. 14

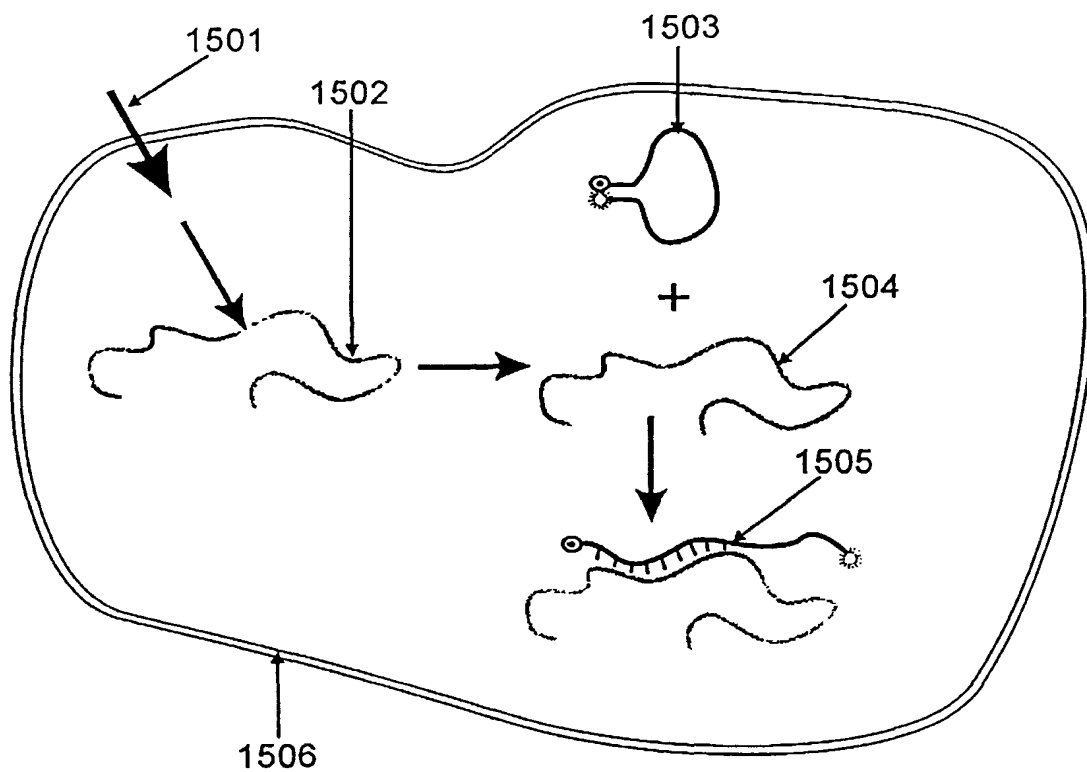


Fig. 15

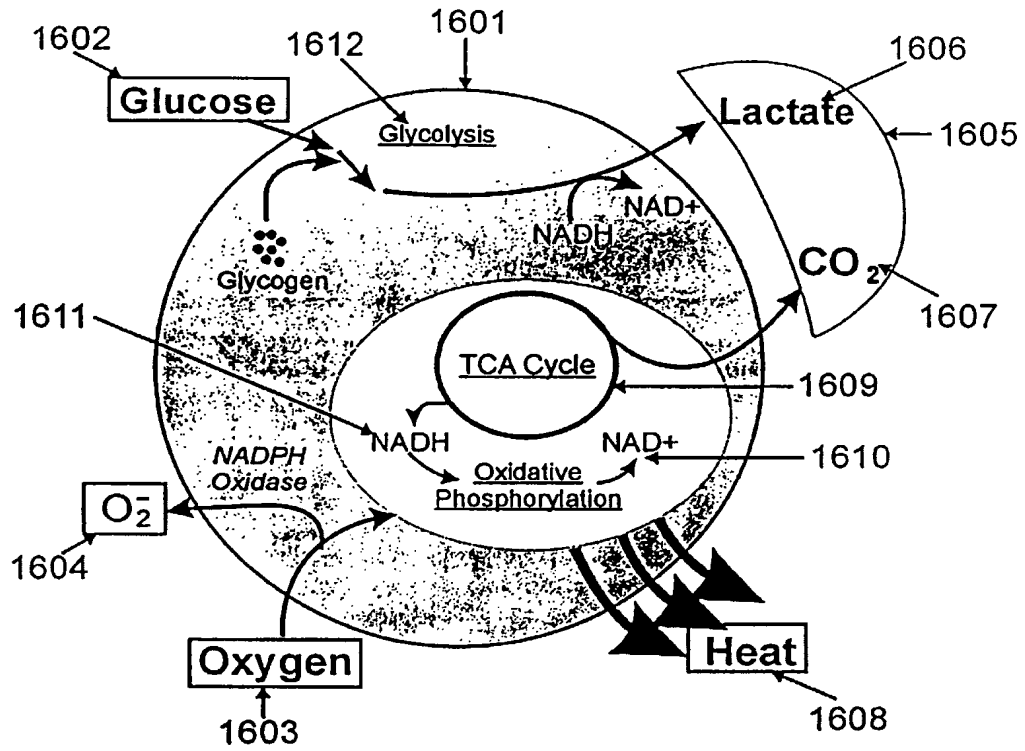


Fig. 16

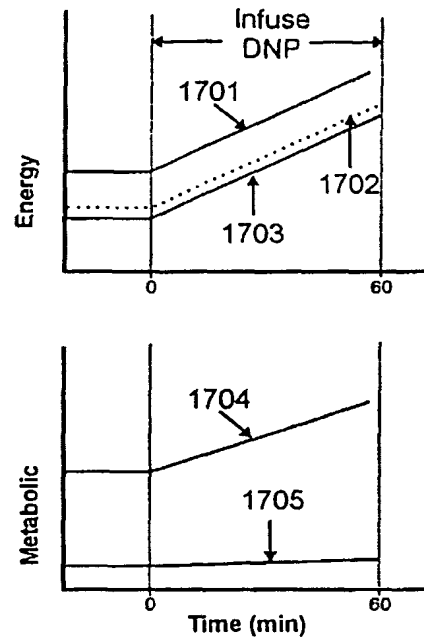


Fig. 17A

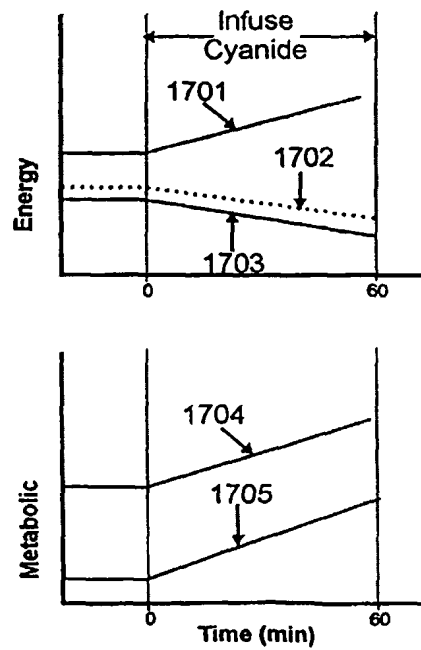


Fig. 17B

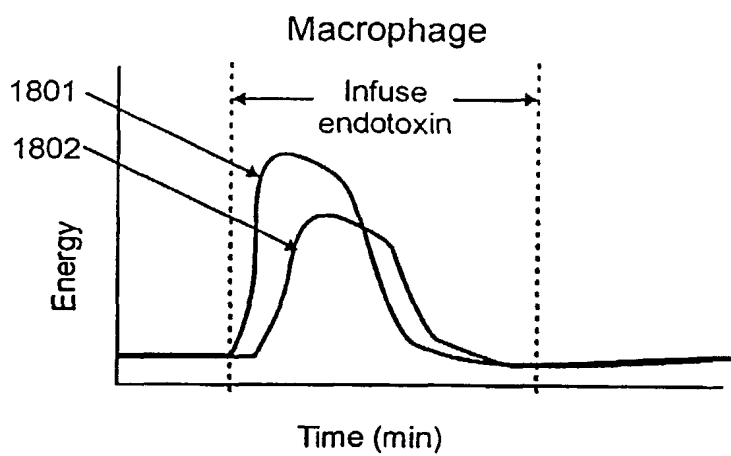


Fig. 18A

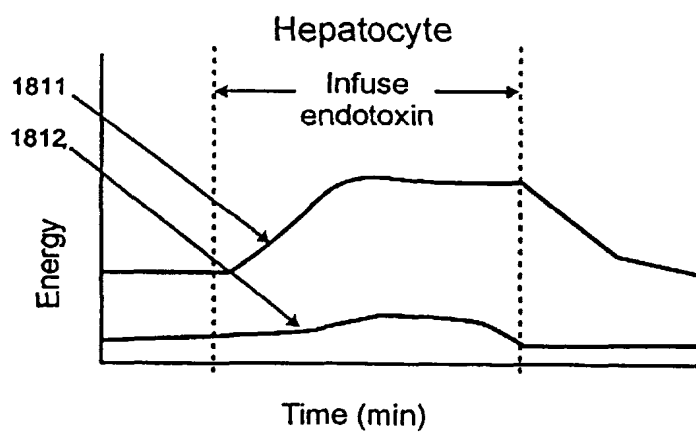


Fig. 18B

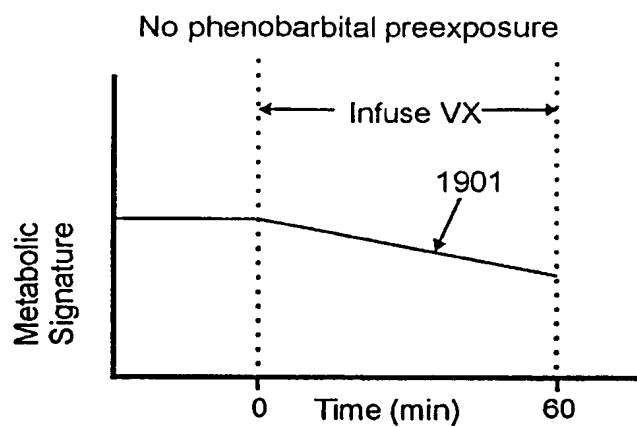


Fig.19A

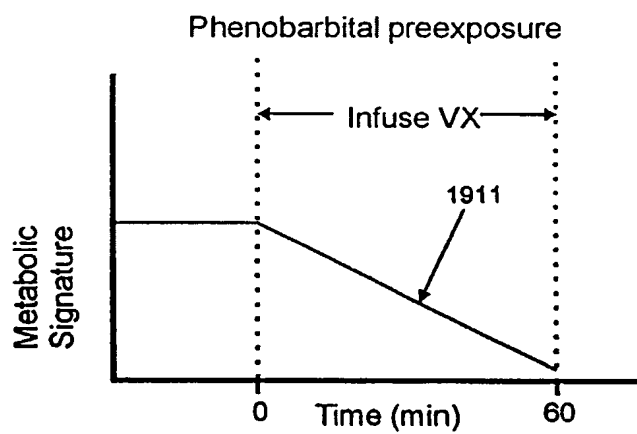


Fig.19B



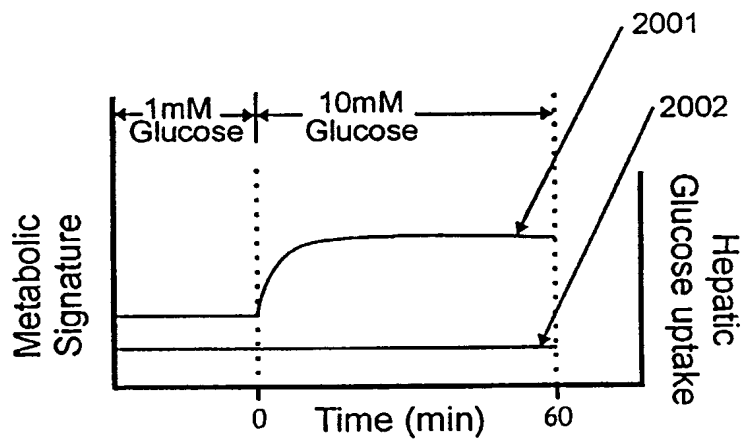


Fig. 20

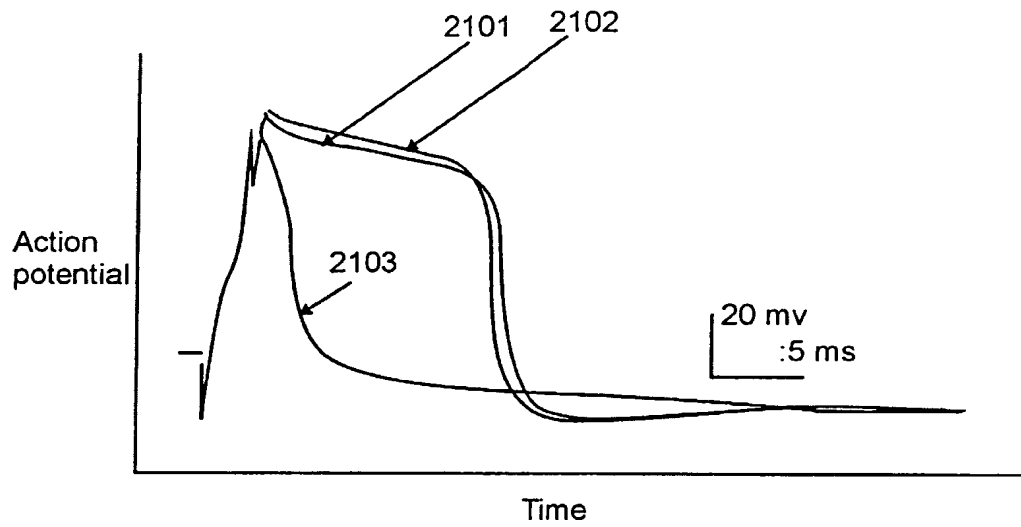


Fig. 21

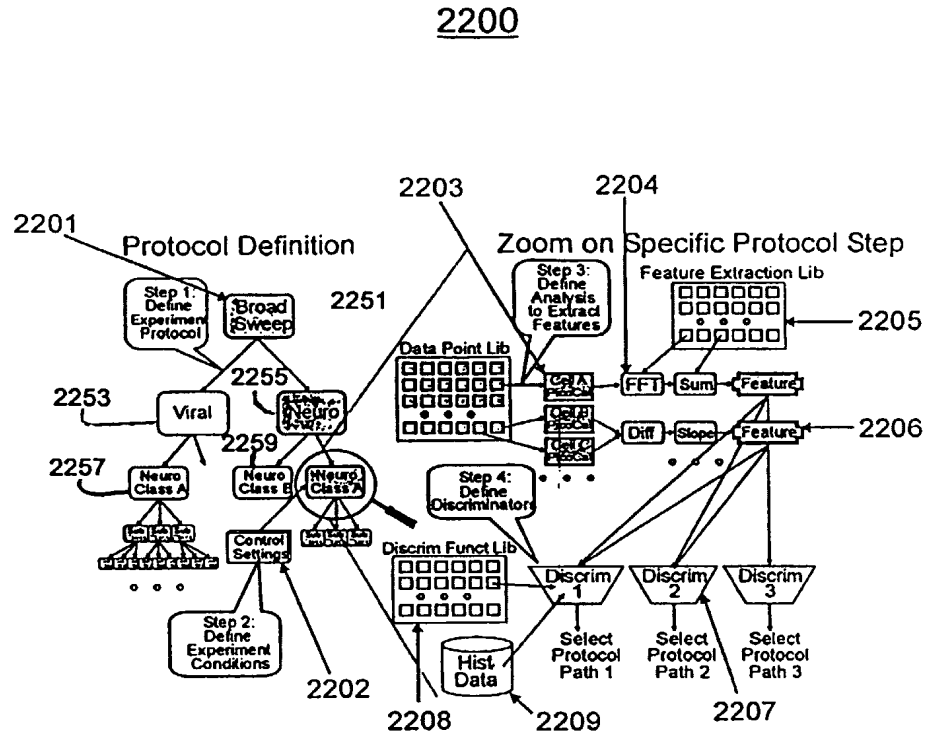


Fig. 22

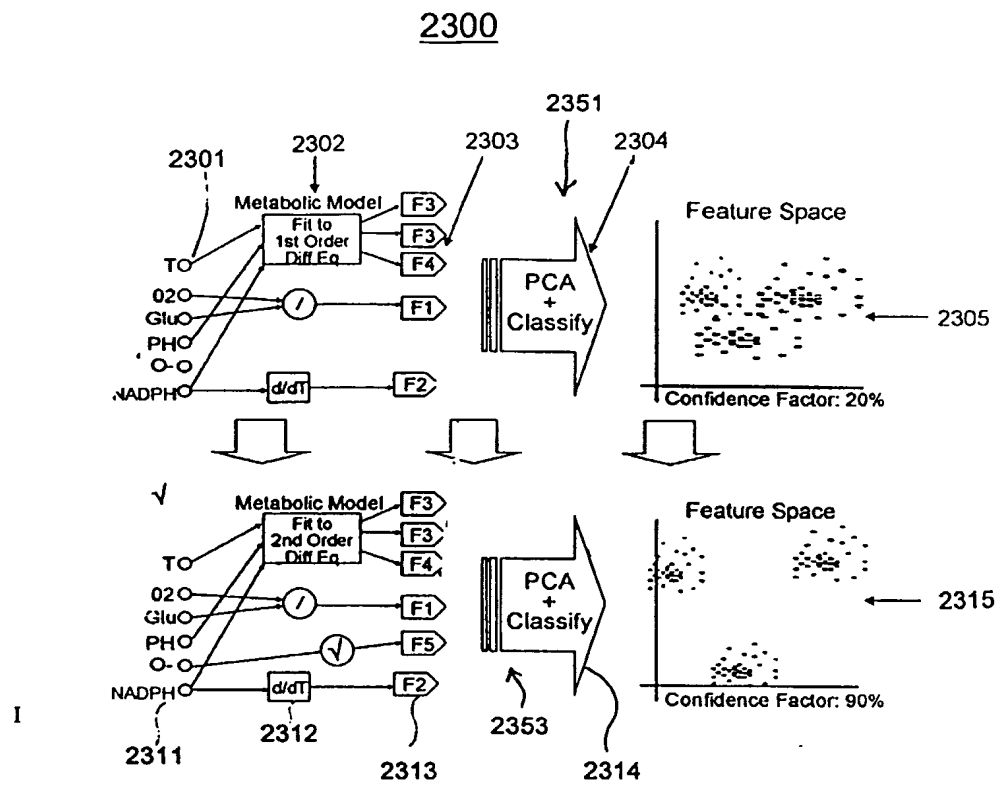


Fig. 23

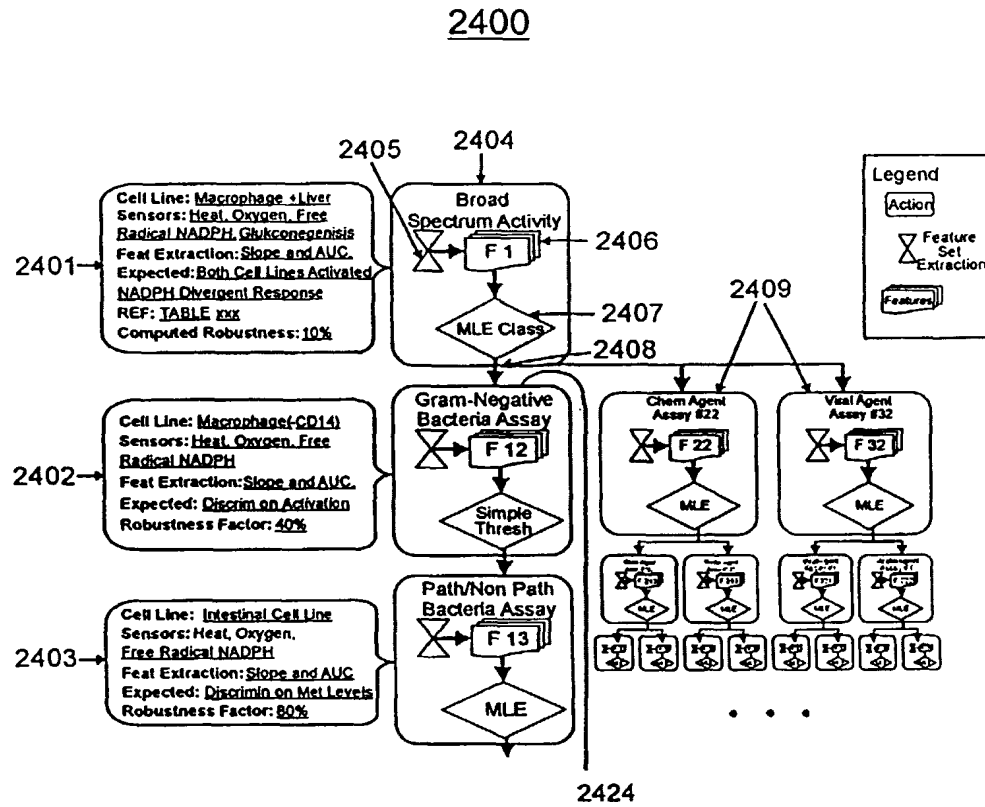
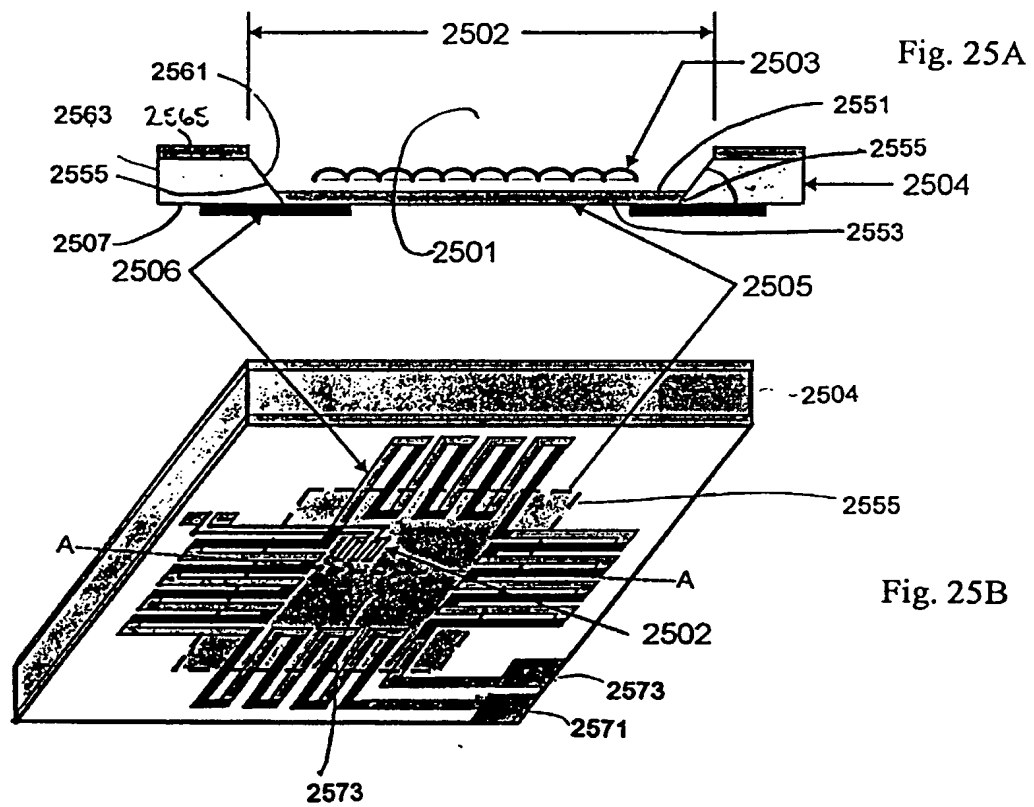


Fig. 24

2500



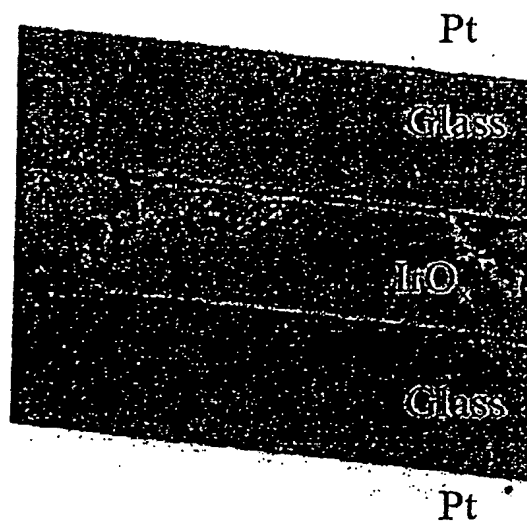


Fig. 26A

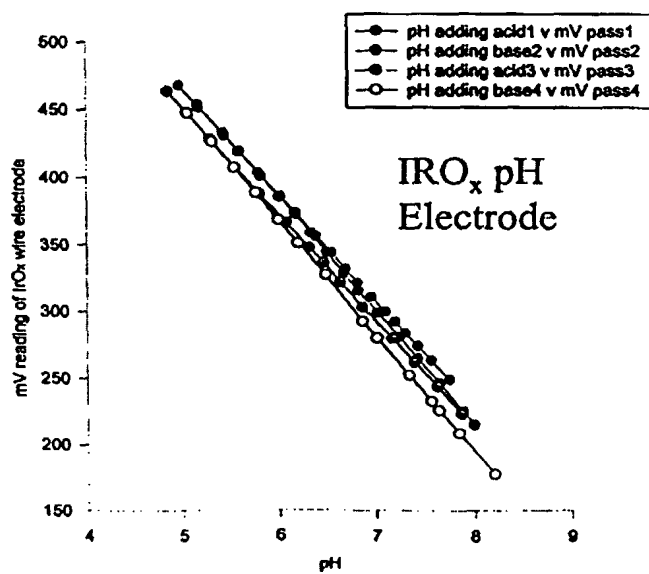


Fig. 26B

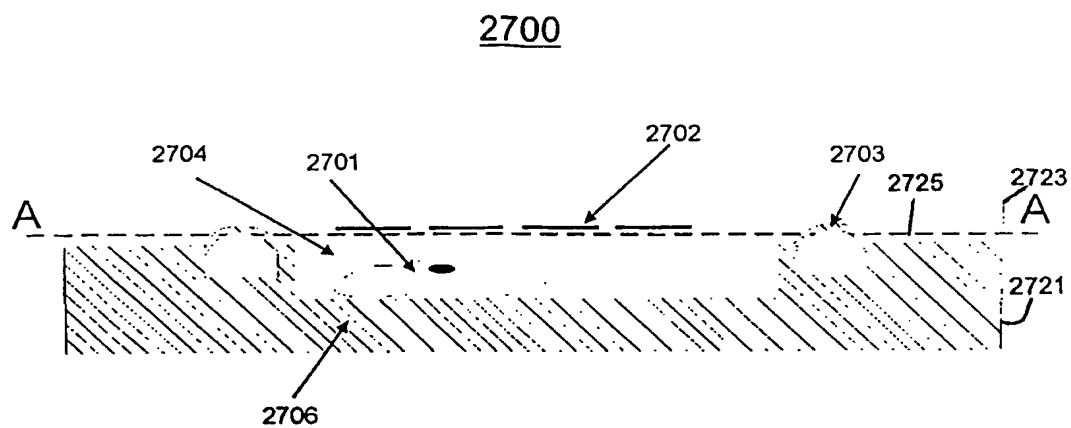


Fig. 27A

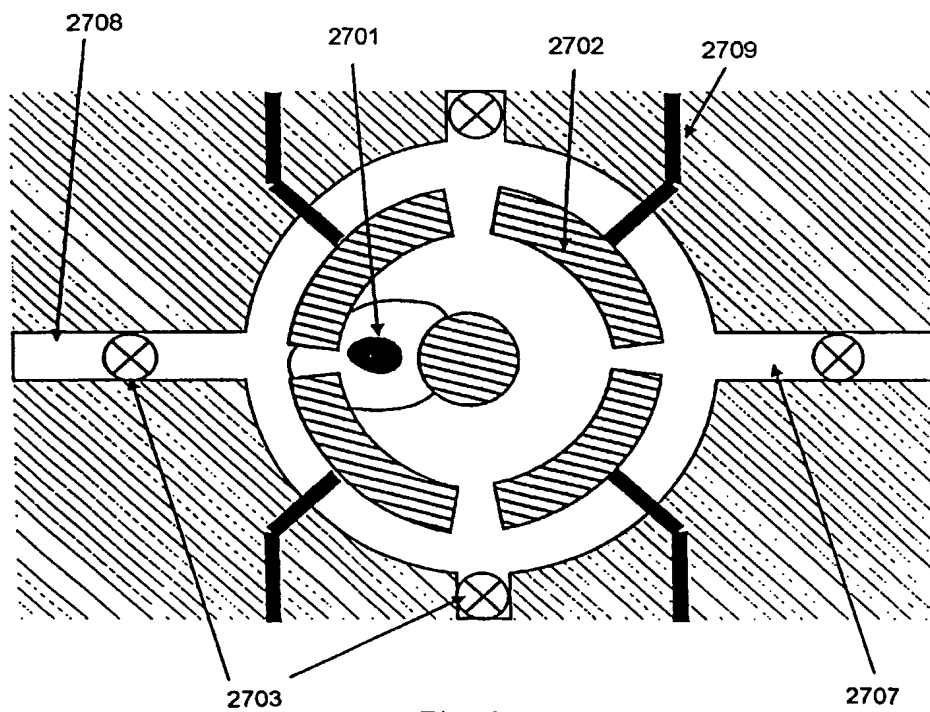


Fig. 27B



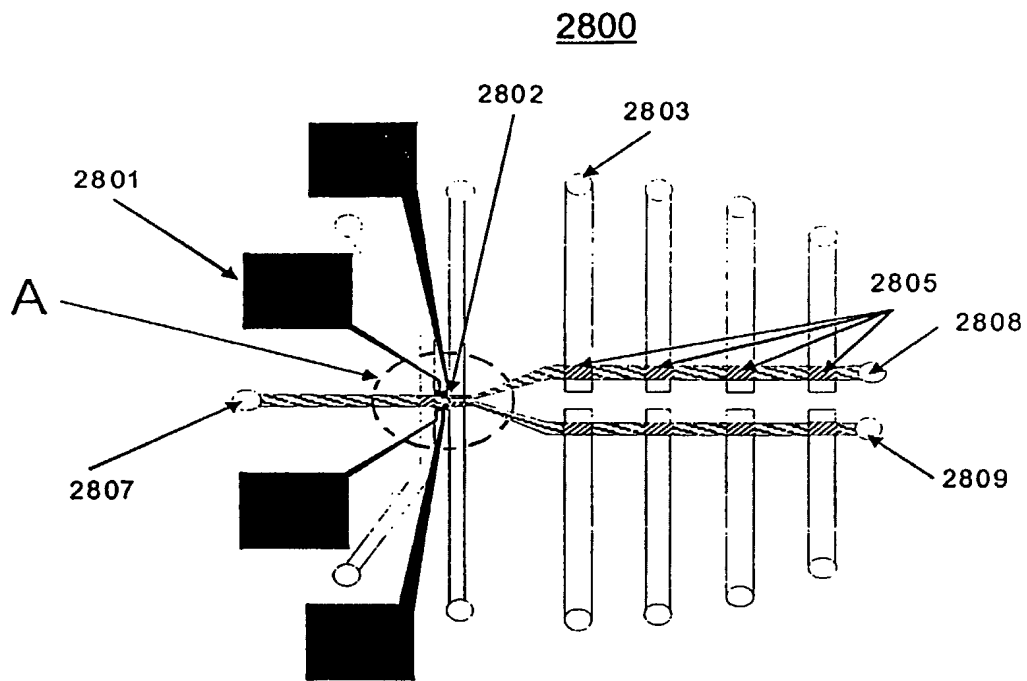


Fig. 28A

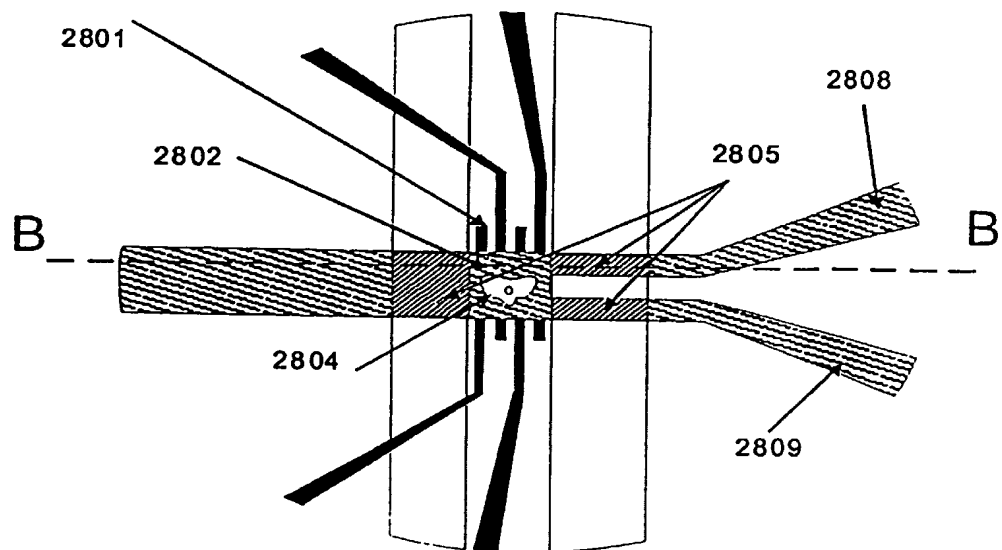


Fig. 28B

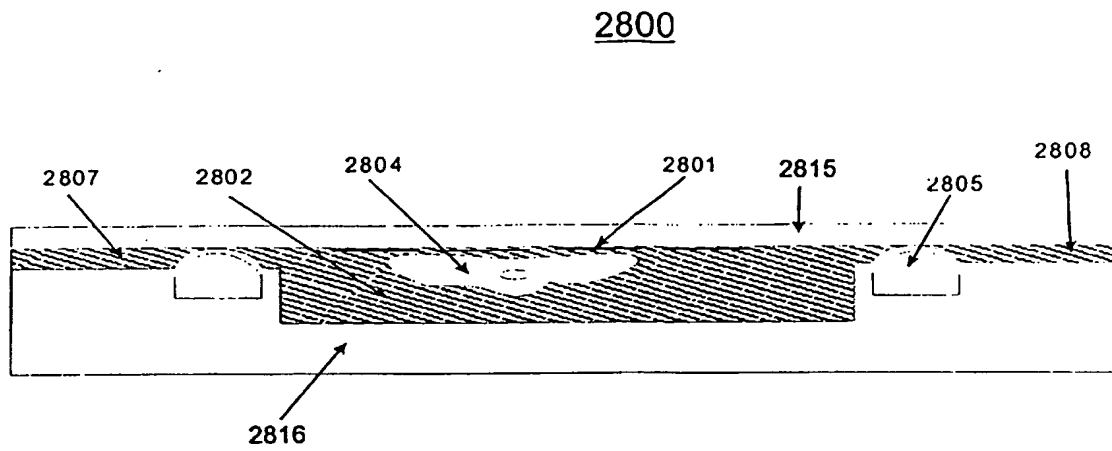
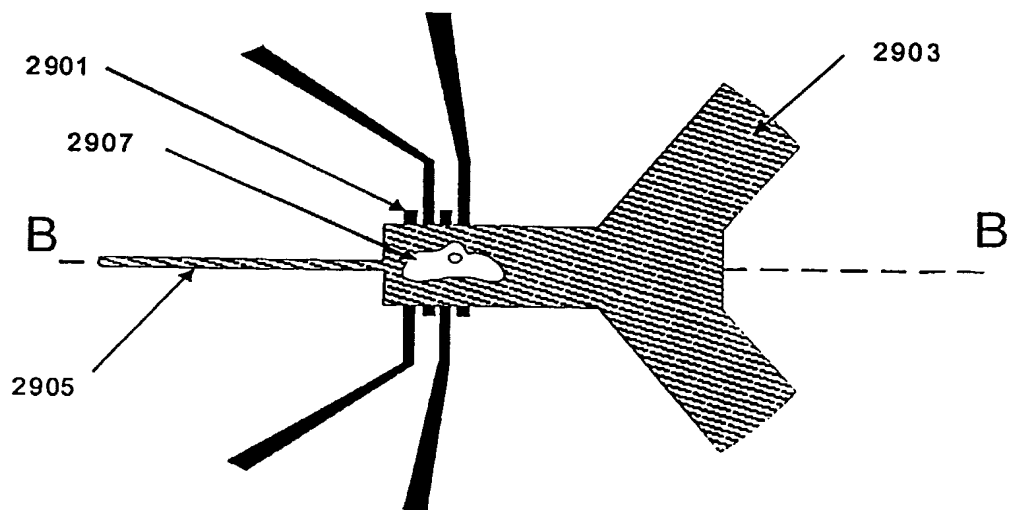
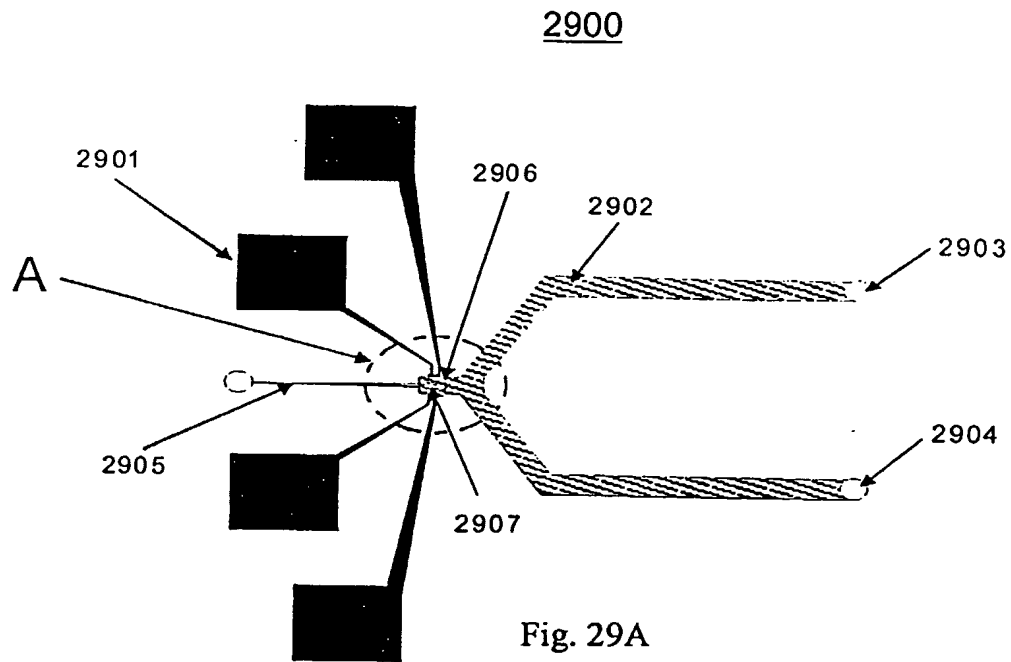


Fig. 28C



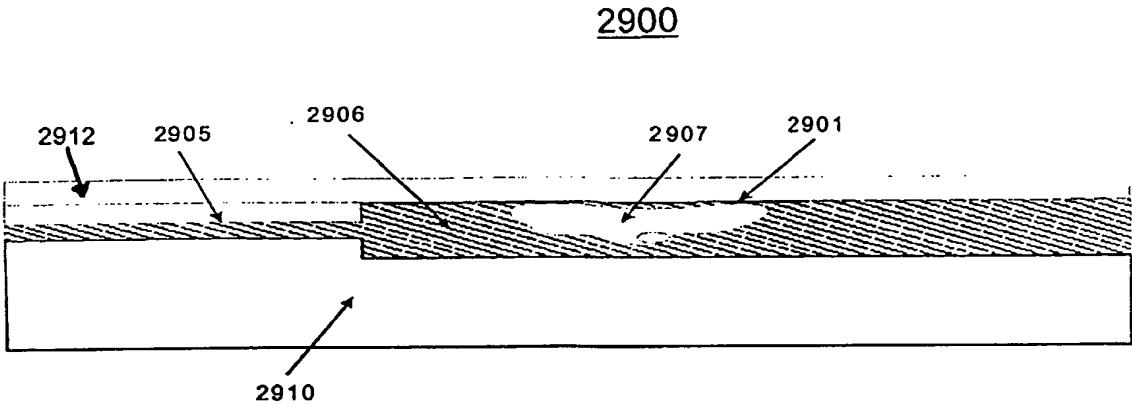
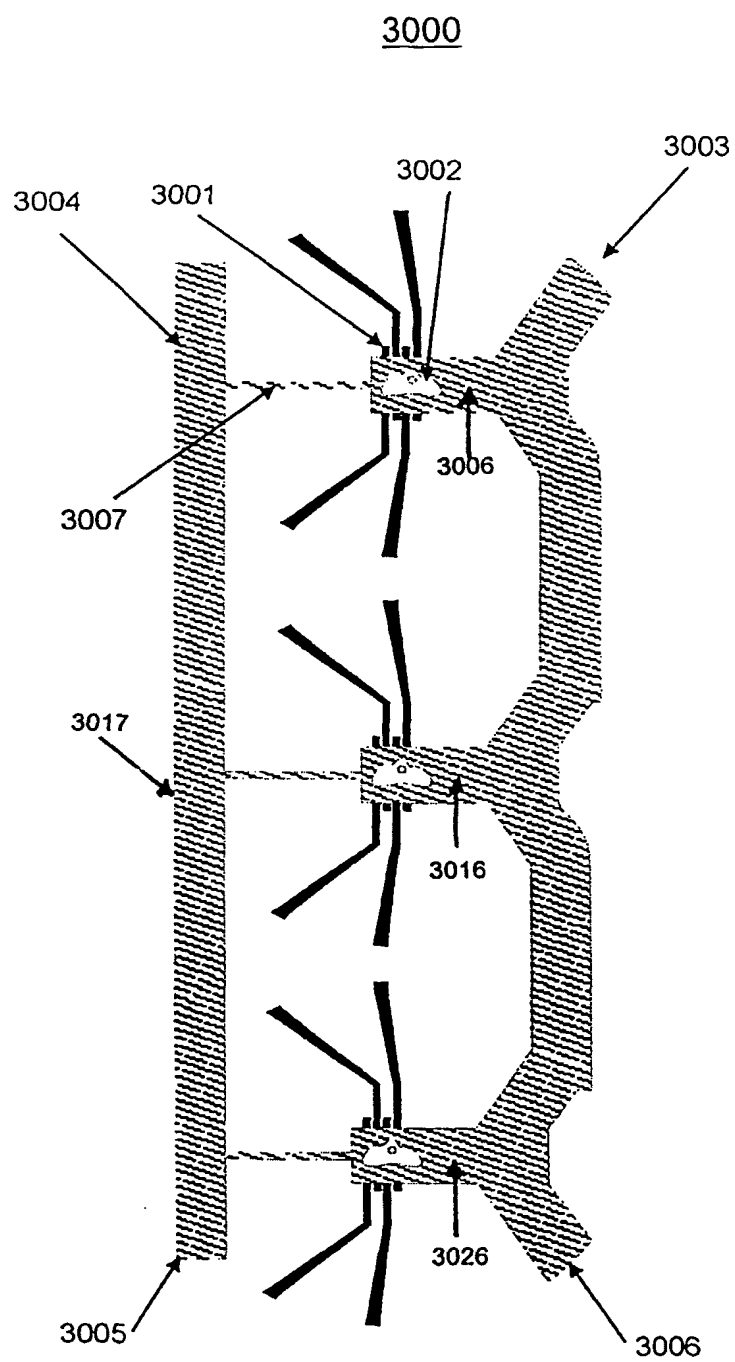


Fig. 29C



**Fig. 30**

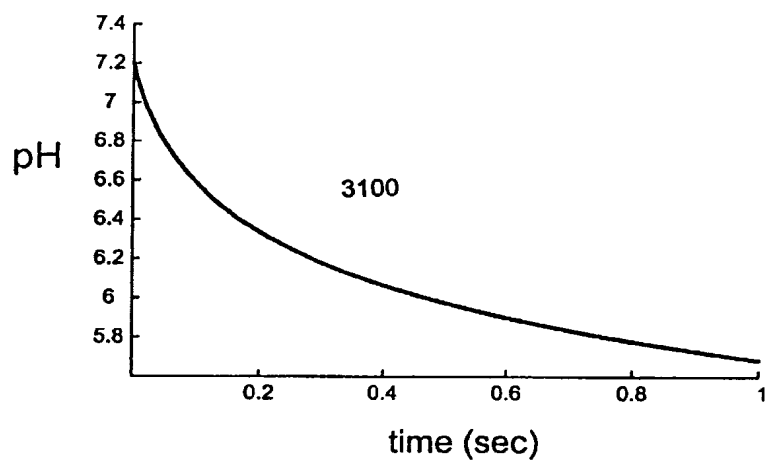


Fig. 31A

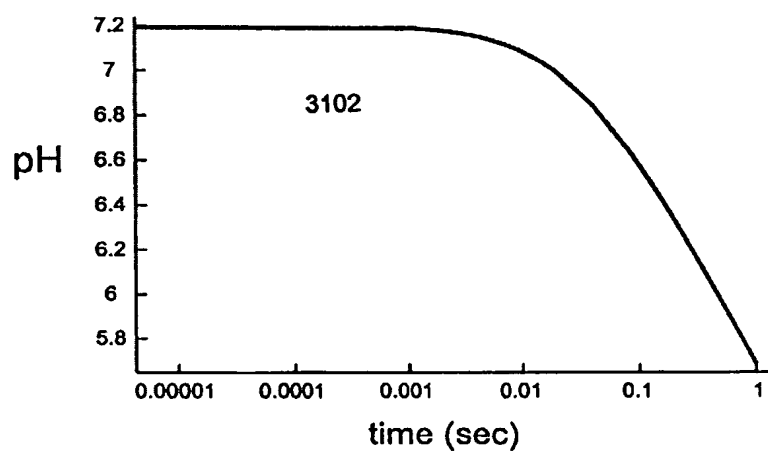


Fig. 31B

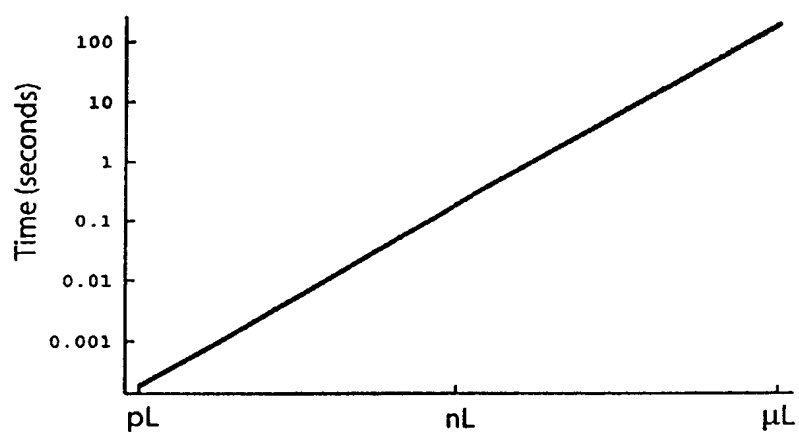


Fig. 31C

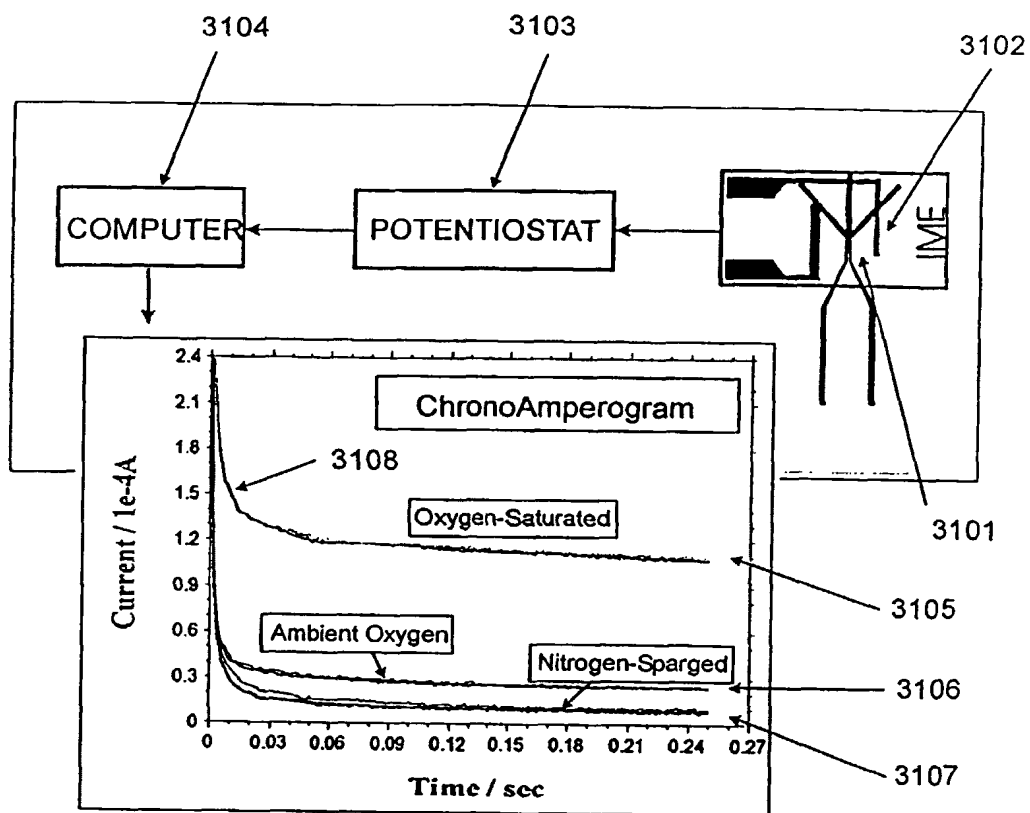


Fig. 31D



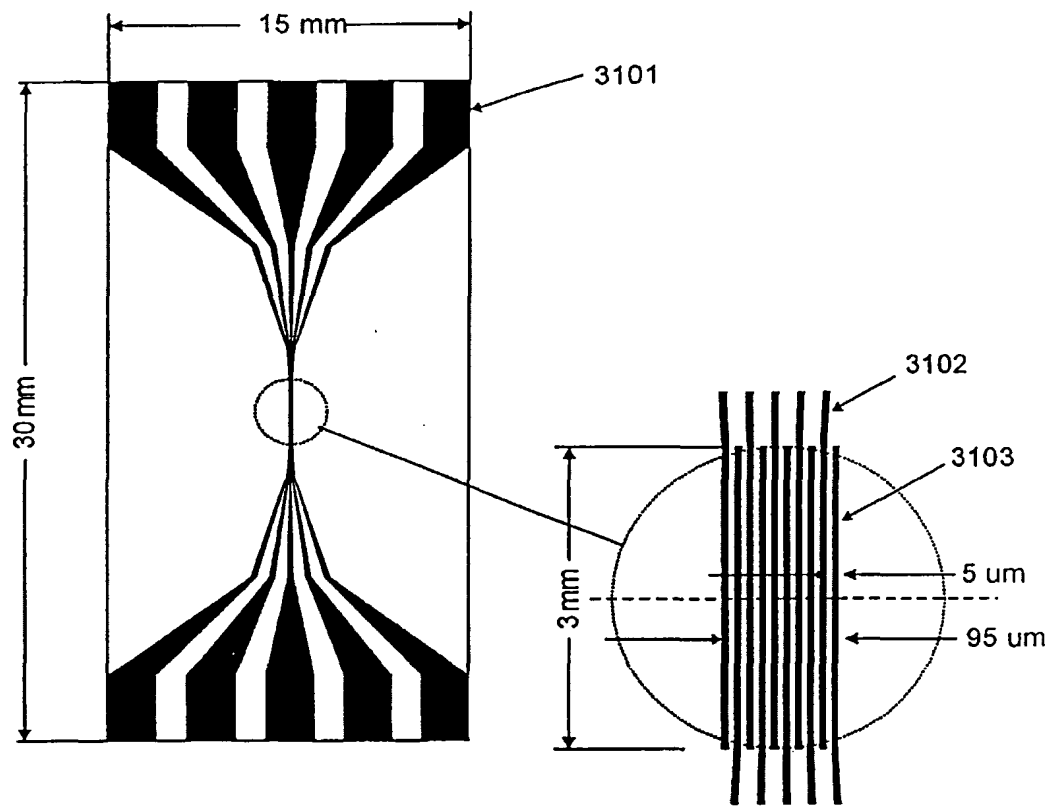


Fig. 31 E

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LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN,  
MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD,  
SE, SG, SI, SK (utility model), SK, SL, TJ, TM, TN, TR,  
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(54) Title: DEVICE AND METHODS FOR MONITORING THE STATUS OF AT LEAST ONE CELL

(57) Abstract: A device and methods for monitoring status of at least one cell, wherein the cell has a membrane forming a substan-  
tially enclosed structure and defining an intracellular space therein. In one embodiment of the present invention, the device includes  
a first substrate having a first surface and an opposite second surface, a second substrate supported by the first substrate, the second  
substrate having a first surface, an opposite second surface, a body portion between the first surface and the second surface, a first  
side surface and an opposite second side surface, wherein the body portion defines a first passage between the first side surface and  
the second side surface and an opening on the first surface of the second substrate and in fluid communication with the first passage,  
and sidewalls positioned above the first surface of the second substrate. In one operation mode, when a first medium is introduced  
into the first passage, the intracellular space of the cell is in fluid communication with the first passage with the first medium, a  
sensor measures the response of the cell to the first medium.



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# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/24911

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : C12N 5/00

US CL : 435/287.1, 288.7, 325

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/283.1, 325, 287.1, 288.7

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
EAST

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,888,825 A (CARR et al) 30 March 1999 (30.03.1999), see entire document.	1-74

☐ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

* Special categories of cited documents:		"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A"	document defining the general state of the art which is not considered to be of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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